

Statements of Revenues, Expenses and Changes in Net Position Format for Regents  
For the three month period ended September 30, 2016  
Preliminary and Unaudited

**Health Sciences Center - Total Operations Current Funds**

	FY 2017 Full Year Re-Forecasted Budget	FY 2017 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2016 Year-to-Date Actual
Instruction and General					
Tuition and Fees Revenues	16,311,294	7,970,692	(8,340,602)	49%	7,462,269
State/Local Appropriations	59,777,635	15,716,723	(44,060,912)	26%	16,113,902
F & A Revenues	24,000,000	5,740,108	(18,259,892)	24%	5,718,579
Transfers	(64,220)	307,506	371,726	-479%	(1,545,800)
Other Revenues	14,849,108	2,102,179	(12,746,929)	14%	3,740,597
Total Instruction and General Revenues	114,873,817	31,837,208	(83,036,609)	28%	31,489,547
Salaries	74,383,495	18,058,963	(56,324,532)	24%	18,358,279
Benefits	26,450,855	5,314,561	(21,136,294)	20%	5,920,872
Other Expenses	18,867,752	4,143,159	(14,724,593)	22%	6,059,330
Total Instruction and General Expenses	119,702,102	27,516,683	(92,185,419)	23%	30,338,481
Net Instruction and General Revenue/(Expense)	(4,828,285)	4,320,525	9,148,810		1,151,066
Research					
State/Local Appropriations	9,892,196	2,803,224	(7,088,972)	28%	2,479,031
Generated Revenues	337,501	84,762	(252,739)	25%	99,642
Transfers	13,206,006	1,794,326	(11,411,680)	14%	3,267,649
Other Revenues	1,625,979	289,326	(1,336,653)	18%	543,170
Total Research Revenues	25,061,682	4,971,638	(20,090,044)	20%	6,389,492
Salaries and Benefits	15,883,867	3,549,513	(12,334,354)	22%	3,514,243
Other Expenses	10,847,698	2,111,135	(8,736,563)	19%	2,051,212
Total Research Expenses	26,731,565	5,660,648	(21,070,917)	21%	5,565,455
Net Research Revenue/(Expense)	(1,669,883)	(689,010)	980,873		824,037
Public Service					
State/Local Appropriations	254,600	66,999	(187,601)	26%	68,676
Sales and Services Revenues	5,364,314	1,905,145	(3,459,169)	36%	2,329,162
Gifts	1,826,904	398,435	(1,428,469)	22%	658,457
Transfers	5,975,985	1,550,963	(4,425,022)	26%	1,756,114
Other Revenues	2,847,981	434,032	(2,413,949)	15%	166,714
Total Public Service Revenues	16,269,784	4,355,574	(11,914,210)	27%	4,979,123
Salaries and Benefits	4,937,854	1,043,006	(3,894,848)	21%	2,071,424
Other Expenses	11,329,692	2,209,836	(9,119,856)	20%	2,207,899
Total Public Service Expenses	16,267,546	3,252,842	(13,014,704)	20%	4,279,323
Net Public Service Revenue/(Expense)	2,238	1,102,732	1,100,494		699,800

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	FY 2017 Full Year Re-Forecasted Budget	FY 2017 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2016 Year-to-Date Actual
<b>Student Aid</b>					
Gifts	1,808,623	446,698	(1,361,925)	25%	430,499
Investment Income	-	-	-	N/A	-
Transfers	2,200,660	498,497	(1,702,163)	23%	527,218
Other Revenues	41,896	-	(41,896)	0%	240
Total Student Aid Revenues	4,051,179	945,195	(3,105,984)	23%	957,957
Salaries and Benefits	1,780,645	506,034	(1,274,611)	28%	383,073
Other Expenses	2,953,888	559,320	(2,394,568)	19%	467,065
Total Student Aid Expenses	4,734,533	1,065,354	(3,669,179)	23%	850,138
Net Student Aid Revenue/(Expense)	(683,354)	(120,159)	563,195		107,819
<b>Student Activities</b>					
Fee Revenues	-	-	-	N/A	-
Sales and Services Revenues	33,266	12,301	(20,965)	37%	17,544
Transfers	1,640	1,640	-	100%	-
Other Revenues	2,500	-	(2,500)	0%	400
Total Student Activities Revenues	37,406	13,941	(23,465)	37%	17,944
Salaries and Benefits	6,354	466	(5,888)	7%	3,066
Other Expenses	61,442	1,052	(60,390)	2%	15,883
Total Student Activities Expenses	67,796	1,518	(66,278)	2%	18,949
Net Student Activities Revenue/(Expense)	(30,390)	12,423	42,813		(1,005)
<b>Sponsored Programs</b>					
Federal Grants and Contracts Revenues	103,142,657	23,504,560	(79,638,097)	23%	23,936,873
State and Local Grants and Contracts Revenues	15,332,017	3,637,020	(11,694,997)	24%	3,215,708
Non-Governmental Grants and Contracts Revenues	18,119,656	4,859,222	(13,260,434)	27%	4,130,123
Gifts	-	-	-	N/A	-
Other Revenues	-	-	-	N/A	-
Transfers	2,787,639	1,877,374	(910,265)	67%	820,425
Total Sponsored Programs Revenues	139,381,969	33,878,176	(105,503,793)	24%	32,103,129
Salaries and Benefits	78,053,903	19,529,529	(58,524,374)	25%	18,733,334
Other Expenses	61,328,066	14,348,647	(46,979,419)	23%	13,369,795
Total Sponsored Programs Expenses	139,381,969	33,878,176	(105,503,793)	24%	32,103,129
Net Sponsored Programs Revenue/(Expense)	-	-	-		-

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	FY 2017 Full Year Re-Forecasted Budget	FY 2017 Year-to-Date Actual	Fiscal YTD Favrbl/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%	FY 2016 Year-to-Date Actual
Clinical Operations					
State/Local Appropriations	25,305,365	6,492,226	(18,813,139)	26%	7,077,348
Physician Professional Fee Revenues	127,766,319	30,754,820	(97,011,499)	24%	29,897,591
Hospital Facility Revenues	864,979,398	221,441,903	(643,537,495)	26%	228,462,418
Other Patient Revenues, net of Allowance	163,199,943	37,319,441	(125,880,502)	23%	34,209,550
Mil Levy	95,849,351	23,994,498	(71,854,853)	25%	23,304,027
Investment Income	(82,916)	4,757	87,673	-6%	1,074,749
Gifts	2,789,445	695,132	(2,094,313)	25%	1,202,342
Housestaff Revenues	37,907,661	9,790,846	(28,116,815)	26%	9,147,232
Other Revenues	26,265,627	4,469,809	(21,795,818)	17%	5,012,432
Total Clinical Operations Revenues	1,343,980,193	334,963,432	(1,009,016,761)	25%	339,387,689
Salaries and Benefits	751,230,947	190,173,023	(561,057,924)	25%	171,518,180
Interest Expense	3,846,613	961,651	(2,884,962)	25%	967,453
Housestaff Expenses	37,907,661	9,416,047	(28,491,614)	25%	9,211,104
Other Expenses	550,180,209	138,241,645	(411,938,564)	25%	154,982,670
Total Clinical Operations Expenses	1,343,165,430	338,792,366	(1,004,373,064)	25%	336,679,407
Net Clinical Operations Revenue/(Expense)	814,763	(3,828,934)	(4,643,697)		2,708,282
Contingencies					
Total Contingency Revenues	1,288,640	1,535,207	246,567	119%	-
Total Contingency Expenses	(1,246,360)	-	1,246,360	0%	-
Net Contingencies Revenue/(Expense)	2,535,000	1,535,207	(999,793)		-
<b>Net Current Revenue/(Expense)</b>	<b>(3,859,911)</b>	<b>2,332,784</b>	<b>6,192,695</b>		<b>5,489,999</b>

