

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the four month period ended October 31, 2016  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

	FY 2017 Full Year Re-Forecasted Budget	FY 2017 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2016 Year-to-Date Actual
<b>Instruction and General</b>					
Tuition and Fees Revenues	16,311,294	8,163,174	(8,148,120)	50%	7,558,630
State/Local Appropriations	59,777,635	20,955,627	(38,822,008)	35%	21,485,211
F & A Revenues	24,000,000	7,659,037	(16,340,963)	32%	7,804,994
Transfers	(64,220)	(83,322)	(19,102)	130%	(2,188,185)
Other Revenues	12,049,108	4,820,938	(7,228,170)	40%	4,823,523
Total Instruction and General Revenues	112,073,817	41,515,454	(70,558,363)	37%	39,484,173
Salaries	74,383,495	24,895,212	(49,488,283)	33%	24,531,573
Benefits	23,650,855	7,614,298	(16,036,557)	32%	8,465,919
Other Expenses	18,867,752	5,148,116	(13,719,636)	27%	5,601,925
Total Instruction and General Expenses	116,902,102	37,657,626	(79,244,476)	32%	38,599,417
Net Instruction and General Revenue/(Expense)	(4,828,285)	3,857,828	8,686,113		884,756
<b>Research</b>					
State/Local Appropriations	9,892,196	3,646,713	(6,245,483)	37%	3,291,574
Generated Revenues	337,501	107,525	(229,976)	32%	136,323
Transfers	13,206,006	2,784,143	(10,421,863)	21%	4,344,406
Other Revenues	1,625,979	336,816	(1,289,163)	21%	472,832
Total Research Revenues	25,061,682	6,875,197	(18,186,485)	27%	8,245,135
Salaries and Benefits	15,883,867	4,711,815	(11,172,052)	30%	4,609,734
Other Expenses	10,847,698	3,107,007	(7,740,691)	29%	2,862,422
Total Research Expenses	26,731,565	7,818,822	(18,912,743)	29%	7,472,156
Net Research Revenue/(Expense)	(1,669,883)	(943,625)	726,258		772,979
<b>Public Service</b>					
State/Local Appropriations	254,600	89,331	(165,269)	35%	91,568
Sales and Services Revenues	5,364,314	2,590,731	(2,773,583)	48%	4,270,600
Gifts	1,826,904	497,954	(1,328,950)	27%	734,626
Transfers	5,975,985	842,401	(5,133,584)	14%	1,542,299
Other Revenues	2,847,981	596,414	(2,251,567)	21%	217,215
Total Public Service Revenues	16,269,784	4,616,831	(11,652,953)	28%	6,856,308
Salaries and Benefits	4,937,854	1,450,934	(3,486,920)	29%	2,618,189
Other Expenses	11,329,692	2,538,832	(8,790,860)	22%	2,599,293
Total Public Service Expenses	16,267,546	3,989,766	(12,277,780)	25%	5,217,482
Net Public Service Revenue/(Expense)	2,238	627,065	624,827		1,638,826

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	FY 2017 Full Year Re-Forecasted Budget	FY 2017 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%	FY 2016 Year-to-Date Actual
<b>Student Aid</b>					
Gifts	1,808,623	452,388	(1,356,235)	25%	430,499
Investment Income	-	-	-	N/A	-
Transfers	2,200,660	748,950	(1,451,710)	34%	785,437
Other Revenues	41,896	-	(41,896)	0%	16,492
Total Student Aid Revenues	4,051,179	1,201,338	(2,849,841)	30%	1,232,428
Salaries and Benefits	1,780,645	690,911	(1,089,734)	39%	518,824
Other Expenses	2,953,888	655,382	(2,298,506)	22%	627,862
Total Student Aid Expenses	4,734,533	1,346,293	(3,388,240)	28%	1,146,686
Net Student Aid Revenue/(Expense)	(683,354)	(144,955)	538,399		85,742
<b>Student Activities</b>					
Fee Revenues	-	-	-	N/A	-
Sales and Services Revenues	33,266	25,392	(7,874)	76%	25,059
Transfers	1,640	(8,360)	(10,000)	-510%	-
Other Revenues	2,500	-	(2,500)	0%	900
Total Student Activities Revenues	37,406	17,032	(20,374)	46%	25,959
Salaries and Benefits	6,354	466	(5,888)	7%	-
Other Expenses	61,442	6,759	(54,683)	11%	20,761
Total Student Activities Expenses	67,796	7,225	(60,571)	11%	20,761
Net Student Activities Revenue/(Expense)	(30,390)	9,807	40,197		5,198
<b>Sponsored Programs</b>					
Federal Grants and Contracts Revenues	103,142,657	31,879,081	(71,263,576)	31%	32,461,834
State and Local Grants and Contracts Revenues	15,332,017	5,088,721	(10,243,296)	33%	4,565,934
Non-Governmental Grants and Contracts Revenues	18,119,656	6,478,909	(11,640,747)	36%	5,611,943
Gifts	-	-	-	N/A	-
Other Revenues	-	-	-	N/A	-
Transfers	2,787,639	1,941,490	(846,149)	70%	829,301
Total Sponsored Programs Revenues	139,381,969	45,388,201	(93,993,768)	33%	43,469,012
Salaries and Benefits	78,053,903	25,625,861	(52,428,042)	33%	25,358,757
Other Expenses	61,328,066	19,762,340	(41,565,726)	32%	18,110,255
Total Sponsored Programs Expenses	139,381,969	45,388,201	(93,993,768)	33%	43,469,012
Net Sponsored Programs Revenue/(Expense)	-	-	-		-

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**Health Sciences Center - Total Operations Current Funds**

	FY 2017 Full Year <u>Re-Forecasted Budget</u>	FY 2017 Year-to-Date <u>Actual</u>	Fiscal YTD Favrb/(Unfavrb) <u>Budget</u>	Actual to Budget Benchmark Rate 33%	FY 2016 Year-to-Date <u>Actual</u>
Clinical Operations					
State/Local Appropriations	25,305,365	8,651,026	(16,654,339)	34%	9,353,131
Physician Professional Fee Revenues	127,766,319	41,627,034	(86,139,285)	33%	40,428,439
Hospital Facility Revenues	864,979,398	299,241,651	(565,737,747)	35%	300,601,246
Other Patient Revenues, net of Allowance	163,199,943	49,212,463	(113,987,480)	30%	44,898,302
Mil Levy	95,849,351	31,992,663	(63,856,688)	33%	31,072,036
Investment Income	(82,916)	(4,299)	78,617	5%	1,359,352
Gifts	2,789,445	1,298,690	(1,490,755)	47%	1,239,057
Housestaff Revenues	37,907,661	13,006,888	(24,900,773)	34%	12,597,897
Other Revenues	<u>26,265,627</u>	<u>6,131,250</u>	<u>(20,134,377)</u>	23%	7,665,441
Total Clinical Operations Revenues	1,343,980,193	451,157,366	(892,822,827)	34%	449,214,901
Salaries and Benefits	751,230,947	250,675,422	(500,555,525)	33%	230,681,694
Interest Expense	3,846,613	1,282,201	(2,564,412)	33%	1,289,938
Housestaff Expenses	37,907,661	12,531,586	(25,376,075)	33%	12,249,158
Other Expenses	<u>550,180,209</u>	<u>189,205,570</u>	<u>(360,974,639)</u>	34%	204,564,049
Total Clinical Operations Expenses	1,343,165,430	453,694,779	(889,470,651)	34%	448,784,839
Net Clinical Operations Revenue/(Expense)	<u>814,763</u>	<u>(2,537,413)</u> ***	<u>(3,352,176)</u>		430,062
Contingencies					
Total Contingency Revenues	4,088,640	-	(4,088,640)	0%	-
Total Contingency Expenses	<u>1,553,640</u>	<u>-</u>	<u>(1,553,640)</u>	0%	<u>-</u>
Net Contingencies Revenue/(Expense)	<u>2,535,000</u>	<u>-</u>	<u>(2,535,000)</u>		<u>-</u>
<b>Net Current Revenue/(Expense)</b>	<b><u>(3,859,911)</u></b>	<b><u>868,707</u></b>	<b><u>4,728,618</u></b>		<b><u>3,817,563</u></b>

\*\*\* Clinical Operations include the SOM Clinical Departments which currently have a consolidated loss of \$(2,574,774) and UNM Hospitals operations which currently has a net margin of \$37,361

Regent's Report Analysis for Ava Lovell		
October 31, 2016		
<b>*</b>	<b>I&amp;G Other Revenue Actuals Detail as of 10/31/16</b>	
	Misc. Revenue	2,619.34
	Allocations	(359,999.73)
	Faculty Practice Income	1,096,196.00
	Grants & Contracts	-
	Billings to UH ( Gap Tax, HSC Communications & Mktg. and Other)	3,899,845.59
	Billings to SRMC (HSC Communications & Mktg., etc.)	-
	Billings to UNMMG (Library Collections, Legal Counsel, etc.)	50,000.00
	Sales & Services (Custodial Services, PPD Lock Shop, PPD Landscaping, etc.)	132,276.80
	<b>TOTAL</b>	<b>4,820,938.00</b>
<b>*</b>	<b>I&amp;G Other Expense Actuals Detail as of 10/31/16</b>	
	Capital Expenditures	232,988.39
	Communication Charges	132,506.09
	Bad Debt Expense	-
	Other Expenses	89,122.96
	Patient Care Costs	9,132.02
	Plant Maintenance	403,460.93
	Research Costs	81,995.16
	Purchased Services	1,503,195.94
	Special Grant & Contract Expense	-
	Student Costs	687,900.85
	Supplies	482,813.04
	Travel	154,921.81
	Utilities	1,370,078.83
	<b>TOTAL</b>	<b>5,148,116.02</b>
<b>*</b>	<b>I&amp;G Other Expense Actuals Detail as of 10/31/16 - by Org Level 5</b>	
	VP Health Sciences Office	15,276.26
	Poison Control	3,074.81
	HSC Office of Community Affairs	-
	School of Medicine Deans Office	207.50
	VP HSC Monitoring (General Liability Insurance)	562,701.35
	AS BA/MD Program (Scholarships/Fellowships)	419,129.68
	SOM Faculty Affairs	20.20
	Family Community Medicine Dept.	27,778.73
	Interprofessional Education	1,379.57
	Office of Emergency Management	951.80
	VP for Community Health	7,680.56
	Batcave	-
	HSC Academic Affairs	3,014.86
	HSC Registrar	341.49
	Senior Assoc. Dean Office of Educ.	5,666.22
	Pathology Dept.	6,302.45
	HSC Wellness	29,098.77
	Unrestricted Accounting HSC Office	4,140.26
	HSC Professionalism Office	2,654.09
	HSC Financial Aid	1,647.01
	CNAH	-
	HSC Faculty Contracts	4,442.89
	HSC Faculty Council	1,201.78
	HSC Development Office	22,169.48
	COP Clinical Affairs	5,113.74
	COP Radiopharmacy	-
	Vice Chancellor for Diversity	86,607.27
	COPH Deans Office	56,516.18