Regent's Report Analysis for Ava Lovell		
September 30, 2016		
<b>*</b>	<b>I&amp;G Other Revenue Actuals Detail as of 9/30/16</b>	
	Misc. Revenue	519.34
	Allocations (offset is to contingency indexes)	(1,895,206.81)
	Faculty Practice Income	822,147.00
	Grants & Contracts	-
	Billings to UH ( Gap Tax, HSC Communications & Mktg. and Other)	3,055,634.19
	Billings to SRMC (HSC Communications & Mktg., etc.)	-
	Billings to UNMMG (Library Collections, Legal Counsel, etc.)	37,500.00
	Sales & Services (Custodial Services, PPD Lock Shop, PPD Landscaping, etc.)	81,584.98
	<b>TOTAL</b>	<b>2,102,178.70</b>
<b>*</b>	<b>I&amp;G Other Expense Actuals Detail as of 9/30/16</b>	
	Capital Expenditures	173,377.26
	Communication Charges	99,756.49
	Bad Debt Expense	-
	Other Expenses	7,052.96
	Patient Care Costs	5,019.82
	Plant Maintenance	269,322.96
	Research Costs	79,021.14
	Purchased Services	1,268,637.73
	Special Grant & Contract Expense	-
	Student Costs	649,856.69
	Supplies	414,913.52
	Travel	119,021.71
	Utilities	1,057,179.15
	<b>TOTAL</b>	<b>4,143,159.43</b>

* I&G Other Expense Actuals Detail as of 9/30/16 - by Org Level 5	
VP Health Sciences Office	6,026.71
Poison Control	3,047.57
HSC Office of Community Affairs	-
School of Medicine Deans Office	134.63
VP HSC Monitoring (General Liability Insurance)	418,152.71
AS BA/MD Program (Scholarships/Fellowships)	411,101.41
SOM Faculty Affairs	15.15
Family Community Medicine Dept.	23,472.90
Interprofessional Education	733.42
Office of Emergency Management	921.51
VP for Community Health	3,547.27
Batcave	-
HSC Academic Affairs	2,823.91
HSC Registrar	1,634.07
Senior Assoc. Dean Office of Educ.	4,259.09
Pathology Dept.	4,911.41
HSC Wellness	18,962.05
Unrestricted Accounting HSC Office	3,437.43
HSC Professionalism Office	1,528.43
HSC Financial Aid	83.34
CNAH	-
HSC Faculty Contracts	3,755.77
HSC Faculty Council	1,201.78
HSC Development Office	17,338.32
COP Clinical Affairs	3,496.15
COP Radiopharmacy	-
Vice Chancellor for Diversity	48,837.15
COPH Deans Office	54,536.32
Exec Vice Chancellor-Operations (Computers, Software, Technology Support)	122,907.63
HSC Office Strategy and Engagement (HSC website design management)	185,000.75
Pharmacy Deans Office (Supplies, Scholarship/Fellowships, Professional Services, etc.)	139,502.10
HSC Financial Services	267.65
Psychiatry Psych	17.40
HS Library and Informatics Ctr. (Electronic Journals & Perpetuals)	317,793.45
Neurology	1,489.28
Orthopaedics	73,042.97
Radiology Dept.	993.87
COP Pharmacy Practice & Admin.	18,527.62
SOM Surgery	-
Undergrad Med Educ Dept. (Curriculum fees, supplies, student costs, etc.)	174,990.41
SOM BA/MD Program	15,292.23
Department of Dental Medicine	37,074.25
COP Pharmaceutical Sciences	21,264.49
Emergency Medicine Dept.	2,699.05
Molecular Genetics Microbiology	4,845.88
HSC Facility Planning	28,748.80
Cell Biology	3,153.03
Dermatology	1,093.07
Nursing Deans Office (Computers, instructional materials, foundation surcharge, etc.)	338,591.63

HSC Budget Office	630.25
HSC Operation Plant Maintenance (Custodial services & supplies, property insurance, utilities, etc.)	1,479,564.54
HSC Human Resources	3,004.04
Anesthesiology Dept.	-
HSC Communications (Promotional expenses, etc.)	147.78
Obstetrics Gynecology OB GYN	-
Biochemistry Molecular Biology	14,427.76
HSC Compliance	1,477.69
Internal Medicine IM	-
Sr Exec Officer - Finance & Admin	50,412.00
SOM Student Services	6,852.51
HSC Legal Counsel Office	18,911.25
Pre Award Services HSC	3,279.67
Contract Grant Accounting HSC	5,461.88
Neurosciences	14,657.65
Pediatrics	23,078.35
<b>TOTAL</b>	<b>4,143,159.43</b>

<b>*</b>	<b>Research Other Revenue Actuals Detail as of 9/30/16</b>	
	Misc. Revenue	2,590.00
	Gifts	-
	Gain on Sponsored Projects	184,321.03
	Billings to UH (CRTC Faculty Research Support, CTSC Rent, HSC Radiation Safety, etc.)	98,293.74
	Billings to SRMC (HSC Radiation Safety)	2,112.51
	Billings to UNMMG (HSC Radiation Safety)	2,008.74
	<b>TOTAL</b>	<b>289,326.02</b>
<b>*</b>	<b>Research Other Expense Actuals Detail as of 9/30/16</b>	
	Capital Expenditures	665.64
	Communication Charges	59,659.41
	Bad Debt Expense	12,501.00
	Debt Service	259,398.18
	Other Expenses	18,369.36
	Patient Care Costs	5,283.67
	Plant Maintenance	226,662.37
	Research Costs	35,227.43
	Purchased Services	923,637.75
	Special Grant & Contract Expense	9,785.43
	Student Costs	20,435.66
	Supplies	344,232.27
	Travel	71,962.23
	Utilities	123,314.46
	<b>TOTAL</b>	<b>2,111,134.86</b>

*	<b>Research Other Expense Actuals Detail as of 9/30/16 - by Org Level 5</b>	
	VP Health Sciences Office	398.46
	Poison Control	2,828.00
	School of Medicine Deans Office	37,005.39
	Cancer Research Treatment Center CRTC (Lab supplies, travel, equipment warranties & maint., debt service, etc.)	205,427.85
	SOM Faculty Affairs	9,844.13
	Family Community Medicine Dept.	-
	HSC O/R Administration (Equipment, supplies, etc.)	85,032.88
	HSC Radiation Safety	24,076.73
	Batcave	40,212.77
	Senior Assoc. Dean Office of Educ.	1,191.34
	Pathology Dept.	55,391.77
	The ECHO Institute (Computers, travel, supplies, professional services, etc.)	89,750.90
	Vice Chancellor for Diversity	-
	COPH Deans Office	(58,098.94)
	Unrestricted Acctg HSC Monitoring	7,774.79
	BBHI	1,772.20
	Pharmacy Deans Office	25,029.66
	AVP FA Monitoring (Top Slice, Plant Repairs, debt service, utilities, etc.)	1,310,092.22
	Psychiatry Psych	11,344.20
	HS Library and Informatics Ctr	306.89
	Neurology	(17,786.36)
	Orthopaedics	8,115.30
	COP Pharmacy Practice & Admin Scien	17,392.99
	SOM Surgery	-
	Undergrad Med Educ Department	12,247.42
	COP Pharmaceutical Sciences	36,120.66
	Emergency Medicine Dept.	-
	Molecular Genetics Microbiology	19,977.37
	Nursing Academic Affairs	-
	Cell Biology	9,700.66
	Nursing Deans Office	2,403.67
	CTSC Administration (Lab supplies, office supplies, equipment, etc.)	103,947.77
	SR Assoc Deans Office	4,722.25
	Psychology Dept.	-
	Obstetrics Gynecology OB GYN	8,437.36
	Biochemistry Molecular Biology	2,183.18
	Internal Medicine IM	14,757.02
	Center for Infectious Disease	3,688.92
	Neurosciences	1,451.50
	Biomedical Rsrch Edu Prog	17,934.13
	Pediatrics	16,459.78
	<b>TOTAL</b>	<b>2,111,134.86</b>



<b>*</b>	<b>Public Service Other Revenue Actuals Detail as of 9/30/16</b>	
	Student Fees	43,190.52
	Allocations (Allocation from Cancer Center (clinical) to HSC Communications for Cancer Center promotions, Allocation from SOM to Exec. Vice Chancellor of Operations for IT Staff Funding, etc.)	289,143.22
	Gain on Sponsored Projects	45,143.96
	Gain on Unrestricted Projects	(11,624.31)
	Misc. Revenue	68,173.84
	Investment Income	4.63
	<b>TOTAL</b>	<b>434,031.86</b>
<b>*</b>	<b>Public Service Other Expense Actuals Detail as of 9/30/16</b>	
	Capital Expenditures	-
	Communication Charges	23,385.57
	Bad Debt Expense	(9,475.43)
	Debt Service	173,244.24
	Other Expenses	(2,239.85)
	Patient Care Costs	271.04
	Plant Maintenance	60,022.83
	Research Costs	7,044.01
	Purchased Services	1,366,689.27
	Special Grant & Contract Expense	9,241.16
	Student Costs	131,078.82
	Supplies	309,630.53
	Travel	95,274.62
	Utilities	45,668.99
	<b>TOTAL</b>	<b>2,209,835.80</b>

*	Public Service Other Expenses Actuals Detail as of 9/30/16 - By Org Level 5	
	HSC Clinical Contracting	9,608.25
	Continuing Medical Educ Dept. (Various courses & conferences costs, etc.)	240,917.11
	VP Health Sciences Office	169.68
	College of Pharmacy Continuing Educ.	83,098.95
	Poison Control	6,866.05
	School of Medicine Deans Office	44,248.82
	Cancer Research Treatment Ctr CRTC	13,616.90
	Family Community Medicine Dept.	-
	BBI Administration	17,039.74
	HSC O/R Administration	12,737.55
	VP for Community Health (Pathways - UHP, Care NM, etc.)	167,351.18
	Senior Assoc. Dean Office of Educ.	810.71
	Pathology Dept.	763.16
	The ECHO Institute	12,345.79
	CNAH	15,445.73
	Inst Indigenous Knowledge & Devl	-
	COP Clinical Affairs	-
	Vice Chancellor for Diversity	18,274.00
	EVC Education	-
	COPH Deans Office	(25,889.17)
	Unrestricted Acctg HSC Monitoring	12,633.15
	Office of the Medical Investigator	16,017.02
	Exec Vice Chancellor-Operations	40,527.26
	Pharmacy Deans Office	44,053.71
	Psychiatry Psych	4,320.61
	HS Library and Informatics Ctr.	3,604.72
	Neurology	-
	Orthopaedics	9,452.13
	COP Pharmacy Practice & Admin.	-
	Radiology	4,439.86
	SOM Surgery	5,432.03
	SOM Neurosurgery	8,136.02
	Undergrad Med Educ Dept.	409.77
	Department of Dental Medicine	10,220.48
	COP Pharmaceutical Sciences	3,067.97
	Emergency Medicine Dept.	54,694.35
	Cell Biology	5,122.63
	Dermatology	201.41
	Nursing Deans Office	50,710.39
	HSC Budget Office (1650 Utilities, Debt Service for 1650 University & Elks Lodge)	225,688.01
	SR Assoc Deans Office	-
	SOM Institute for Ethics	617.89
	Anesthesiology Dept.	-
	HSC Communications (HSC Radio/TV Promotions, HSC Cancer Center Promotions, etc.)	977,871.46
	Obstetrics Gynecology OB/GYN	-
	Internal Medicine IM	3,678.84
	SOM Student Services	68,369.08
	Neurosciences	-
	Pediatrics	43,162.56
	<b>TOTAL</b>	<b>2,209,835.80</b>

* Student Aid Other Expense Actuals Detail as of 9/30/16		
Capital Expenditures		64.45
Communication Charges		1,554.68
Other Expenses		59,739.92
Patient Care Costs		3,500.69
Plant Maintenance		4,565.33
Research Costs		22,935.52
Purchased Services		30,438.42
Student Costs		358,361.00
Supplies		64,480.01
Travel		13,679.84
<b>TOTAL</b>		<b>559,319.86</b>
* Student Aid Other Expenses Actuals Detail as of 9/30/16 - By Org Level 5		
School of Medicine Dean's Office		28,292.00
VP HSC Monitoring		91,617.07
Cancer Research Treatment Ctr CRTC		58,720.35
SOM Monitoring		-
Family Community Medicine Dept.		785.47
VP for Community Health		(107.06)
Pathology Department		5,729.11
Vice Chancellor for Diversity		10,000.00
Unrestricted Acctg HSC Monitoring		56,520.55
General Clinical Research Ctr GCRC		688.23
Pharmacy Deans Office		4,588.03
Psychiatry Psych		19,962.04
Scholarships Foundation Funds		-
HS Library and Informatics Ctr		65.09
Neurology		1,523.59
Orthopaedics		-
SOM Surgery		-
SOM Neurosurgery		1,464.58
SOM BA/MD Program		-
Department of Dental Medicine		6,159.12
Molecular Genetics Microbiology		-
School of Law		150.00
Cell Biology		20.20
Dermatology		2,191.75
Nursing Deans Office		33,903.63
SR ASSOC DEANS OFFICE		-
SOM Institute for Ethics		-
Anesthesiology Department		-
Obstetrics Gynecology OB GYN		16,241.88
Biochemistry Molecular Biology		13,863.89
Internal Medicine		875.69
SOM Student Services		202,916.98
Neurosciences		97.47
Pediatrics		3,050.20
VP Research		-
<b>TOTAL</b>		<b>559,319.86</b>

<b>*</b>	<b>Clinical Operations Other Revenues Actuals Detail as of 9/30/16</b>	
	Allocations	(289,143.22)
	Faculty Practice Income	(822,147.00)
	Grants & Contracts	22,432.32
	Gain on Sponsored Projects	401,868.15
	Gain on Unrestricted Projects	11,624.31
	Misc. Revenue	54,941.94
	Sales & Services Revenue (COP Radiopharmacy \$100K, OMI \$609K and CDD Peds - Fit Medicaid Billing \$409K)	1,577,896.08
	Student Fees	5,288.00
	Transfers	(2,530,216.59)
	UH- Grants & Contracts	622,758.00
	UH - Other operating revenues	1,499,915.00
	UH - 340B Revenues	3,455,864.00
	UH - Equity (Loss) in Tricare Invest	261,003.00
	UH - Other Non operating Revenues	197,725.00
	<b>TOTAL</b>	<b>4,469,808.99</b>
<b>*</b>	<b>Clinical Operations Other Expense Actuals Detail as of 9/30/16</b>	
	Capital Expenditures	89,761.35
	Communication Charges	421,537.62
	Bad Debt Expense	26,069.02
	Other Expenses (Foundation Surcharge, Banner Tax, etc.)	712,248.17
	Patient Care Costs	102,530.58
	Plant Maintenance	850,733.04
	Research Costs	53,954.71
	Purchased Services ( Cancer Center \$650K, OMI \$373K, Peds \$261K, Neurology \$204K and Health System \$270K)	3,548,937.62
	Special Grant & Contract Expense	63,234.61
	Student Costs	19,792.41
	Supplies (Cancer Center \$282K, Internal Medicine \$169K, Peds \$145K, Surgery \$151K)	1,614,708.16
	Travel	908,369.90
	Utilities	135,608.81
	UH- Supplies/Medical Supplies	45,491,333.00
	UH - UCP	18,578,866.00
	UH - Housestaff	7,503,011.00
	UH - Travel	147,842.00
	UH - Patient Care Costs	10,296,001.00
	UH - Telephone/Communication Costs	1,102,360.00
	UH - Purchased Services	12,081,217.00
	UH - Other Medical Services	9,879,535.00
	UH - Sub Awards/Service Contracts	2,472,730.00
	UH - O&M & Leases	4,837,476.00
	UH - Utilities	1,813,633.00
	UH - Depreciation	8,161,556.00
	UH - Other Expenses	7,328,599.00
	<b>TOTAL</b>	<b>138,241,645.00</b>

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For the three month ended period September 30, 2016  
Preliminary and Unaudited