Exec Vice Chancellor-Operations (Computers, Software, Technology Support)	167,009.16
Pharmacy Deans Office (Supplies, Scholarship/Fellowships, Professional Services, etc.)	179,288.04
HSC Financial Services	439.82
Psychiatry Psych	23.51
HS Library and Informatics Ctr. (Electronic Journals & Perpetuals)	403,776.80
Neurology	2,043.40
Orthopaedics	81,713.28
Radiology Dept.	1,146.43
COP Pharmacy Practice & Admin.	31,232.03
SOM Surgery	-
Undergrad Med Educ Dept. (Curriculum fees, supplies, student costs, etc.)	188,471.55
SOM BA/MD Program	43,001.59
Department of Dental Medicine	54,046.26
COP Pharmaceutical Sciences	25,406.20
Emergency Medicine Dept.	3,924.80
Molecular Genetics Microbiology	8,311.28
HSC Facility Planning	38,189.85
Cell Biology	5,031.37
Dermatology	1,381.93
Nursing Deans Office (Computers, instructional materials, foundation surcharge, etc.)	397,729.49
HSC Budget Office	1,452.08
HSC Operation Plant Maintenance (Custodial services & supplies, property insurance, utilities, etc.)	1,879,661.53
HSC Human Resources	3,366.24
Anesthesiology Dept.	-
HSC Communications (Promotional expenses, etc.)	189,170.31
Obstetrics Gynecology OB GYN	-
Biochemistry Molecular Biology	20,105.49
HSC Compliance	2,354.32
Internal Medicine IM	-
Sr Exec Officer - Finance & Admin	51,958.21
SOM Student Services	7,016.71
HSC Legal Counsel Office	31,655.55
Pre Award Services HSC	3,963.68
Contract Grant Accounting HSC	6,511.80
Neurosciences	21,475.92
Pediatrics	30,140.44
<b>TOTAL</b>	<b>5,148,116.02</b>
<b>* Research Other Revenue Actuals Detail as of 10/31/16</b>	
Misc. Revenue	2,590.00
Gifts	-
Gain on Sponsored Projects	194,020.29
Billings to UH (CRTC Faculty Research Support, CTSC Rent, HSC Radiation Safety, etc.)	134,348.66
Billings to SRMC (HSC Radiation Safety)	3,144.26
Billings to UNMMG (HSC Radiation Safety)	2,712.45
<b>TOTAL</b>	<b>336,815.66</b>

<b>*</b>	<b>Research Other Expense Actuals Detail as of 10/31/16</b>	
	Capital Expenditures	27,907.08
	Communication Charges	79,893.55
	Bad Debt Expense	16,668.00
	Debt Service	345,864.24
	Other Expenses	44,453.78
	Patient Care Costs	6,558.09
	Plant Maintenance	393,255.40
	Research Costs	44,798.57
	Purchased Services	1,329,883.98
	Special Grant & Contract Expense	13,698.64
	Student Costs	18,706.63
	Supplies	507,250.09
	Travel	99,302.94
	Utilities	178,766.44
	<b>TOTAL</b>	<b>3,107,007.43</b>
<b>*</b>	<b>Research Other Expense Actuals Detail as of 10/31/16 - by Org Level 5</b>	
	VP Health Sciences Office	531.28
	Poison Control	2,828.00
	School of Medicine Deans Office	49,340.52
	Cancer Research Treatment Center CRTC (Lab supplies, travel, equipment warranties & maint., debt service, etc.)	291,642.61
	SOM Faculty Affairs	13,156.46
	Family Community Medicine Dept.	-
	HSC O/R Administration (Equipment, supplies, etc.)	140,810.71
	HSC Radiation Safety	33,119.34
	Batcave	40,212.77
	Senior Assoc. Dean Office of Educ.	1,826.53
	Pathology Dept.	78,699.58
	The ECHO Institute (Computers, travel, supplies, professional services, etc.)	161,943.62
	Vice Chancellor for Diversity	-
	COPH Deans Office	(56,032.88)
	Unrestricted Acctg HSC Monitoring	9,014.09
	BBHI	14,446.06
	Pharmacy Deans Office	28,420.68
	AVP FA Monitoring (Top Slice, Plant Repairs, debt service, utilities, etc.)	1,919,590.64
	Psychiatry Psych	14,518.94
	HS Library and Informatics Ctr	330.93
	Neurology	(17,249.12)
	Orthopaedics	9,666.85
	COP Pharmacy Practice & Admin Scien	24,719.32
	SOM Surgery	-
	Undergrad Med Educ Department	13,986.68
	COP Pharmaceutical Sciences	53,463.91
	Emergency Medicine Dept.	36.71
	Molecular Genetics Microbiology	28,370.23
	Nursing Academic Affairs	-
	Cell Biology	10,908.98
	Nursing Deans Office	2,615.23
	CTSC Administration (Lab supplies, office supplies, equipment, etc.)	138,773.17
	SR Assoc Deans Office	5,654.29
	Psychology Dept.	-
	Obstetrics Gynecology OB GYN	8,138.26
	Biochemistry Molecular Biology	6,355.75
	Internal Medicine IM	24,720.08
	Center for Infectious Disease	4,032.40
	Neurosciences	2,996.66
	Biomedical Rsrch Edu Prog	18,811.50
	Pediatrics	26,606.65
	<b>TOTAL</b>	<b>3,107,007.43</b>

<b>*</b>	<b>Public Service Other Revenue Actuals Detail as of 10/31/16</b>	
	Student Fees	43,190.52
	Allocations (Allocation from Cancer Center (clinical) to HSC Communications for Cancer Center promotions, Allocation from SOM to Exec. Vice Chancellor of Operations for IT Staff Funding, etc.)	380,267.43
	Gain on Sponsored Projects	110,403.02
	Gain on Unrestricted Projects	(11,624.31)
	Misc. Revenue	74,173.84
	Investment Income	3.63
	<b>TOTAL</b>	<b>596,414.13</b>
<b>*</b>	<b>Public Service Other Expense Actuals Detail as of 10/31/16</b>	
	Capital Expenditures	-
	Communication Charges	30,911.30
	Bad Debt Expense	(9,475.43)
	Debt Service	230,992.32
	Other Expenses	9,877.61
	Patient Care Costs	34,214.53
	Plant Maintenance	64,108.20
	Research Costs	8,454.68
	Purchased Services	1,577,085.11
	Special Grant & Contract Expense (negative balance primarily related to Close out of 2nd MetaECHO Conference 2016)	(194,711.41)
	Student Costs	217,034.79
	Supplies	384,856.98
	Travel	123,560.13
	Utilities	61,923.23
	<b>TOTAL</b>	<b>2,538,832.04</b>
<b>*</b>	<b>Public Service Other Expenses Actuals Detail as of 10/31/16 - By Org Level 5</b>	
	HSC Clinical Contracting	11,098.00
	Continuing Medical Educ Dept. (Various courses & conferences costs, etc.)	36,658.33
	VP Health Sciences Office	24,904.43
	College of Pharmacy Continuing Educ.	83,236.58
	Poison Control	6,866.05
	School of Medicine Deans Office	46,873.11
	Cancer Research Treatment Ctr CRTC	57,893.83
	Family Community Medicine Dept.	(15.89)
	BBI Administration	17,461.58
	HSC O/R Administration	13,847.48
	VP for Community Health (Pathways - UHP, Care NM, etc.)	220,465.41
	Senior Assoc. Dean Office of Educ.	8,877.43
	Pathology Dept.	1,017.62
	The ECHO Institute	23,647.57
	CNAH	41,338.64
	Inst Indigenous Knowledge & Devl	-
	COP Clinical Affairs	-
	Vice Chancellor for Diversity	22,394.07
	EVC Education	-
	COPH Deans Office	(25,889.17)
	Unrestricted Acctg HSC Monitoring	12,633.15
	Office of the Medical Investigator	-
	Exec Vice Chancellor-Operations	40,940.73
	Pharmacy Deans Office	64,622.47
	Psychiatry Psych	4,861.84
	HS Library and Informatics Ctr.	5,039.55
	Neurology	-
	Orthopaedics	12,982.38
	COP Pharmacy Practice & Admin.	-

Radiology	4,439.86
SOM Surgery	9,133.83
SOM Neurosurgery	10,762.42
Undergrad Med Educ Dept.	3,935.94
Department of Dental Medicine	14,028.70
COP Pharmaceutical Sciences	3,476.64
Emergency Medicine Dept.	82,553.56
Cell Biology	5,600.02
Dermatology	201.41
Nursing Deans Office	60,117.41
HSC Budget Office (1650 Utilities, Debt Service for 1650 University & Elks Lodge)	301,378.05
SR Assoc Deans Office	-
SOM Institute for Ethics	617.89
Anesthesiology Dept.	-
HSC Communications (HSC Radio/TV Promotions, HSC Cancer Center Promotions, etc.)	1,086,072.70
Obstetrics Gynecology OB/GYN	2,367.88
Internal Medicine IM	3,981.84
SOM Student Services	128,369.08
Neurosciences	-
Pediatrics	90,039.62
<b>TOTAL</b>	<b>2,538,832.04</b>
<b>* Student Aid Other Expense Actuals Detail as of 10/31/16</b>	
Capital Expenditures	64.45
Communication Charges	1,894.20
Other Expenses	60,725.40
Patient Care Costs	3,500.69
Plant Maintenance	10,845.67
Research Costs	26,940.61
Purchased Services	35,506.11
Student Costs	410,129.31
Supplies	89,598.43
Travel	16,177.28
<b>TOTAL</b>	<b>655,382.15</b>



* Student Aid Other Expenses Actuals Detail as of 10/31/16 - By Org Level 5	
School of Medicine Dean's Office	29,854.00
VP HSC Monitoring	91,617.07
Cancer Research Treatment Ctr CRTC	83,070.76
SOM Monitoring	-
Family Community Medicine Dept.	785.47
VP for Community Health	(12.12)
Pathology Department	6,656.69
Vice Chancellor for Diversity	10,000.00
Unrestricted Acctg HSC Monitoring	56,520.55
General Clinical Research Ctr GCRC	917.64
Pharmacy Deans Office	17,620.46
Psychiatry Psych	19,876.19
Scholarships Foundation Funds	-
HS Library and Informatics Ctr	65.09
Neurology	1,527.38
Orthopaedics	-
SOM Surgery	-
SOM Neurosurgery	1,464.58
SOM BA/MD Program	-
Department of Dental Medicine	6,159.12
Molecular Genetics Microbiology	3,275.43
School of Law	150.00
Cell Biology	-
Dermatology	2,568.93
Nursing Deans Office	82,299.69
SR ASSOC DEANS OFFICE	-
SOM Institute for Ethics	-
Anesthesiology Department	-
Obstetrics Gynecology OB GYN	18,282.85
Biochemistry Molecular Biology	14,399.23
Internal Medicine	1,032.24
SOM Student Services	202,916.98
Neurosciences	1,283.72
Pediatrics	3,050.20
VP Research	-
<b>TOTAL</b>	<b>655,382.15</b>
* Clinical Operations Other Revenues Actuals Detail as of 10/31/16	
Allocations	(380,267.43)
Faculty Practice Income	(1,096,196.00)
Grants & Contracts	31,803.00
Gain on Sponsored Projects	674,792.41
Gain on Unrestricted Projects	11,624.31
Misc. Revenue	56,056.94
Sales & Services Revenue (COP Radiopharmacy \$162K, OMI \$798K and CDD Peds - Fit Medicaid Billing \$461K)	1,942,148.34
Student Fees	5,288.00
Transfers	(3,205,422.71)
UH- Grants & Contracts	772,943.00
UH - Other operating revenues	2,019,853.00
UH - 340B Revenues	4,610,219.00
UH - Equity (Loss) in Tricore Invest	414,349.00
UH - Other Non operating Revenues	274,059.00
<b>TOTAL</b>	<b>6,131,249.86</b>