**Detail of State/Local Appropriations**  
**Health Sciences Center - Total Operations Current Funds**

	FY 2017 Full Year Re-Forecasted Budget	FY 2017 Year-to-Date Actual	Fiscal YTD Favrb/(Unfavrb) Budget	Actual to Budget Benchmark Rate 25%
<b>Instruction and General</b>				
Instruction & General Appropriations	58,696,035	15,446,323	(43,249,712)	26%
<b>Tobacco Settlement Appropriations</b>				
Instruction & General	581,600	145,400	(436,200)	25%
Pediatric Specialty Education	250,000	62,500	(187,500)	25%
Trauma Specialty Education	250,000	62,500	(187,500)	25%
Total Tobacco Settlement Appropriations	1,081,600	270,400	(811,200)	25%
Total Instruction and General Appropriations	59,777,635	15,716,723	(44,060,912)	26%
<b>Research</b>				
State Special Project Appropriations				
Cancer Center	2,494,510	656,452	(1,838,058)	26%
Hepatitis C, Project ECHO	1,987,115	522,924	(1,464,191)	26%
Total State Special Project Appropriations	4,481,625	1,179,376	(3,302,249)	26%
<b>Tobacco Settlement Appropriations</b>				
Genomics, Biocomputing, Environmental Health	937,200	234,300	(702,900)	25%
Total Tobacco Settlement Appropriations	937,200	234,300	(702,900)	25%
Cigarette Tax Revenues	4,473,371	1,389,548	(3,083,823)	31%
Total Research Appropriations	9,892,196	2,803,224	(7,088,972)	28%
<b>Public Service</b>				
State Special Project Appropriations				
Center for Native American Health	254,600	66,999	(187,601)	26%
Total State Special Project Appropriations	254,600	66,999	(187,601)	26%
Total Public Service Appropriations	254,600	66,999	(187,601)	26%
<b>Clinical Operations</b>				
State Special Project Appropriations				
Newborn Intensive Care Unit	3,105,360	817,200	(2,288,160)	26%
Office of the Medical Investigator	4,754,750	1,251,249	(3,503,501)	26%
Pediatric Oncology	1,208,210	317,949	(890,261)	26%
Poison and Drug Info Center	1,470,980	387,099	(1,083,881)	26%
Native American Suicide Prevention	92,435	24,324	(68,111)	26%
GME Residencies	1,675,230	440,850	(1,234,380)	26%
UNM Hospitals	12,158,100	3,043,480	(9,114,620)	25%
Total State Special Project Appropriations	24,465,065	6,282,151	(18,182,914)	26%
<b>Tobacco Settlement Appropriations</b>				
Pediatric Oncology	250,000	62,500	(187,500)	25%
Poison and Drug Info Center	590,300	147,575	(442,725)	25%
Total Tobacco Settlement Appropriations	840,300	210,075	(630,225)	25%
Total Clinical Operations Appropriations	25,305,365	6,492,226	(18,813,139)	26%

# **UNM Health Sciences Research and Public Service Projects in New Mexico Statute**

Carrie Tingley Hospital\*: 23-2 NMSA 1978

Children's Psychiatric Hospital: 23-8 NMSA 1978

Clearinghouse for Native American Suicide Prevention: 9-7-6.7 NMSA 1978

Office of the Medical Investigator: 24-11 NMSA 1978

NM Poison and Drug Information Center: 23-10 NMSA 1978

\*Carrie Tingley Hospital is also in Article XIV of the New Mexico Constitution



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - University HSC Only\***  
**2017 Fiscal Year-to-Date Summary through September 30, 2016 (Preliminary and Unaudited)**  
(In thousands)

\* UNIVERSITY HSC ONLY INCLUDES: SOM, CON, COP, HSLIC, ADMIN, RESEARCH

	University HSC FY 2017 Re-Forecasted Budget 9/30/2016	University HSC FY 2017 YTD 9/30/2016 Actual	University HSC FY 2016 Year End Actual	University HSC FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (25%)	University HSC FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	127,774	30,634	123,498	24%	25%
2 UNM HOSPITALS REVENUES	172,381	40,194	164,605	23%	24%
3 SRMC REVENUES	22,446	5,218	22,067	23%	26%
4 TUITION AND FEES	16,373	8,019	15,958	49%	50%
5 CIGARETTE TAX REVENUES	4,473	1,390	3,629	31%	38%
6 RPSAP APPROPRIATIONS	18,821	4,929	20,423	26%	24%
7 I&G APPROPRIATIONS	59,972	15,765	64,256	26%	25%
8 I&G MAIN CAMPUS TRANSFERS	20,821	5,272	19,269	25%	27%
9 F&A REVENUES (OH RETURN)	24,000	5,740	24,776	24%	23%
10 HSC/UNM INTERNAL TRANSFERS	(6,151)	(2,383)	(2,494)	39%	96%
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	34,513	8,156	31,401	24%	26%
13 CONTRACT AND GRANT REVENUES	151,507	34,854	148,517	23%	23%
<b>TOTAL REVENUES</b>	<b>646,932</b>	<b>157,789</b>	<b>633,904</b>	24%	25%
14 TOTAL COMPENSATION EXPENSES	420,940	102,418	387,882	24%	26%
15 SUPPLIES/MEDICAL SUPPLIES	14,391	3,000	13,963	21%	21%
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	6,350	1,210	5,316	19%	23%
19 STUDENT COSTS	3,701	1,180	3,502	32%	34%
20 PATIENT CARE COSTS	1,769	117	1,113	7%	10%
21 TELEPHONE/COMMUNICATION COSTS	2,233	608	2,367	27%	26%
22 PURCHASED SERVICES	32,827	7,143	31,793	22%	22%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	794	82	1,040	10%	8%
25 O&M & LEASES	6,312	1,414	6,177	22%	23%
26 UTILITIES	5,807	1,362	5,185	23%	26%
27 DEPRECIATION	0	0	0	N/A	N/A
28 INTEREST EXPENSE	2,405	601	2,399	25%	25%
29 OTHER EXPENSES	6,322	850	3,776	13%	23%
30 CONTRACT AND GRANT EXPENSES	139,382	32,697	139,893	23%	23%
<b>TOTAL EXPENSES</b>	<b>643,233</b>	<b>152,681</b>	<b>604,406</b>	24%	25%
<b>OPERATING NET MARGIN</b>	<b>3,699</b>	<b>5,108</b>	<b>29,498</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	3,264	264	1,700	8%	16%
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	3,719	890	3,263	24%	27%
36 NON-RECURRING TRANSFERS	580	1,630	18,769	281%	9%
37 HEALTH INSURANCE - OPEB	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>7,564</b>	<b>2,784</b>	<b>23,732</b>	37%	12%
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(3,864)</b>	<b>2,324</b>	<b>5,766</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNM Hospitals Only**  
**2017 Fiscal Year-to-Date Summary through September 30, 2016 (Preliminary and Unaudited)**  
(In thousands)

Clinical Operations

	UNM Hospitals FY 2017 Re-Forecasted Budget 9/30/2016	UNM Hospitals FY 2017 YTD 9/30/2016 Actual	UNM Hospitals FY 2016 Year End Actual	UNM Hospitals FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (25%)	UNM Hospitals FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	864,979	221,442	872,467	26%	25%
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	12,158	3,043	13,038	25%	23%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	95,849	23,994	95,849	25%	25%
12 OTHER REVENUES	22,156	5,874	24,218	27%	24%
13 CONTRACT AND GRANT REVENUES	2,449	623	2,802	25%	22%
<b>TOTAL REVENUES</b>	<b>997,592</b>	<b>254,976</b>	<b>1,008,375</b>	<b>26%</b>	<b>25%</b>
14 TOTAL COMPENSATION EXPENSES	484,560	124,480	474,255	26%	26%
15 SUPPLIES/MEDICAL SUPPLIES	175,236	45,491	170,387	26%	27%
16 UNIVERSITY CLINICIANS PROGRAM	74,315	18,579	79,982	25%	23%
17 HOUSESTAFF	29,561	7,503	29,036	25%	26%
18 TRAVEL	748	148	752	20%	20%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	39,024	10,296	38,920	26%	26%
21 TELEPHONE/COMMUNICATION COSTS	4,384	1,102	4,074	25%	27%
22 PURCHASED SERVICES	45,291	12,081	46,401	27%	26%
23 OTHER MEDICAL SERVICES	41,474	9,880	43,901	24%	23%
24 SUB AWARDS/SERVICE CONTRACTS	9,476	2,473	10,598	26%	23%
25 O&M & LEASES	18,327	4,837	18,816	26%	26%
26 UTILITIES	7,072	1,814	6,732	26%	27%
27 DEPRECIATION	30,916	8,162	32,997	26%	25%
28 INTEREST EXPENSE	3,172	793	3,183	25%	25%
29 OTHER EXPENSES	34,032	7,329	41,233	22%	18%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>997,587</b>	<b>254,968</b>	<b>1,001,268</b>	<b>26%</b>	<b>25%</b>
<b>OPERATING NET MARGIN</b>	<b>4</b>	<b>9</b>	<b>7,108</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	(1,085)	N/A	0%
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
37 HEALTH INSURANCE - OPEB	0	0	(6,811)	N/A	0%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>0</b>	<b>0</b>	<b>(7,896)</b>	<b>N/A</b>	<b>0%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>4</b>	<b>9</b>	<b>15,004</b>		





**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - UNMMG Only**  
**2017 Fiscal Year-to-Date Summary through September 30, 2016 (Preliminary and Unaudited)**  
(In thousands)

Clinical Operations

	UNMMG FY 2017 Re-Forecasted Budget 9/30/2016	UNMMG FY 2017 YTD 9/30/2016 Actual	UNMMG FY 2016 Year End Actual	UNMMG FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (25%)	UNMMG FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	204,507	50,432	195,215	25%	26%
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	0	0	0	N/A	N/A
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSP APPROPRIATIONS	1,172	308	1,257	26%	25%
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	0	0	0	N/A	N/A
12 OTHER REVENUES	11,142	1,656	9,735	15%	17%
13 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
<b>TOTAL REVENUES</b>	<b>216,821</b>	<b>52,396</b>	<b>206,207</b>	<b>24%</b>	<b>25%</b>
14 TOTAL COMPENSATION EXPENSES	22,595	4,911	18,925	22%	26%
15 SUPPLIES/MEDICAL SUPPLIES	0	0	0	N/A	N/A
16 UNIVERSITY CLINICIANS PROGRAM	0	0	0	N/A	N/A
17 HOUSESTAFF	0	0	0	N/A	N/A
18 TRAVEL	0	0	0	N/A	N/A
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	31,337	6,851	26,916	22%	25%
21 TELEPHONE/COMMUNICATION COSTS	0	0	0	N/A	N/A
22 PURCHASED SERVICES	153,392	38,079	151,333	25%	25%
23 OTHER MEDICAL SERVICES	0	0	0	N/A	N/A
24 SUB AWARDS/SERVICE CONTRACTS	0	0	0	N/A	N/A
25 O&M & LEASES	592	133	531	23%	25%
26 UTILITIES	0	0	0	N/A	N/A
27 DEPRECIATION	529	111	471	21%	24%
28 INTEREST EXPENSE	0	0	0	N/A	N/A
29 OTHER EXPENSES	5,984	1,836	6,678	31%	27%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>214,429</b>	<b>51,921</b>	<b>204,854</b>	<b>24%</b>	<b>25%</b>
<b>OPERATING NET MARGIN</b>	<b>2,392</b>	<b>475</b>	<b>1,353</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	(128)	(24)	N/A	542%
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	1,845	80	550	4%	15%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
37 HEALTH INSURANCE - OPEB	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>1,845</b>	<b>(48)</b>	<b>526</b>	<b>-3%</b>	<b>-9%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>547</b>	<b>523</b>	<b>826</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - SRMC Only**  
**2017 Fiscal Year-to-Date Summary through September 30, 2016 (Preliminary and Unaudited)**  
(In thousands)

Clinical Operations

	SRMC FY 2017 Re-Forecasted Budget 9/30/2016	SRMC FY 2017 YTD 9/30/2016 Actual	SRMC FY 2016 Year End Actual	SRMC FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (25%)	SRMC FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	0	0	0	N/A	N/A
2 UNM HOSPITALS REVENUES	0	0	0	N/A	N/A
3 SRMC REVENUES	79,174	20,000	76,624	25%	26%
4 TUITION AND FEES	0	0	0	N/A	N/A
5 CIGARETTE TAX REVENUES	0	0	0	N/A	N/A
6 RPSAP APPROPRIATIONS	0	0	0	N/A	N/A
7 I&G APPROPRIATIONS	0	0	0	N/A	N/A
8 I&G MAIN CAMPUS TRANSFERS	0	0	0	N/A	N/A
9 F&A REVENUES (OH RETURN)	0	0	0	N/A	N/A
10 HSC/UNM INTERNAL TRANSFERS	0	0	0	N/A	N/A
11 MILL LEVY	6,153	1,538	6,153	25%	25%
12 OTHER REVENUES	472	131	552	28%	24%
13 CONTRACT AND GRANT REVENUES	0	0	0	N/A	N/A
<b>TOTAL REVENUES</b>	<b>85,799</b>	<b>21,669</b>	<b>83,328</b>	<b>25%</b>	<b>26%</b>
14 TOTAL COMPENSATION EXPENSES	36,580	9,656	38,404	26%	25%
15 SUPPLIES/MEDICAL SUPPLIES	17,311	4,970	16,783	29%	30%
16 UNIVERSITY CLINICIANS PROGRAM	3,769	0	784	0%	0%
17 HOUSESTAFF	264	76	264	29%	29%
18 TRAVEL	5	1	32	25%	4%
19 STUDENT COSTS	0	0	0	N/A	N/A
20 PATIENT CARE COSTS	6,264	1,482	5,767	24%	26%
21 TELEPHONE/COMMUNICATION COSTS	128	33	131	26%	25%
22 PURCHASED SERVICES	3,258	909	3,363	28%	27%
23 OTHER MEDICAL SERVICES	403	87	430	22%	20%
24 SUB AWARDS/SERVICE CONTRACTS	1,514	379	1,736	25%	22%
25 O&M & LEASES	558	210	1,143	38%	18%
26 UTILITIES	831	195	619	23%	31%
27 DEPRECIATION	8,374	2,090	8,456	25%	25%
28 INTEREST EXPENSE	3,805	952	3,898	25%	24%
29 OTHER EXPENSES	2,731	628	1,515	23%	41%
30 CONTRACT AND GRANT EXPENSES	0	0	0	N/A	N/A
<b>TOTAL EXPENSES</b>	<b>85,796</b>	<b>21,668</b>	<b>83,324</b>	<b>25%</b>	<b>26%</b>
<b>OPERATING NET MARGIN</b>	<b>3</b>	<b>0</b>	<b>4</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	0	0	0	N/A	N/A
32 MEANINGFUL USE REVENUE	0	0	0	N/A	N/A
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	0	0	0	N/A	N/A
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	0	0	0	N/A	N/A
36 NON-RECURRING TRANSFERS	0	0	0	N/A	N/A
37 HEALTH INSURANCE - OPEB	0	0	0	N/A	N/A
<b>TOTAL NON-RECURRING ITEMS</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>N/A</b>	<b>N/A</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>3</b>	<b>0</b>	<b>4</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC**  
**2017 Fiscal Year-to-Date Summary through September 30, 2016 (Preliminary and Unaudited)**

(In thousands)