*	<b>Clinical Operations Other Expense Actuals Detail as of 10/31/16</b>	
	Capital Expenditures	104,412.99
	Communication Charges	556,643.27
	Bad Debt Expense	87,472.22
	Other Expenses (Foundation Surcharge, Banner Tax, etc.)	907,746.47
	Patient Care Costs	147,131.39
	Plant Maintenance	1,294,550.67
	Research Costs	89,282.45
	Purchased Services ( Cancer Center \$913K, OMI \$508K, Peds \$429K, Neurology \$270K and Health System \$388K)	4,370,674.04
	Special Grant & Contract Expense	122,556.84
	Student Costs	30,665.89
	Supplies (Cancer Center \$433K, Internal Medicine \$217K, Peds \$241K, Surgery \$186K)	2,208,505.98
	Travel	1,181,431.42
	Utilities	210,613.01
	UH- Supplies/Medical Supplies	63,143,997.00
	UH - UCP	25,581,018.00
	UH - Housestaff	9,951,196.00
	UH - Travel	200,700.00
	UH - Patient Care Costs	13,911,368.00
	UH - Telephone/Communication Costs	1,479,479.00
	UH - Purchased Services	16,572,151.00
	UH - Other Medical Services	13,918,294.00
	UH - Sub Awards/Service Contracts	3,475,885.00
	UH - O&M & Leases	6,421,355.00
	UH - Utilities	2,486,919.00
	UH - Depreciation	10,924,598.00
	UH - Other Expenses	9,826,923.00
	<b>TOTAL</b>	<b>189,205,569.64</b>

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the four month ended period October 31, 2016  
Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Health Sciences Center - Total Operations Current Funds**

	FY 2017 Full Year Re-Forecasted Budget	FY 2017 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 33%
<b>Instruction and General</b>				
Instruction & General Appropriations	58,696,035	20,595,097	(38,100,938)	35%
Tobacco Settlement Appropriations				
Instruction & General	581,600	193,866	(387,734)	33%
Pediatric Specialty Education	250,000	83,332	(166,668)	33%
Trauma Specialty Education	250,000	83,332	(166,668)	33%
Total Tobacco Settlement Appropriations	1,081,600	360,530	(721,070)	33%
Total Instruction and General Appropriations	59,777,635	20,955,627	(38,822,008)	35%
<b>Research</b>				
State Special Project Appropriations				
Cancer Center	2,494,510	875,268	(1,619,242)	35%
Hepatitis C, Project ECHO	1,987,115	697,232	(1,289,883)	35%
Total State Special Project Appropriations	4,481,625	1,572,500	(2,909,125)	35%
Tobacco Settlement Appropriations				
Genomics, Biocomputing, Environmental Health	937,200	312,400	(624,800)	33%
Total Tobacco Settlement Appropriations	937,200	312,400	(624,800)	33%
Cigarette Tax Revenues	4,473,371	1,761,813	(2,711,558)	39%
Total Research Appropriations	9,892,196	3,646,713	(6,245,483)	37%
<b>Public Service</b>				
State Special Project Appropriations				
Center for Native American Health	254,600	89,331	(165,269)	35%
Total State Special Project Appropriations	254,600	89,331	(165,269)	35%
Total Public Service Appropriations	254,600	89,331	(165,269)	35%
<b>Clinical Operations</b>				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,105,360	1,089,600	(2,015,760)	35%
Office of the Medical Investigator	4,754,750	1,668,332	(3,086,418)	35%
Pediatric Oncology	1,208,210	423,932	(784,278)	35%
Poison and Drug Info Center	1,470,980	516,132	(954,848)	35%
Native American Suicide Prevention	92,435	32,432	(60,003)	35%
GME Residencies	1,675,230	587,800	(1,087,430)	35%
UNM Hospitals	12,158,100	4,052,698	(8,105,402)	33%
Total State Special Project Appropriations	24,465,065	8,370,926	(16,094,139)	34%
Tobacco Settlement Appropriations				
Pediatric Oncology	250,000	83,333	(166,667)	33%
Poison and Drug Info Center	590,300	196,767	(393,533)	33%
Total Tobacco Settlement Appropriations	840,300	280,100	(560,200)	33%
Total Clinical Operations Appropriations	25,305,365	8,651,026	(16,654,339)	34%

# **UNM Health Sciences Research and Public Service Projects in New Mexico Statute**

Carrie Tingley Hospital\*: 23-2 NMSA 1978

Children's Psychiatric Hospital: 23-8 NMSA 1978

Clearinghouse for Native American Suicide Prevention: 9-7-6.7 NMSA 1978

Office of the Medical Investigator: 24-11 NMSA 1978

NM Poison and Drug Information Center: 23-10 NMSA 1978

\*Carrie Tingley Hospital is also in Article XIV of the New Mexico Constitution



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only\***  
**2017 Fiscal Year-to-Date Summary through October 31, 2016 (Preliminary and Unaudited)**  
(In thousands)

\* UNIVERSITY HSC ONLY INCLUDES: SOM, CON, COP, HSLIC, ADMIN, RESEARCH

	University HSC FY 2017 Re-Forecasted Budget 10/31/2016	University HSC FY 2017 YTD 10/31/2016 Actual	University HSC FY 2016 Year End Actual	University HSC FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (33%)	University HSC FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (33%)
1 UNM MEDICAL GROUP REVENUES	127,774	41,627	123,498	33%	34%
2 UNM HOSPITALS REVENUES	172,381	53,181	164,605	31%	32%
3 SRMC REVENUES	22,446	6,653	20,067	30%	33%
4 TUITION AND FEES	16,373	8,212	15,958	50%	51%
5 CIGARETTE TAX REVENUES	4,473	1,762	3,629	39%	49%
6 RPSA APPROPRIATIONS	18,821	6,573	20,423	35%	32%
7 I&G APPROPRIATIONS	59,972	21,020	64,256	35%	33%
8 I&G MAIN CAMPUS TRANSFERS	20,821	7,049	19,269	34%	37%
9 F&A REVENUES (OH RETURN)	24,000	7,659	24,776	32%	31%
10 HSC/UNM INTERNAL TRANSFERS	(6,151)	(2,203)	(2,494)	36%	88%
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	34,513	10,406	31,401	30%	33%
13 CONTRACT AND GRANT REVENUES	151,507	46,933	148,517	31%	32%
<b>TOTAL REVENUES</b>	<b>646,932</b>	<b>208,871</b>	<b>633,904</b>	<b>32%</b>	<b>33%</b>
14 TOTAL COMPENSATION EXPENSES	419,425	135,605	387,882	32%	35%
15 SUPPLIES/MEDICAL SUPPLIES	14,391	3,712	13,963	26%	27%
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	6,350	1,578	5,316	25%	30%
19 STUDENT COSTS	3,701	1,370	3,502	37%	39%
20 PATIENT CARE COSTS	1,769	201	1,113	11%	18%
21 TELEPHONE/COMMUNICATION COSTS	2,233	804	2,367	36%	34%
22 PURCHASED SERVICES	33,427	12,980	31,793	39%	41%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	794	317	1,040	40%	30%
25 O&M & LEASES	6,312	2,170	6,177	34%	35%
26 UTILITIES	6,722	1,821	5,185	27%	35%
27 DEPRECIATION	0	0	0	N/A	N/A
28 INTEREST EXPENSE	2,405	802	2,399	33%	33%
29 OTHER EXPENSES	6,322	1,122	3,776	18%	30%
30 CONTRACT AND GRANT EXPENSES	139,382	43,654	139,893	31%	31%
<b>TOTAL EXPENSES</b>	<b>643,233</b>	<b>206,135</b>	<b>604,406</b>	<b>32%</b>	<b>34%</b>
<b>OPERATING NET MARGIN</b>	<b>3,699</b>	<b>2,735</b>	<b>29,498</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	3,264	365	1,700	11%	21%
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
34 RECRUITMENT/STARTUP/SCHOLARSHIPS	3,719	1,205	3,263	32%	37%
35 NON-RECURRING TRANSFERS	580	333	18,769	57%	2%
36 HEALTH INSURANCE - OPEB	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>7,564</b>	<b>1,904</b>	<b>23,732</b>	<b>25%</b>	<b>8%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(3,864)</b>	<b>831</b>	<b>5,766</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only**  
**2017 Fiscal Year-to-Date Summary through October 31, 2016 (Preliminary and Unaudited)**  
**(In thousands)**

Clinical Operations

	UNM Hospitals FY 2017 Re-Forecasted Budget 10/31/2016	UNM Hospitals FY 2017 YTD 10/31/2016 Actual	UNM Hospitals FY 2016 Year End Actual	UNM Hospitals FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (33%)	UNM Hospitals FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (33%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	864,979	299,242	872,467	35%	34%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	12,158	4,053	13,038	33%	31%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	95,849	31,993	95,849	33%	33%
12 OTHER REVENUES	22,156	8,355	24,218	38%	34%
13 CONTRACT AND GRANT REVENUES	2,449	773	2,802	32%	28%
<b>TOTAL REVENUES</b>	<b>997,592</b>	<b>344,415</b>	<b>1,008,375</b>	<b>35%</b>	<b>34%</b>
14 TOTAL COMPENSATION EXPENSES	484,560	165,426	474,255	34%	35%
15 SUPPLIES/MEDICAL SUPPLIES	175,236	63,144	170,387	36%	37%
16 UNIVERSITY CLINICIANS PROGRAM	74,315	25,581	79,982	34%	32%
17 HOUSESTAFF	29,561	9,951	29,036	34%	34%
18 TRAVEL	748	201	752	27%	27%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	39,024	13,911	38,920	36%	36%
21 TELEPHONE/COMMUNICATION COSTS	4,384	1,479	4,074	34%	36%
22 PURCHASED SERVICES	45,291	16,572	46,401	37%	36%
23 OTHER MEDICAL SERVICES	41,474	13,918	43,901	34%	32%
24 SUB AWARDS/SERVICE CONTRACTS	9,476	3,476	10,598	37%	33%
25 O&M & LEASES	18,327	6,421	18,816	35%	34%
26 UTILITIES	7,072	2,487	6,732	35%	37%
27 DEPRECIATION	30,916	10,925	32,997	35%	33%
28 INTEREST EXPENSE	3,172	1,057	3,183	33%	33%
29 OTHER EXPENSES	34,032	9,827	41,233	29%	24%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>997,587</b>	<b>344,377</b>	<b>1,001,268</b>	<b>35%</b>	<b>34%</b>
<b>OPERATING NET MARGIN</b>	<b>4</b>	<b>37</b>	<b>7,108</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	(1,085)	N/A	0%
33 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
34 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
35 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
36 HEALTH INSURANCE - OPEB	0	0	(6,811)	N/A	0%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>0</b>	<b>0</b>	<b>(7,896)</b>	<b>N/A</b>	<b>0%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>4</b>	<b>37</b>	<b>15,004</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only**  
**2017 Fiscal Year-to-Date Summary through October 31, 2016 (Preliminary and Unaudited)**  
(In thousands)

Clinical Operations

	UNMMG FY 2017 Re-Forecasted Budget 10/31/2016	UNMMG FY 2017 YTD 10/31/2016 Actual	UNMMG FY 2016 Year End Actual	UNMMG FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (33%)	UNMMG FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (33%)
1 UNM MEDICAL GROUP REVENUES	204,507	67,072	195,215	33%	34%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	1,172	411	1,257	35%	33%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	11,142	2,703	9,735	24%	28%
13 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
<b>TOTAL REVENUES</b>	<b>216,821</b>	<b>70,186</b>	<b>206,207</b>	<b>32%</b>	<b>34%</b>
14 TOTAL COMPENSATION EXPENSES	22,595	6,635	18,925	29%	35%
15 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	0	0	0	N/A	N/A
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	31,337	9,411	26,916	30%	35%
21 TELEPHONE/COMMUNICATION COSTS	0	0	0	N/A	N/A
22 PURCHASED SERVICES	153,392	50,879	151,333	33%	34%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
25 O&M & LEASES	592	177	531	30%	33%
26 UTILITIES	0	0	0	N/A	N/A
27 DEPRECIATION	529	148	471	28%	31%
28 INTEREST EXPENSE	0	0	0	N/A	N/A
29 OTHER EXPENSES	5,984	2,472	6,678	41%	37%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>214,429</b>	<b>69,723</b>	<b>204,854</b>	<b>33%</b>	<b>34%</b>
<b>OPERATING NET MARGIN</b>	<b>2,392</b>	<b>463</b>	<b>1,353</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	(1,658)	(24)	N/A	7047%
33 USE OF UNMMG RESERVES	1,845	105	550	6%	19%
34 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
35 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
36 HEALTH INSURANCE - OPEB	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>1,845</b>	<b>(1,553)</b>	<b>526</b>	<b>-84%</b>	<b>-295%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>547</b>	<b>2,015</b>	<b>826</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only**  
**2017 Fiscal Year-to-Date Summary through October 31, 2016 (Preliminary and Unaudited)**  
(In thousands)

Clinical Operations

	SRMC FY 2017 Re-Forecasted Budget 10/31/2016	SRMC FY 2017 YTD 10/31/2016 Actual	SRMC FY 2016 Year End Actual	SRMC FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (33%)	SRMC FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (33%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	79,174	26,080	76,624	33%	34%
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSAP APPROPRIATIONS	0	0	0	N/A	N/A
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	6,153	2,051	6,153	33%	33%
12 OTHER REVENUES	472	172	552	36%	31%
13 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
<b>TOTAL REVENUES</b>	<b>85,799</b>	<b>28,302</b>	<b>83,328</b>	<b>33%</b>	<b>34%</b>
14 TOTAL COMPENSATION EXPENSES	36,580	12,203	38,404	33%	32%
15 SUPPLIES/MEDICAL SUPPLIES	17,311	6,432	16,783	37%	38%
16 UNIVERSITY CLINICIANS PROGRAM	3,769	0	784	0%	0%
17 HOUSESTAFF	264	116	264	44%	44%
18 TRAVEL	5	2	32	46%	7%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	6,264	1,971	5,767	31%	34%
21 TELEPHONE/COMMUNICATION COSTS	128	44	131	34%	34%
22 PURCHASED SERVICES	3,258	1,382	3,363	42%	41%
23 OTHER MEDICAL SERVICES	403	198	430	49%	46%
24 SUB AWARDS/SERVICE CONTRACTS	1,514	539	1,736	36%	31%
25 O&M & LEASES	558	291	1,143	52%	25%
26 UTILITIES	831	255	619	31%	41%
27 DEPRECIATION	8,374	2,786	8,456	33%	33%
28 INTEREST EXPENSE	3,805	1,269	3,898	33%	33%
29 OTHER EXPENSES	2,731	811	1,515	30%	54%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>85,796</b>	<b>28,299</b>	<b>83,324</b>	<b>33%</b>	<b>34%</b>
<b>OPERATING NET MARGIN</b>	<b>3</b>	<b>3</b>	<b>4</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
34 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
35 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
36 HEALTH INSURANCE - OPEB	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>	<b>N/A</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>3</b>	<b>3</b>	<b>4</b>		





**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC**  
**2017 Fiscal Year-to-Date Summary through October 31, 2016 (Preliminary and Unaudited)**

(In thousands)

\* INCLUDES INTERCOMPANY ELIMINATIONS

Clinical and Academic Operations

	Consolidated HSC FY 2017 Re-Forecasted Budget 10/31/2016	Consolidated HSC FY 2017 YTD 10/31/2016 Actual	Consolidated HSC FY 2016 Year End Actual	Consolidated HSC FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (33%)	Consolidated HSC FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (33%)
1 UNM MEDICAL GROUP REVENUES *	204,507	67,072	195,215	33%	34%
2 UNM HOSPITALS REVENUES *	867,743	299,848	874,720	35%	34%
3 SRMC REVENUES *	79,174	26,080	76,624	33%	34%
4 TUITION AND FEES	16,373	8,212	15,958	50%	51%
5 CIGARETTE TAX REVENUES	4,473	1,762	3,629	39%	49%
6 RPSA APPROPRIATIONS	32,151	11,036	34,718	34%	32%
7 I&G APPROPRIATIONS	59,972	21,020	64,256	35%	33%
8 I&G MAIN CAMPUS TRANSFERS	20,821	7,049	19,269	34%	37%
9 F&A REVENUES (OH RETURN)	24,000	7,659	24,776	32%	31%
10 HSC/UNM INTERNAL TRANSFERS	(6,151)	(2,203)	(2,494)	36%	88%
11 MILL LEVY	102,002	34,044	102,002	33%	33%
12 OTHER REVENUES *	53,579	16,224	52,103	30%	31%
13 CONTRACT AND GRANT REVENUES	153,957	47,706	151,319	31%	32%
<b>TOTAL REVENUES</b>	<b>1,612,602</b>	<b>545,507</b>	<b>1,612,094</b>	<b>34%</b>	<b>34%</b>
14 TOTAL COMPENSATION EXPENSES *	650,570	220,481	620,657	34%	36%
15 SUPPLIES/MEDICAL SUPPLIES	206,938	73,288	201,134	35%	36%
16 UNIVERSITY CLINICIANS PROGRAM	78,085	25,581	80,766	33%	32%
17 HOUSESTAFF	29,825	10,068	29,300	34%	34%
18 TRAVEL	7,103	1,781	6,100	25%	29%
19 STUDENT COSTS	3,701	1,370	3,502	37%	39%
20 PATIENT CARE COSTS	78,394	25,494	72,715	33%	35%
21 TELEPHONE/COMMUNICATION COSTS	6,745	2,328	6,573	35%	35%
22 PURCHASED SERVICES *	213,415	74,934	211,979	35%	35%
23 OTHER MEDICAL SERVICES	41,877	14,116	44,331	34%	32%
24 SUB AWARDS/SERVICE CONTRACTS	11,784	4,331	13,374	37%	32%
25 O&M & LEASES	25,789	9,060	26,668	35%	34%
26 UTILITIES	14,625	4,564	12,536	31%	36%
27 DEPRECIATION	39,819	13,859	41,924	35%	33%
28 INTEREST EXPENSE	9,382	3,128	9,479	33%	33%
29 OTHER EXPENSES	49,069	14,231	53,202	29%	27%
30 CONTRACT AND GRANT EXPENSES	139,382	43,654	139,893	31%	31%
<b>TOTAL EXPENSES</b>	<b>1,606,503</b>	<b>542,268</b>	<b>1,574,132</b>	<b>34%</b>	<b>34%</b>
<b>OPERATING NET MARGIN</b>	<b>6,098</b>	<b>3,238</b>	<b>37,962</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	3,264	365	1,700	11%	21%
32 MEANINGFUL USE REVENUE	0	(1,658)	(1,108)	N/A	150%
33 USE OF UNMMG RESERVES	1,845	105	550	6%	19%
34 RECRUITMENT/STARTUP/SCHOLARSHIPS	3,719	1,205	3,263	32%	37%
35 NON-RECURRING TRANSFERS	580	333	18,769	57%	2%
36 HEALTH INSURANCE - OPEB	0	0	(6,811)	N/A	0%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>9,409</b>	<b>351</b>	<b>16,362</b>	<b>4%</b>	<b>2%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(3,310)</b>	<b>2,887</b>	<b>21,600</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC**  
**2017 Fiscal Year-to-Date Summary through October 31, 2016 (Preliminary and Unaudited)**

(In thousands)

DOES NOT INCLUDE INTERCOMPANY ELIMINATIONS

Clinical and Academic Operations

	Consolidated HSC FY 2017 Re-Forecasted Budget 10/31/2016	Consolidated HSC FY 2017 YTD 10/31/2016 Actual	Consolidated HSC FY 2016 Year End Actual	Consolidated HSC FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (33%)	Consolidated HSC FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (33%)
1 UNM MEDICAL GROUP REVENUES	332,281	108,699	318,713	33%	34%
2 UNM HOSPITALS REVENUES	1,037,361	352,423	1,037,072	34%	34%
3 SRMC REVENUES	101,620	32,733	96,691	32%	34%
4 TUITION AND FEES	16,373	8,212	15,958	50%	51%
5 CIGARETTE TAX REVENUES	4,473	1,762	3,629	39%	49%
6 RPSF APPROPRIATIONS	32,151	11,036	34,718	34%	32%
7 I&G APPROPRIATIONS	59,972	21,020	64,256	35%	33%
8 I&G MAIN CAMPUS TRANSFERS	20,821	7,049	19,269	34%	37%
9 F&A REVENUES (OH RETURN)	24,000	7,659	24,776	32%	31%
10 HSC/UNM INTERNAL TRANSFERS	(6,151)	(2,203)	(2,494)	36%	88%
11 MILL LEVY	102,002	34,044	102,002	33%	33%
12 OTHER REVENUES	68,283	21,635	65,906	32%	33%
13 CONTRACT AND GRANT REVENUES	153,957	47,706	151,319	31%	32%
<b>TOTAL REVENUES</b>	<b>1,947,143</b>	<b>651,774</b>	<b>1,931,814</b>	<b>33%</b>	<b>34%</b>
14 TOTAL COMPENSATION EXPENSES	963,160	319,869	919,466	33%	35%
15 SUPPLIES/MEDICAL SUPPLIES	206,938	73,288	201,134	35%	36%
16 UNIVERSITY CLINICIANS PROGRAM	78,085	25,581	80,766	33%	32%
17 HOUSESTAFF	29,825	10,068	29,300	34%	34%
18 TRAVEL	7,103	1,781	6,100	25%	29%
19 STUDENT COSTS	3,701	1,370	3,502	37%	39%
20 PATIENT CARE COSTS	78,394	25,494	72,715	33%	35%
21 TELEPHONE/COMMUNICATION COSTS	6,745	2,328	6,573	35%	35%
22 PURCHASED SERVICES	235,367	81,813	232,890	35%	35%
23 OTHER MEDICAL SERVICES	41,877	14,116	44,331	34%	32%
24 SUB AWARDS/SERVICE CONTRACTS	11,784	4,331	13,374	37%	32%
25 O&M & LEASES	25,789	9,060	26,668	35%	34%
26 UTILITIES	14,625	4,564	12,536	31%	36%
27 DEPRECIATION	39,819	13,859	41,924	35%	33%
28 INTEREST EXPENSE	9,382	3,128	9,479	33%	33%
29 OTHER EXPENSES	49,069	14,231	53,202	29%	27%
30 CONTRACT AND GRANT EXPENSES	139,382	43,654	139,893	31%	31%
<b>TOTAL EXPENSES</b>	<b>1,941,045</b>	<b>648,535</b>	<b>1,893,852</b>	<b>33%</b>	<b>34%</b>
<b>OPERATING NET MARGIN</b>	<b>6,098</b>	<b>3,238</b>	<b>37,962</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	3,264	365	1,700	11%	21%
32 MEANINGFUL USE REVENUE	0	(1,658)	(1,108)	N/A	150%
33 USE OF UNMMG RESERVES	1,845	105	550	6%	19%
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36 HEALTH INSURANCE - OPEB	0	0	(6,811)	N/A	0%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>9,409</b>	<b>351</b>	<b>16,362</b>	<b>4%</b>	<b>2%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(3,310)</b>	<b>2,887</b>	<b>21,600</b>		

**GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
1	UNM MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A
2	UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3	SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4	TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
5	CIGARETTE TAX REVENUES	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
6	RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A
7	I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
8	I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
9	F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
10	HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
11	MILL LEVY	N/A	N/A	Mil levy taxes collected on behalf of the Bernalillo County Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mil levy taxes collected on behalf of the Sandoval County Treasurer and remitted to SRMC as required by the Hospital Funding Act.
12	OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues and revenue received from UNM Hospitals to cover SRMC physician costs.	All other revenues not contained in any other category: Investment income, equity loss in Tricare, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue
13	CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A
14	TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be operating and certain clinical expenses of UNMMG	Salary, wages & benefits	Salary, wages & benefits