\* INCLUDES INTERCOMPANY ELIMINATIONS

Clinical and Academic Operations

	Consolidated HSC FY 2017 Re-Forecasted Budget 9/30/2016	Consolidated HSC FY 2017 YTD 9/30/2016 Actual	Consolidated HSC FY 2016 Year End Actual	Consolidated HSC FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (25%)	Consolidated HSC FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES *	204,507	50,432	195,215	25%	26%
2 UNM HOSPITALS REVENUES *	867,743	221,799	874,720	26%	25%
3 SRMC REVENUES *	79,174	20,000	76,624	25%	26%
4 TUITION AND FEES	16,373	8,019	15,958	49%	50%
5 CIGARETTE TAX REVENUES	4,473	1,390	3,629	31%	38%
6 RPSA APPROPRIATIONS	32,151	8,281	34,718	26%	24%
7 I&G APPROPRIATIONS	59,972	15,765	64,256	26%	25%
8 I&G MAIN CAMPUS TRANSFERS	20,821	5,272	19,269	25%	27%
9 F&A REVENUES (OH RETURN)	24,000	5,740	24,776	24%	23%
10 HSC/UNM INTERNAL TRANSFERS	(6,151)	(2,383)	(2,494)	39%	96%
11 MILL LEVY	102,002	25,533	102,002	25%	25%
12 OTHER REVENUES *	53,579	11,665	52,103	22%	22%
13 CONTRACT AND GRANT REVENUES	153,957	35,477	151,319	23%	23%
<b>TOTAL REVENUES</b>	<b>1,612,602</b>	<b>406,991</b>	<b>1,612,094</b>	<b>25%</b>	<b>25%</b>
14 TOTAL COMPENSATION EXPENSES *	652,085	166,838	620,657	26%	27%
15 SUPPLIES/MEDICAL SUPPLIES	206,938	53,461	201,134	26%	27%
16 UNIVERSITY CLINICIANS PROGRAM	78,085	18,579	80,766	24%	23%
17 HOUSESTAFF	29,825	7,579	29,300	25%	26%
18 TRAVEL	7,103	1,359	6,100	19%	22%
19 STUDENT COSTS	3,701	1,180	3,502	32%	34%
20 PATIENT CARE COSTS	78,394	18,746	72,715	24%	26%
21 TELEPHONE/COMMUNICATION COSTS	6,745	1,743	6,573	26%	27%
22 PURCHASED SERVICES *	212,816	53,000	211,979	25%	25%
23 OTHER MEDICAL SERVICES	41,877	9,967	44,331	24%	22%
24 SUB AWARDS/SERVICE CONTRACTS	11,784	2,934	13,374	25%	22%
25 O&M & LEASES	25,789	6,595	26,668	26%	25%
26 UTILITIES	13,710	3,370	12,536	25%	27%
27 DEPRECIATION	39,819	10,363	41,924	26%	25%
28 INTEREST EXPENSE	9,382	2,346	9,479	25%	25%
29 OTHER EXPENSES	49,069	10,643	53,202	22%	20%
30 CONTRACT AND GRANT EXPENSES	139,382	32,697	139,893	23%	23%
<b>TOTAL EXPENSES</b>	<b>1,606,503</b>	<b>401,399</b>	<b>1,574,132</b>	<b>25%</b>	<b>25%</b>
<b>OPERATING NET MARGIN</b>	<b>6,098</b>	<b>5,592</b>	<b>37,962</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	3,264	264	1,700	8%	16%
32 MEANINGFUL USE REVENUE	0	(128)	(1,108)	N/A	12%
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	1,845	80	550	4%	15%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	3,719	890	3,263	24%	27%
36 NON-RECURRING TRANSFERS	580	1,630	18,769	281%	9%
37 HEALTH INSURANCE - OPEB	0	0	(6,811)	N/A	0%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>9,409</b>	<b>2,737</b>	<b>16,362</b>	<b>29%</b>	<b>17%</b>
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(3,310)</b>	<b>2,856</b>	<b>21,600</b>		



**UNIVERSITY OF NEW MEXICO HEALTH SCIENCES CENTER - Consolidated HSC**  
**2017 Fiscal Year-to-Date Summary through September 30, 2016 (Preliminary and Unaudited)**

(In thousands)

DOES NOT INCLUDE INTERCOMPANY ELIMINATIONS

Clinical and Academic Operations

	Consolidated HSC FY 2017 Re-Forecasted Budget 9/30/2016	Consolidated HSC FY 2017 YTD 9/30/2016 Actual	Consolidated HSC FY 2016 Year End Actual	Consolidated HSC FY 2017 YTD Actual to FY 2017 Re-Forecasted Budget Benchmark Rate (25%)	Consolidated HSC FY 2017 YTD Actual to FY 2016 Year End Actual Benchmark Rate (25%)
1 UNM MEDICAL GROUP REVENUES	332,281	81,067	318,713	24%	25%
2 UNM HOSPITALS REVENUES	1,037,361	261,636	1,037,072	25%	25%
3 SRMC REVENUES	101,620	25,218	96,691	25%	26%
4 TUITION AND FEES	16,373	8,019	15,958	49%	50%
5 CIGARETTE TAX REVENUES	4,473	1,390	3,629	31%	38%
6 RPSAP APPROPRIATIONS	32,151	8,281	34,718	26%	24%
7 I&G APPROPRIATIONS	59,972	15,765	64,256	26%	25%
8 I&G MAIN CAMPUS TRANSFERS	20,821	5,272	19,269	25%	27%
9 F&A REVENUES (OH RETURN)	24,000	5,740	24,776	24%	23%
10 HSC/UNM INTERNAL TRANSFERS	(6,151)	(2,383)	(2,494)	39%	96%
11 MILL LEVY	102,002	25,533	102,002	25%	25%
12 OTHER REVENUES	68,283	15,816	65,906	23%	24%
13 CONTRACT AND GRANT REVENUES	153,957	35,477	151,319	23%	23%
<b>TOTAL REVENUES</b>	<b>1,947,143</b>	<b>486,830</b>	<b>1,931,814</b>	25%	25%
14 TOTAL COMPENSATION EXPENSES	964,675	241,465	919,466	25%	26%
15 SUPPLIES/MEDICAL SUPPLIES	206,938	53,461	201,134	26%	27%
16 UNIVERSITY CLINICIANS PROGRAM	78,085	18,579	80,766	24%	23%
17 HOUSESTAFF	29,825	7,579	29,300	25%	26%
18 TRAVEL	7,103	1,359	6,100	19%	22%
19 STUDENT COSTS	3,701	1,180	3,502	32%	34%
20 PATIENT CARE COSTS	78,394	18,746	72,715	24%	26%
21 TELEPHONE/COMMUNICATION COSTS	6,745	1,743	6,573	26%	27%
22 PURCHASED SERVICES	234,767	58,213	232,890	25%	25%
23 OTHER MEDICAL SERVICES	41,877	9,967	44,331	24%	22%
24 SUB AWARDS/SERVICE CONTRACTS	11,784	2,934	13,374	25%	22%
25 O&M & LEASES	25,789	6,595	26,668	26%	25%
26 UTILITIES	13,710	3,370	12,536	25%	27%
27 DEPRECIATION	39,819	10,363	41,924	26%	25%
28 INTEREST EXPENSE	9,382	2,346	9,479	25%	25%
29 OTHER EXPENSES	49,069	10,643	53,202	22%	20%
30 CONTRACT AND GRANT EXPENSES	139,382	32,697	139,893	23%	23%
<b>TOTAL EXPENSES</b>	<b>1,941,045</b>	<b>481,238</b>	<b>1,893,852</b>	25%	25%
<b>OPERATING NET MARGIN</b>	<b>6,098</b>	<b>5,592</b>	<b>37,962</b>		
<b>NON-RECURRING ITEMS</b>					
31 CAPITAL EXPENDITURES	3,264	264	1,700	8%	16%
32 MEANINGFUL USE REVENUE	0	(128)	(1,108)	N/A	12%
33 RETURN ON INVESTMENT - TRIWEST	0	0	0	N/A	N/A
34 USE OF UNMMG RESERVES	1,845	80	550	4%	15%
35 RECRUITMENT/STARTUP/SCHOLARSHIPS	3,719	890	3,263	24%	27%
36 NON-RECURRING TRANSFERS	580	1,630	18,769	281%	9%
37 HEALTH INSURANCE - OPEB	0	0	(6,811)	N/A	0%
<b>TOTAL NON-RECURRING ITEMS</b>	<b>9,409</b>	<b>2,737</b>	<b>16,362</b>	29%	17%
<b>NET INCOME/(USE OF RESERVES)</b>	<b>(3,310)</b>	<b>2,856</b>	<b>21,600</b>		

**GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
1	UNM MEDICAL GROUP REVENUES	Clinical revenue primarily based on Physician or Provider efforts and collection through UNMMG	Net patient services revenue including SCI & UPL from UNMMG Clinical operations	N/A	N/A
2	UNM HOSPITALS REVENUES	Revenue from University Hospital to the School of Medicine	N/A	Hospital facility revenue based on patient billings by UNMH	N/A
3	SRMC REVENUES	Revenue from SRMC to the School of Medicine	N/A	N/A	Hospital facility revenue based on patient billings by SRMC
4	TUITION AND FEES	Tuition & Fees, including tuition differentials	N/A	N/A	N/A
5	CIGARETTE TAX REVENUES	Revenues received from the State as a calculated portion of Tax Revenue on the sale of tobacco products for use in Cancer Research	N/A	N/A	N/A
6	RPSP APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A	Revenue received for current operations made available to UNMH by act of NM State Legislature on an annual basis for Research, Public Service and Independent Operations	N/A
7	I&G APPROPRIATIONS	Revenue received for current operations made available to UNM by act of NM State Legislature on an annual basis for I&G operations	N/A	N/A	N/A
8	I&G MAIN CAMPUS TRANSFERS	Partial transfer of tuition and formula workload generated by Nursing, Pharmacy and SOM	N/A	N/A	N/A
9	F & A REVENUES (OH RETURN)	Revenue from recovery of indirect costs (F&A) incurred by a Contract or Grant also known as overhead return	N/A	N/A	N/A
10	HSC/UNM INTERNAL TRANSFERS	All transfers to/from HSC Unrestricted Funds excluding the I&G Main Campus Transfer and Transfers for Debt Service	N/A	N/A	N/A
11	MILL LEVY	N/A	N/A	Mil levy taxes collected on behalf of the Bernalillo County Treasurer and remitted to UNMH as required by the Hospital Funding Act.	Mil levy taxes collected on behalf of the Sandoval County Treasurer and remitted to SRMC as required by the Hospital Funding Act.
12	OTHER REVENUES	All other Revenues - not contained in any other category - Sales & Services (not Internal Service Center P18 sales), Gains on Sponsored Projects, Gifts, Endowment Spending Distributions, Investment Income, etc.	Other operating revenues and revenue received from UNM Hospitals to cover SRMC physician costs.	All other revenues not contained in any other category: Investment income, equity loss in Tricare, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue	All other revenues not contained in any other category: Investment income, donated revenue, gain/loss on sale of assets, food, nutrition, catering & vending revenue, medical records revenue, rent revenue, all other non-operating revenue
13	CONTRACT AND GRANT REVENUES	All Restricted Funds Revenue and Unrestricted Contract Revenue for example VA contracts	N/A	Revenue associated with restricted and unrestricted contracts and grants	N/A
14	TOTAL COMPENSATION EXPENSES	Salary, wages & benefits	Salaries and benefits considered to be operating and certain clinical expenses of UNMMG	Salary, wages & benefits	Salary, wages & benefits

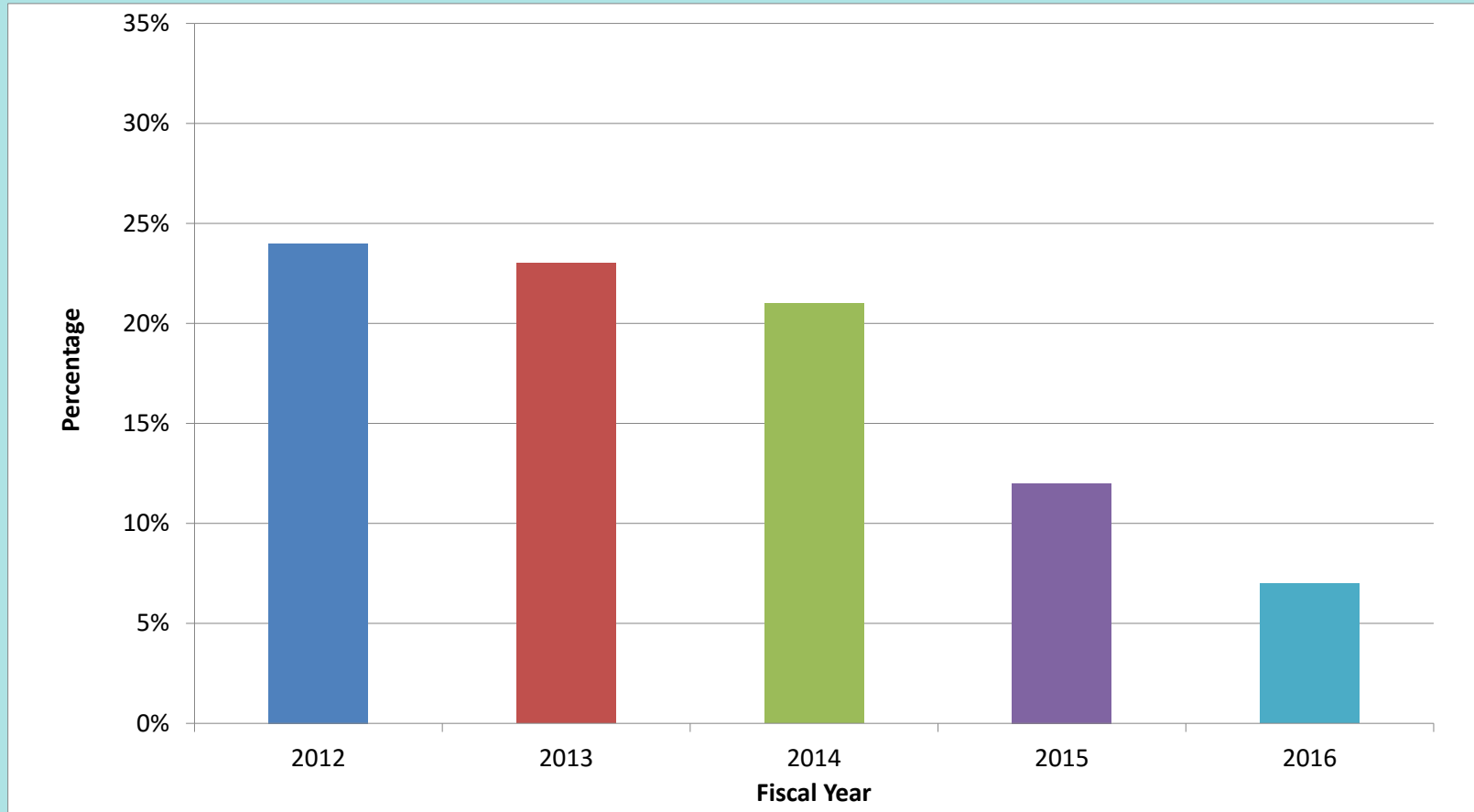
**GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
15	SUPPLIES/MEDICAL SUPPLIES	Office supplies, computer supplies, dues & memberships, postage charges, recruitment expenses, non capital equipment, computers, food, lab supplies, uniforms, training materials, etc.	N/A	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.	Medical supplies for: lab, radiology, blood, pharmaceuticals, biologics, implantable devices, office supplies, computer supplies, photocopy expense, forms, linen, food, uniforms, and training materials.
16	UNIVERSITY CLINICIANS PROGRAM	N/A	N/A	Special clinician program to support SOM	Special clinician program to support SOM
17	HOUSESTAFF	N/A	N/A	Reimbursement of patient care services provided by residents who are employed by SOM.	Reimbursement of patient care services provided by SOM through UNMMG.
18	TRAVEL	Travel - in state, out of state, foreign, business meals, rental vehicles, new employee moving expenses, vehicle fuel etc.	N/A	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.	Travel - in state, out of state, business meals, rental vehicles, flights, ground transportation, vehicle fuel etc.
19	STUDENT COSTS	Student tuition/fee expense, student travel, scholarships/fellowships, housing, insurance for students, student awards, etc.	N/A	N/A	N/A
20	PATIENT CARE COSTS	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care & lab, pharmacy and x-ray services for patients	Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance	Patient Care costs for inpatient, outpatient care including Tricore laboratory expense, OR instruments, NM Insurance pool premiums, kidney acquisition, step down care (snf) for IV dependent patients, radiation oncology and patient assistance
21	TELEPHONE/COMMUNICATION COSTS	Telephone, voicemail, cellular, long distance, paging and data networking charges	N/A	Telephone, voicemail, cellular, long distance, paging and data networking charges	Telephone, voicemail, cellular, long distance, paging and data networking charges
22	PURCHASED SERVICES	Alarm fees, internet fees, architectural services, auditing services, printing/copying/binding fees, conference/event fees, honoraria, insurance charges (general liability, professional liability), legal services, electronic journals & books, consultant fees, etc.	Includes payment to UNM SOM for physician and other provider services	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.	Recruitment, professional, legal, auditing, consulting fees, promotional/graphics, IT Hosting Center, Siemens and PACS, safety and risk services, equifax, laundry, malpractice and liability insurance, etc.
23	OTHER MEDICAL SERVICES	N/A	N/A	Pathology contract, physician services, Executive Medical Directors, Medical Directors, dialysis services for UNM Care patients, OMI MOU, Adult Infusion net	Physician services
24	SUB AWARDS/SERVICE CONTRACTS	Unrestricted Sub Awards, Gain/Loss on Unrestricted Projects	N/A	All service contracts	All service contracts
25	O&M & LEASES	Plant maintenance and repairs, equipment repairs and maintenance, property insurance, auto insurance, facility rent expense	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies	Building maintenance and repairs, equipment repairs and maintenance, repair parts, equipment rent, property insurance, auto insurance, facility rent expense, and housekeeping supplies
26	UTILITIES	Natural gas, electricity, steam, sewer, water, chilled water	N/A	Natural gas, electricity, steam, sewer, water, chilled water	Natural gas, electricity, steam, sewer, water, chilled water