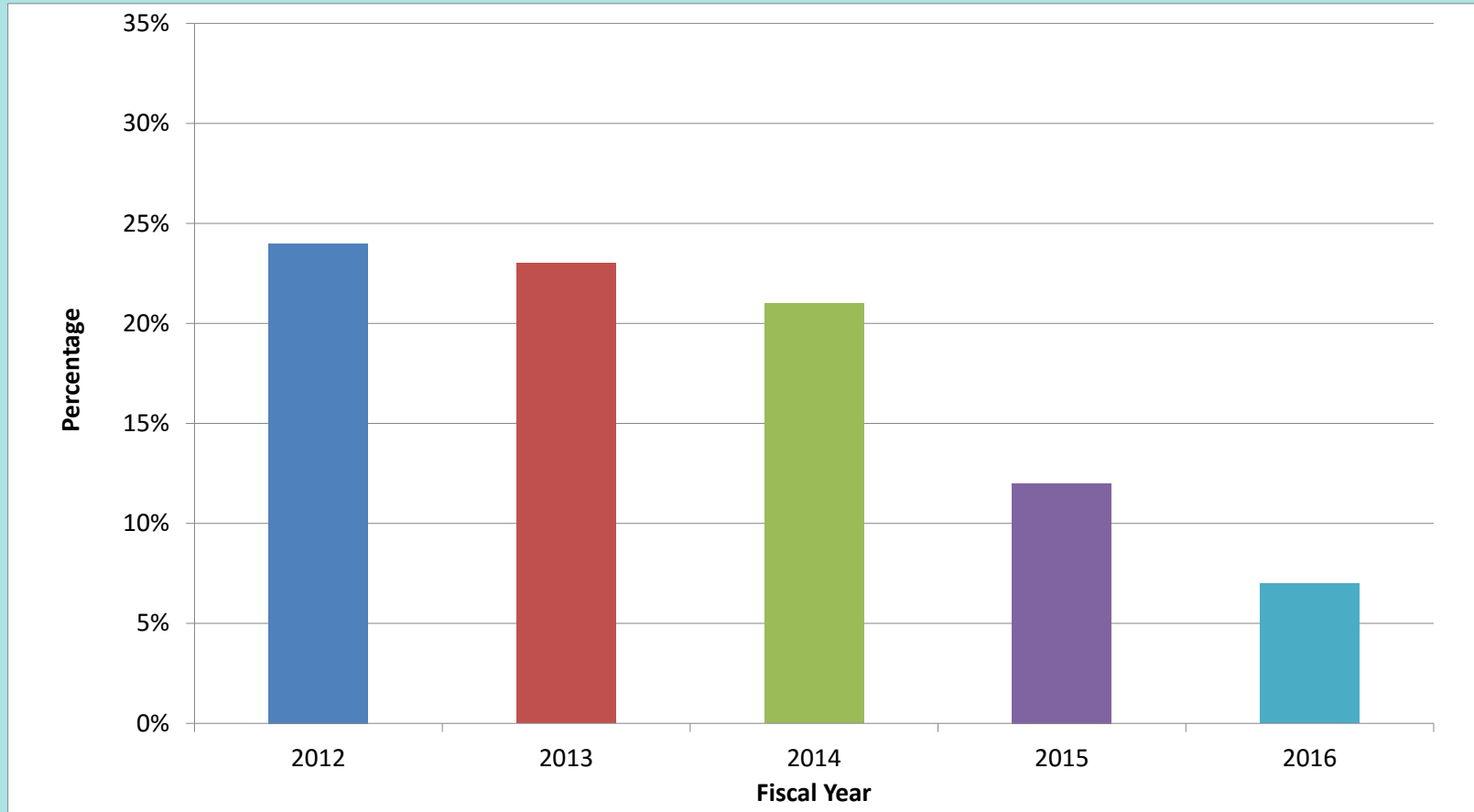
**GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
15	SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
16	UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM
17	HOUSESTAFF	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMMG.
18	TRAVEL	Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.	N/A	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.
19	STUDENT COSTS	Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.	N/A	N/A	N/A
20	PATIENT CARE COSTS	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance
21	TELEPHONE/COMMUNICATION COSTS	Telephone, voicemail, cellular, long distance, paging and data networking charges	N/A	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges
22	PURCHASED SERVICES	Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
23	OTHER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MOU, Adult Infusion net	Physician services
24	SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts
25	O&M & LEASES	Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies
26	UTILITIES	Natural gas, electricity, steam, sewer, water, chilled water	N/A	Natural gas, electricity, steam, sewer, water, chilled water	Natural gas, electricity, steam, sewer, water, chilled water

**GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
27	DEPRECIATION	N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
28	INTEREST EXPENSE	Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
29	OTHER EXPENSES	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.
30	CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
31	CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	This is capital initiatives in the case of UNM Hospitals.	N/A
32	MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
33	USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
34	RECRUITMENT/STARTUP/SCHOLARSHIPS	Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs	N/A	N/A	N/A
35	NON-RECURRING TRANSFERS	Transfers from current unrestricted funds to plant funds or any other non-recurring transfers	N/A	N/A	N/A
36	HEALTH INSURANCE - OPEB	NA	NA	On 12/31/15, UNMH stopped providing health insurance benefits to retired employees	NA

### UNM HEALTH SYSTEM



**FY 2012**

**FY 2013**

**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017  
Oct. 31st YTD**

24%

23%

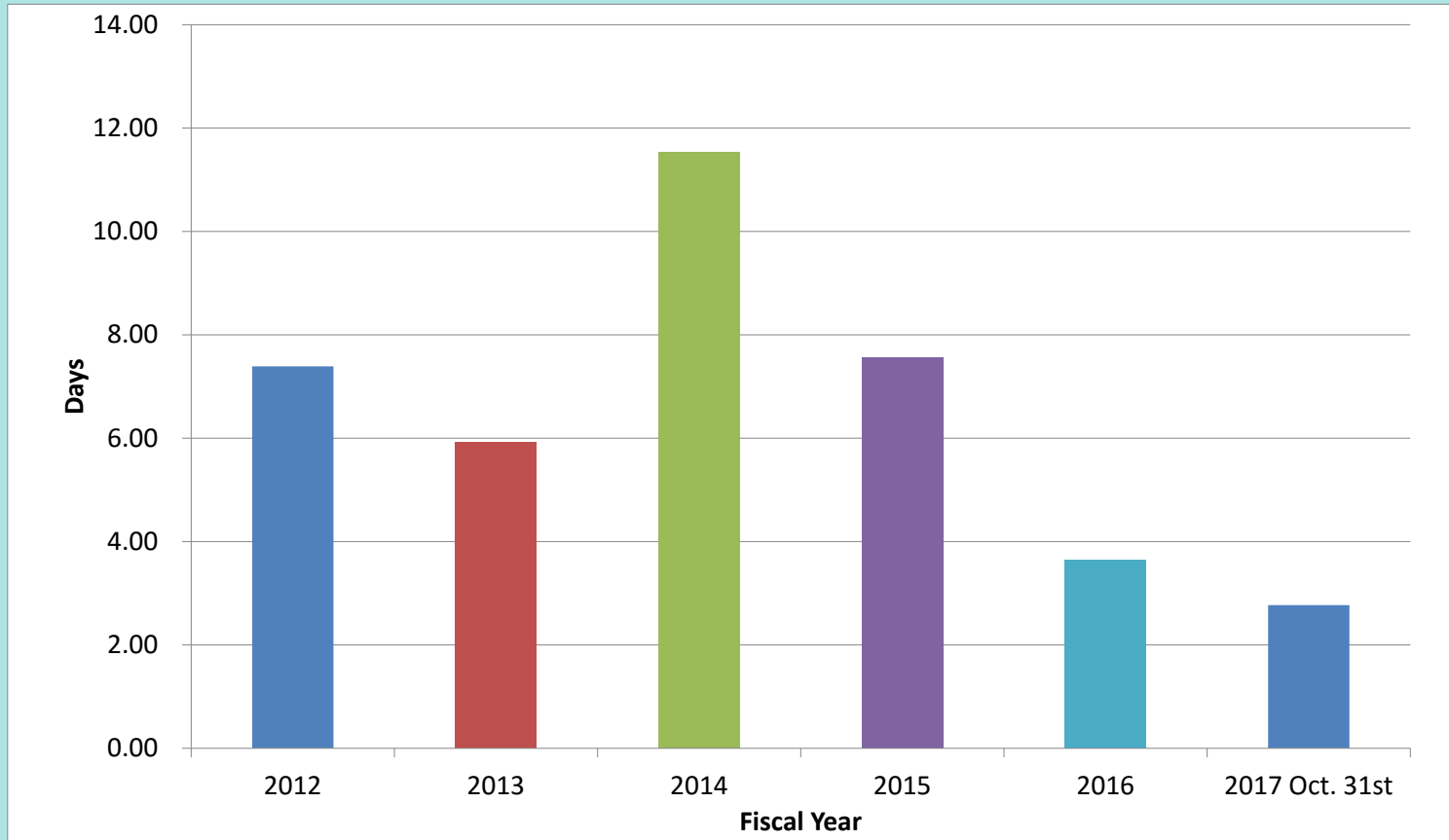
21%

12%

7%

7%

UNIVERSITY HSC



FY 2013  
1st Quarter

7.39

FY 2014  
1st Quarter

5.93

FY 2015  
1st Quarter

11.54

FY 2016  
1st Quarter

7.57

FY 2017  
1st Quarter

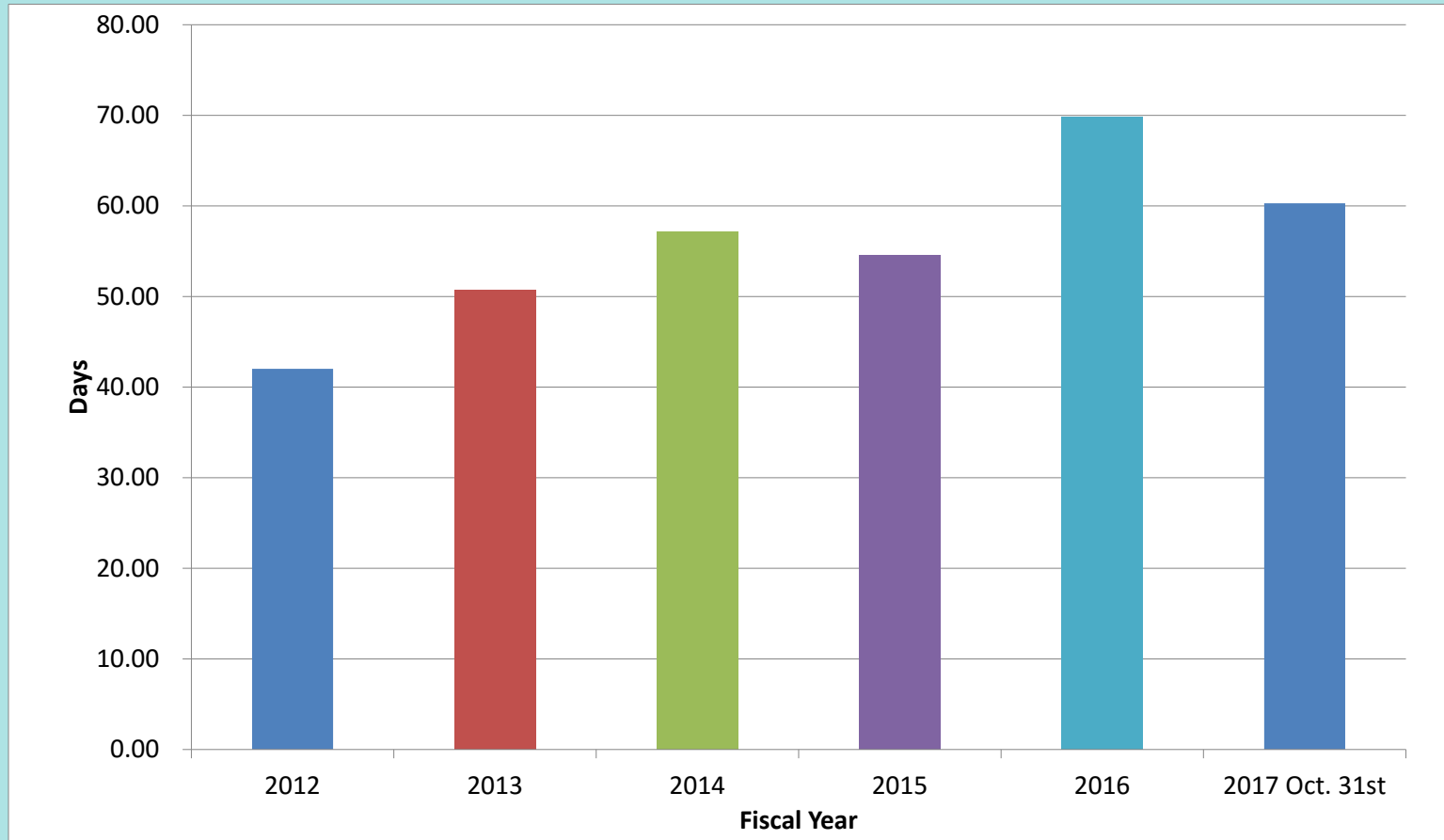
3.64

FY 2017  
Oct. 31st

2.77

Days of Expense in Discretionary Reserves - Benchmark - 10 Days

### UNM HOSPITALS



FY 2013  
1st Quarter

42.02

FY 2014  
1st Quarter

50.72

FY 2015  
1st Quarter

57.18

FY 2016  
1st Quarter

54.53

FY 2017  
1st Quarter

69.79

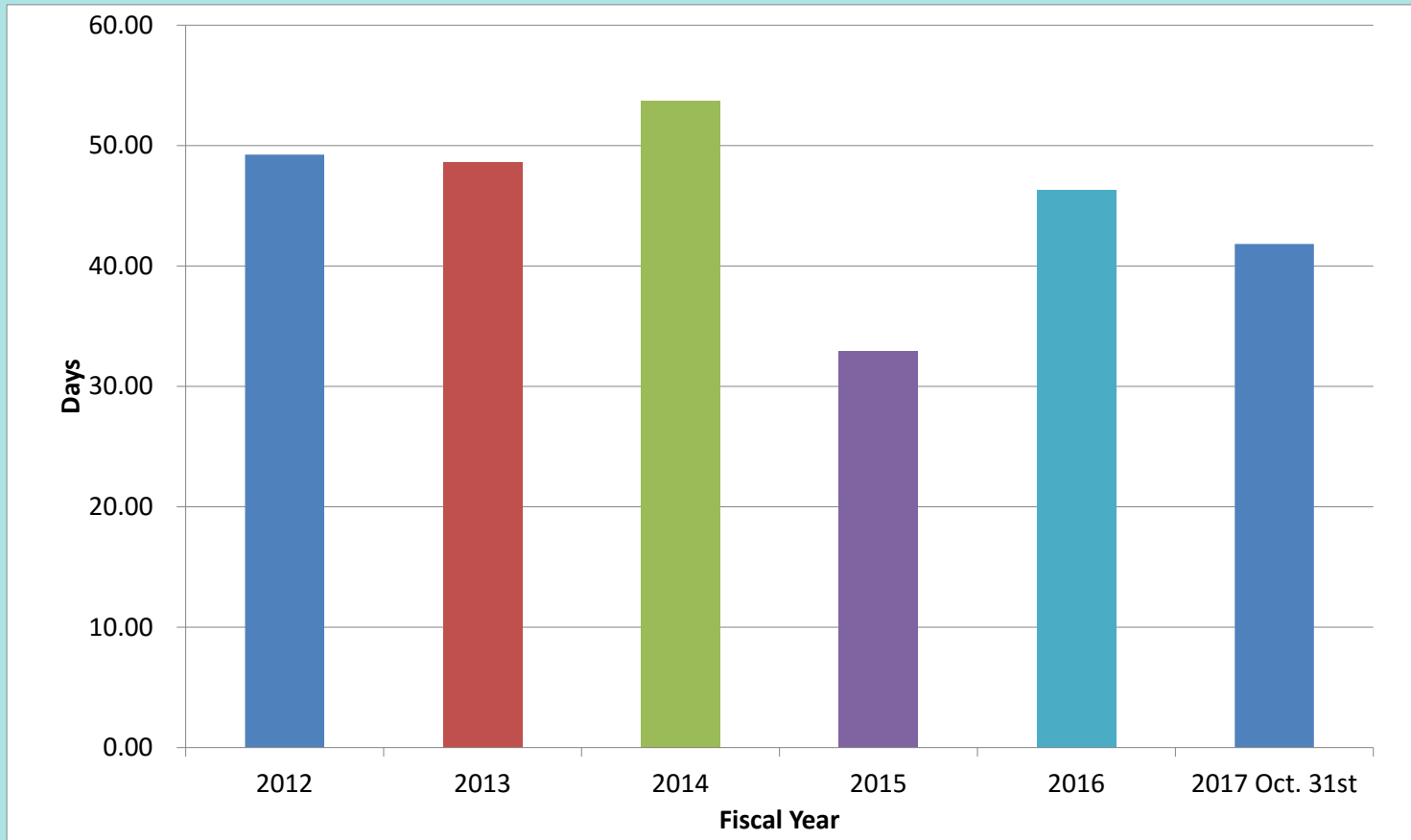
FY 2017  
Oct. 31st

60.29

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 289.4 days cash on hand.



### UNM MEDICAL GROUP



FY 2013  
1st Quarter

FY 2014  
1st Quarter

FY 2015  
1st Quarter

FY 2016  
1st Quarter

FY 2017  
1st Quarter

FY 2017  
Oct. 31st

49.25

48.60

53.71

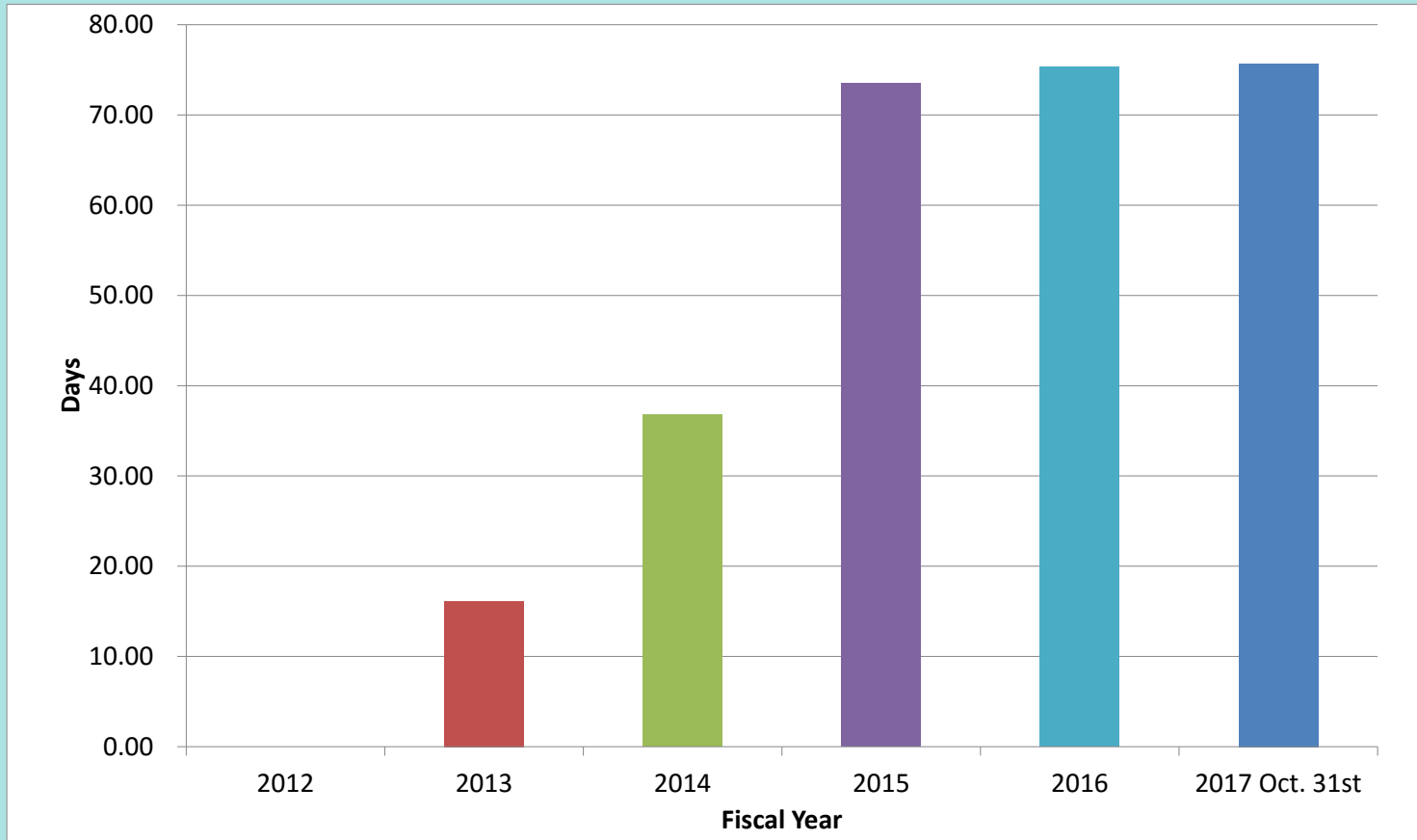
32.90

46.31

41.83

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 289.4 days cash on hand.

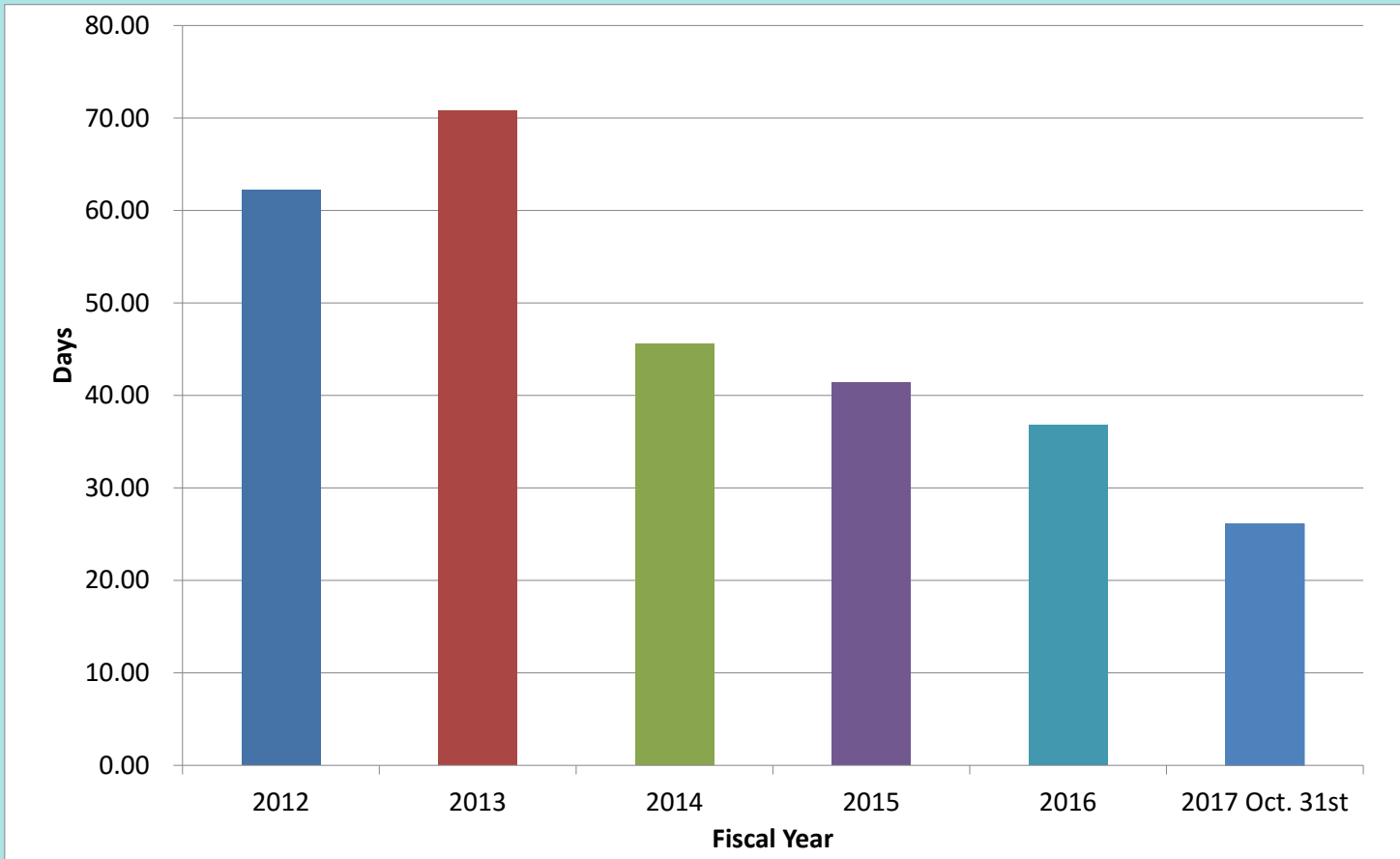
**SANDOVAL REGIONAL MEDICAL CENTER**



FY 2013 1st Quarter	FY 2014 1st Quarter	FY 2015 1st Quarter	FY 2016 1st Quarter	FY 2017 1st Quarter	FY 2017 Oct. 31st
N/A	16.12	36.76	73.52	75.31	75.68

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 289.4 days cash on hand.

**UNIVERSITY HSC**



**FY 2012**

**FY 2013**

**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017  
Oct. 31st**

62.23

70.81

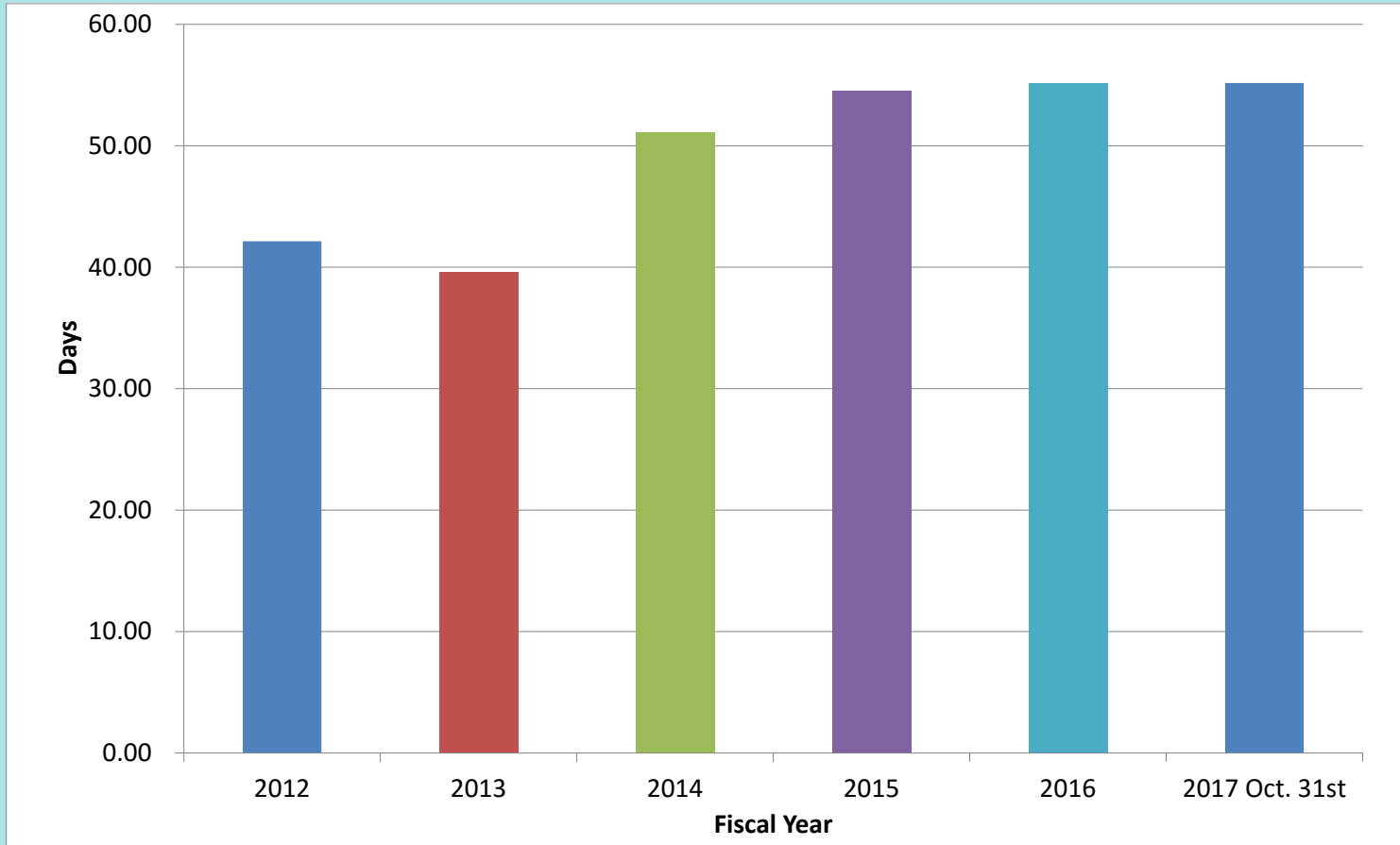
45.57

41.40

36.76

26.11

### UNM HOSPITALS



**FY 2012**

**FY 2013**

**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017  
Oct. 31st**

42.14

39.58

51.09

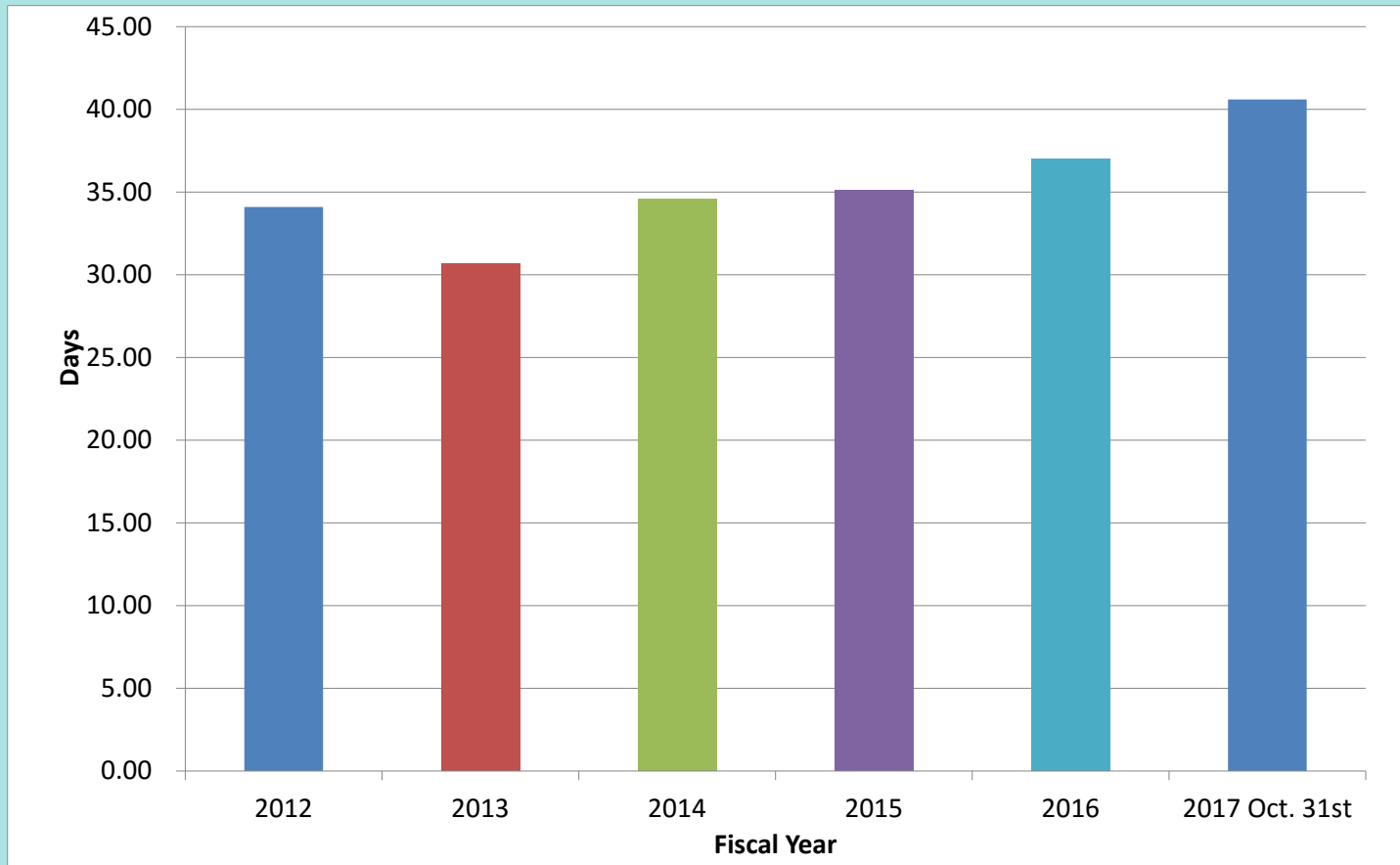
54.52

55.13

55.17

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 47.4 days in accounts receivable

### UNM MEDICAL GROUP



**FY 2012**

**FY 2013**

**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017  
Oct. 31st**

34.10

30.70

34.60

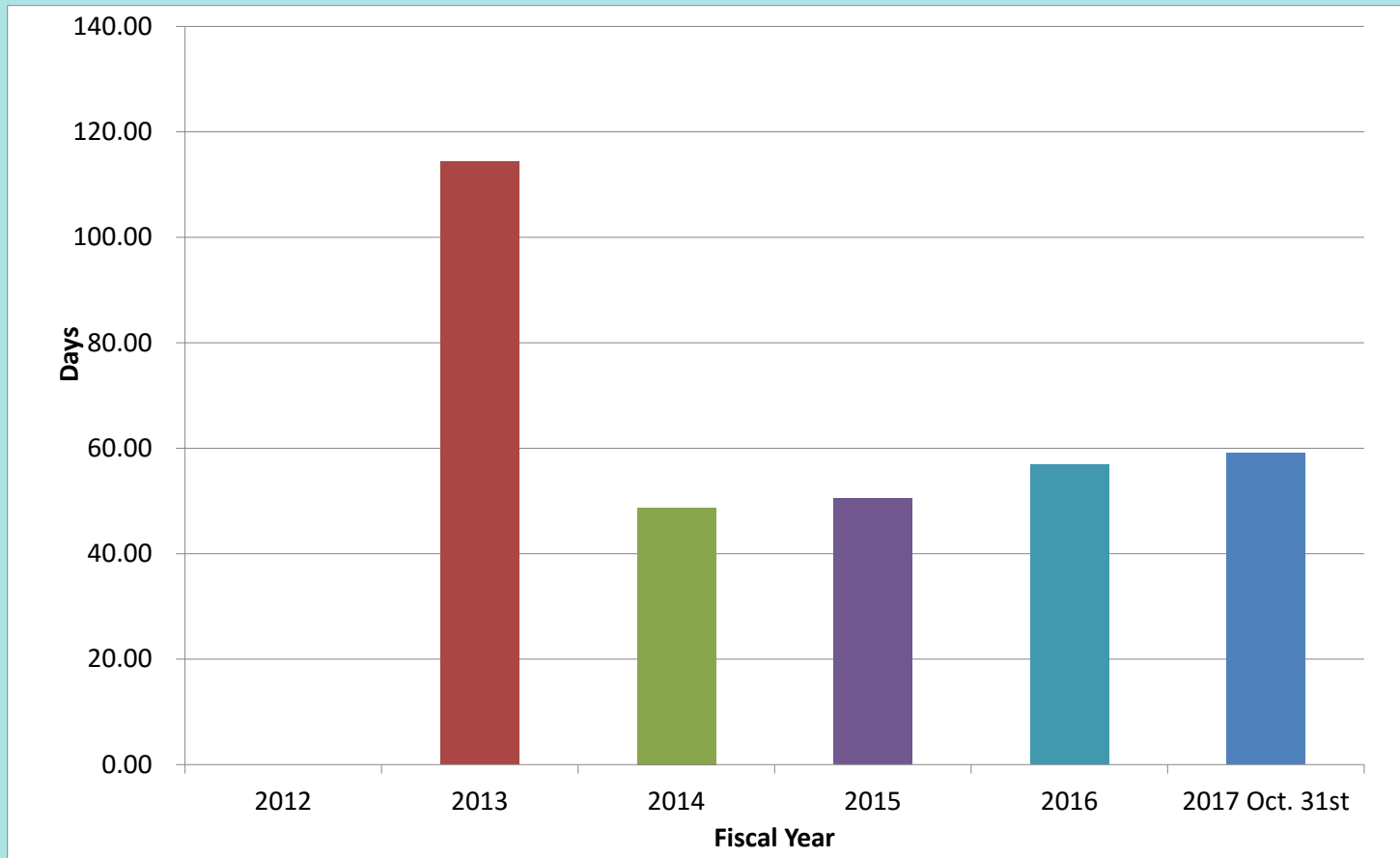
35.10

37.00

40.60

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 47.4 days in accounts receivable

### SANDOVAL REGIONAL MEDICAL CENTER



FY 2012

FY 2013

FY 2014

FY 2015

FY 2016

FY 2017  
Oct. 31st

N/A

114.39

48.71

50.50

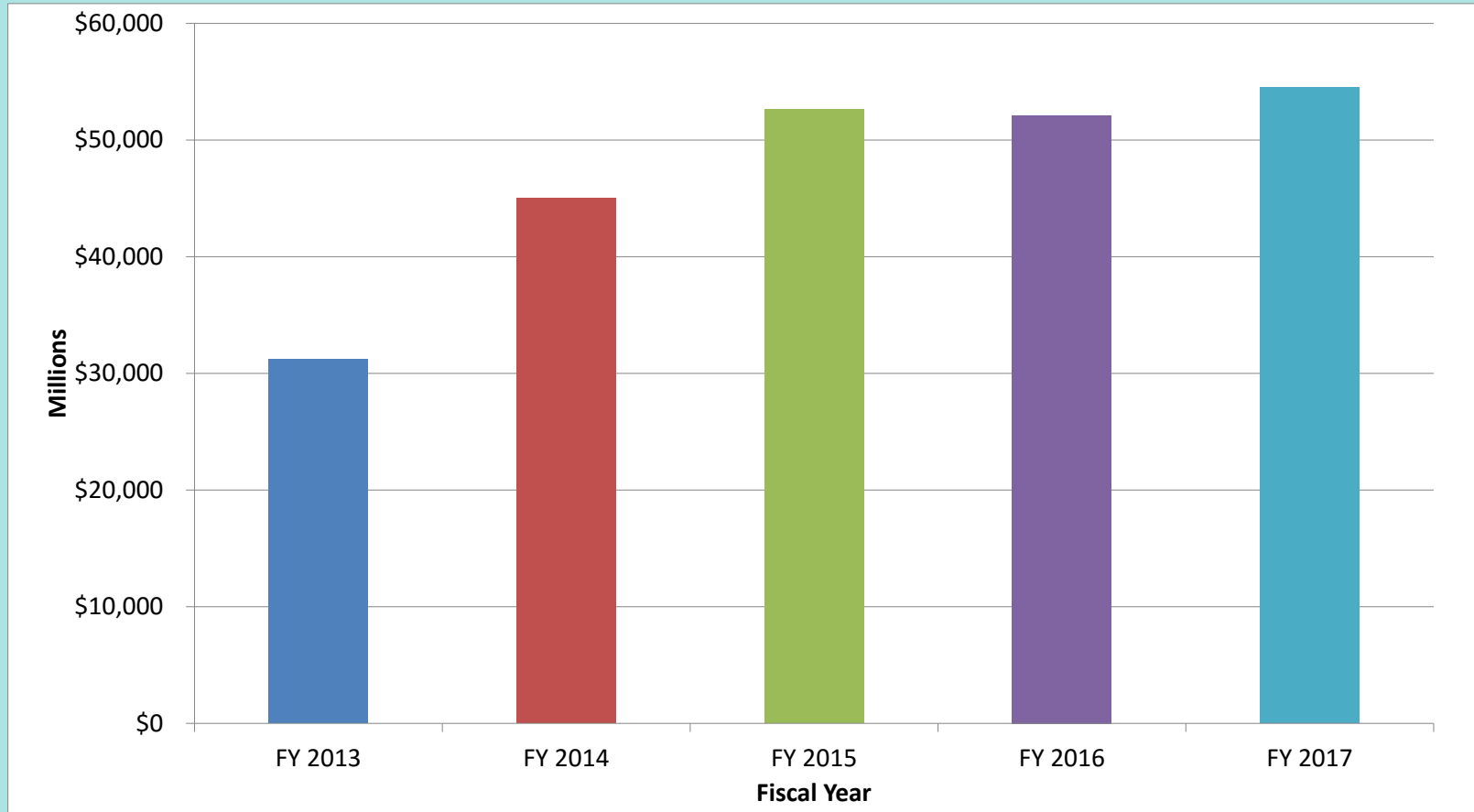
56.90

59.07

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 47.4 days in accounts receivable

**UNM Health Sciences Center  
Metrics - Preliminary and Unaudited  
Awards  
(Amounts are in thousands)**

**UNIVERSITY HSC**



**FY 2013  
1st Quarter**

\$31,225

**FY 2014  
1st Quarter**

\$45,039

**FY 2015  
1st Quarter**

\$52,630

**FY 2016  
1st Quarter**

\$52,107

**FY 2017  
1st Quarter**

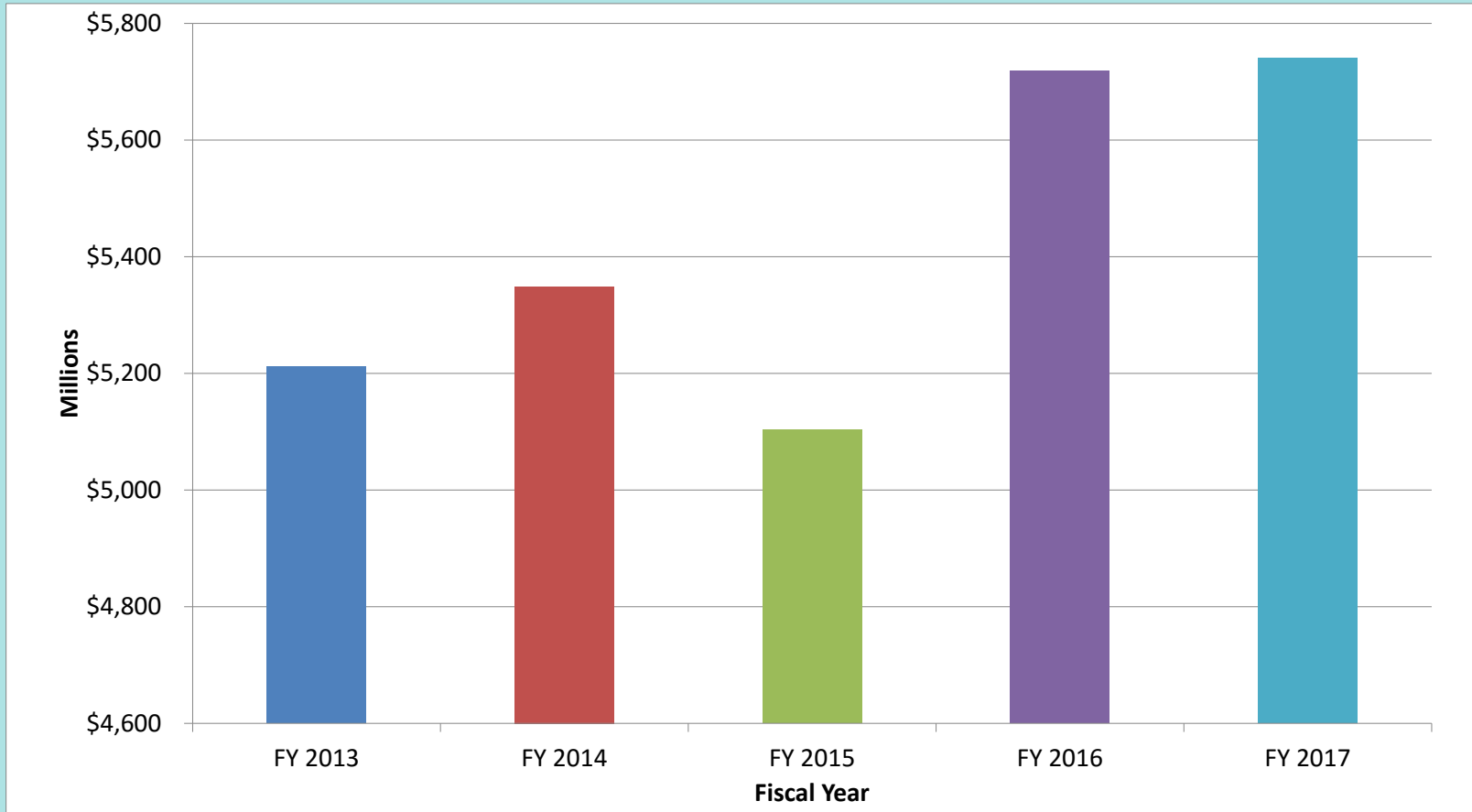
\$54,501

**FY 2017  
Oct. 31st**

\$72,411

**UNM Health Sciences Center**  
**Metrics - Preliminary and Unaudited**  
**Earned F&A**  
 (Amounts are in thousands)

**UNIVERSITY HSC**



**FY 2013**  
1st Quarter

\$5,211

**FY 2014**  
1st Quarter

\$5,349

**FY 2015**  
1st Quarter

\$5,104

**FY 2016**  
1st Quarter

\$5,719

**FY 2017**  
1st Quarter

\$5,740

**FY 2017**  
Oct. 31st

\$7,659