**GLOSSARY OF REPORT CATEGORIES**

FINANCIAL STATEMENT CATEGORY		UNM	UNMMG	UNM Hospitals	SRMC
27	DEPRECIATION	N/A	The annual amortization for the furniture and equipment.	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".	The annual amortization for the capital outlay associated with building, building improvement, fixed and moveable equipment which is based on the estimated useful lives of the assets as determined by the AHA "Estimated useful lives of Depreciable Hospital Assets".
28	INTEREST EXPENSE	Transfers to cover debt service	N/A	Interest expense associated with the series 2004 FHA Insured Hospital Mortgage Revenue Bonds.	SRMC receives subsidy payments related to interest payments under the federal Build America Bond program. Under the program SRMC applies for subsidy funds commensurate with each bond payment, so the application for the subsidy is made semiannually. Also includes interest expense on the Series A & B Bonds.
29	OTHER EXPENSES	Banking fees, cost of goods sold, research costs, royalties, bad debt expense, other operating costs, Banner tax, etc.	Billings & collections expenses and other operating expenses of UNMMG	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.	Programming, application, software and maintenance expenses, non capital equipment (less than \$5k), signs, dues & memberships, freight, postage, subscriptions, licenses/permits, Gap Tax, Intergovernmental Transfers for the SCI and IME, bond issuance costs, capital initiatives and other non-operating expenses.
30	CONTRACT AND GRANT EXPENSES	All Restricted Funds Expense and Unrestricted Contract Expense for example VA contracts	N/A	N/A	N/A
31	CAPITAL EXPENDITURES	Equipment/furniture >\$5,000, Computer hardware, library acquisitions, etc.	N/A	This is capital initiatives in the case of UNM Hospitals.	N/A
32	MEANINGFUL USE REVENUE	N/A	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	Medicare and Medicaid programs provide incentive payments for hospital and physicians that make meaningful use of certified electronic health record technology.	N/A
33	RETURN ON INVESTMENT - TRIWEST	NA	NA	Dividend payments from investment in TriWest	NA
34	USE OF UNMMG RESERVES	N/A	To fund and support the mission of the Health Sciences Center.	N/A	N/A
35	RECRUITMENT/STARTUP/SCHOLARSHIPS	Chair and Startup Packages, Dean's Scholars/Professorships, and Recruitment Costs	N/A	N/A	N/A
36	NON-RECURRING TRANSFERS	Transfers from current unrestricted funds to plant funds or any other non-recurring transfers	N/A	N/A	N/A
37	HEALTH INSURANCE - OPEB	NA	NA	On 12/31/15, UNMH stopped providing health insurance benefits to retired employees	NA

### UNM HEALTH SYSTEM



**FY 2012**

**FY 2013**

**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017  
Sept. 30th YTD**

24%

23%

21%

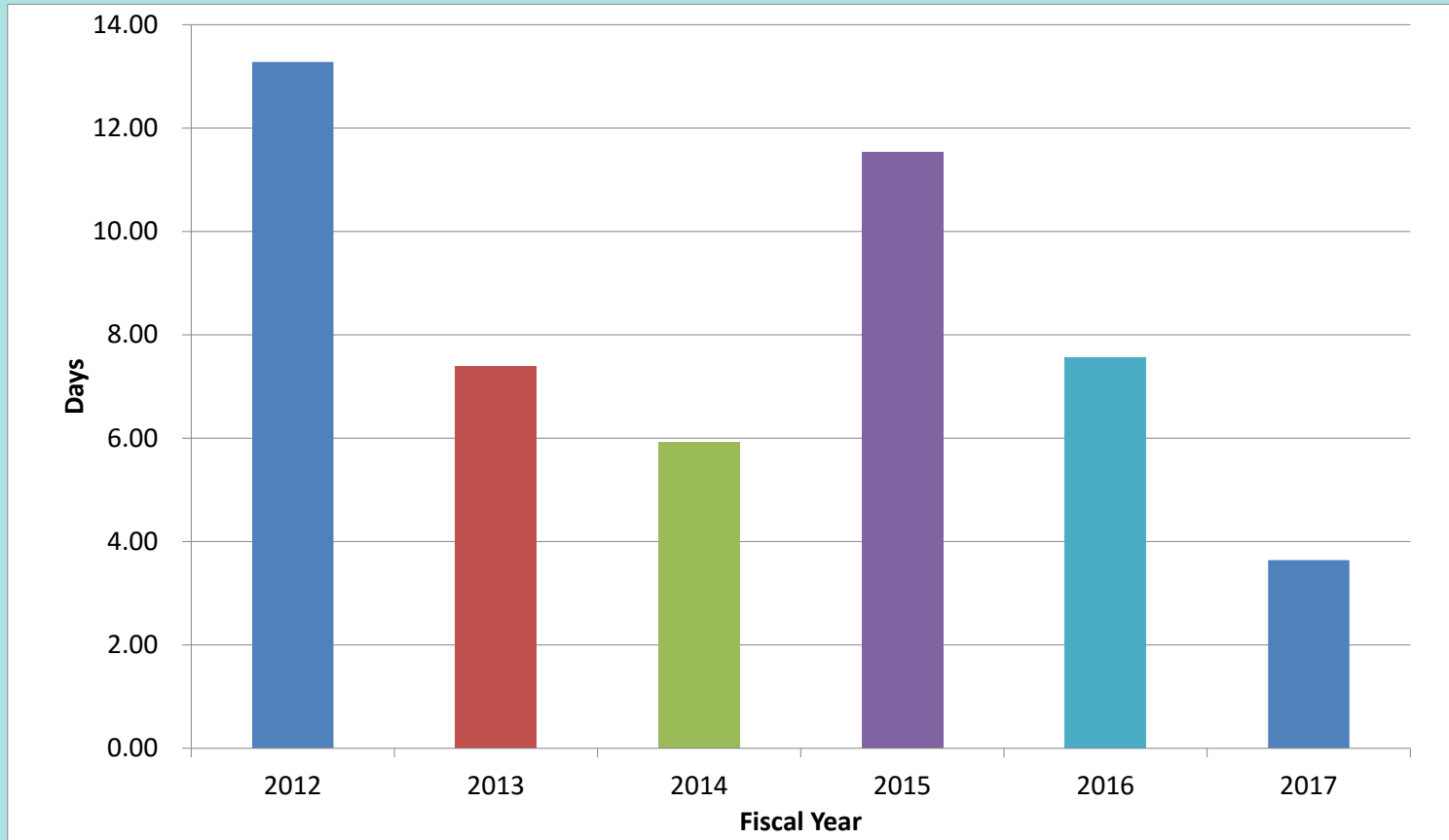
12%

7%

8%



**UNIVERSITY HSC**



**FY 2012  
1st Quarter**

13.28

**FY 2013  
1st Quarter**

7.39

**FY 2014  
1st Quarter**

5.93

**FY 2015  
1st Quarter**

11.54

**FY 2016  
1st Quarter**

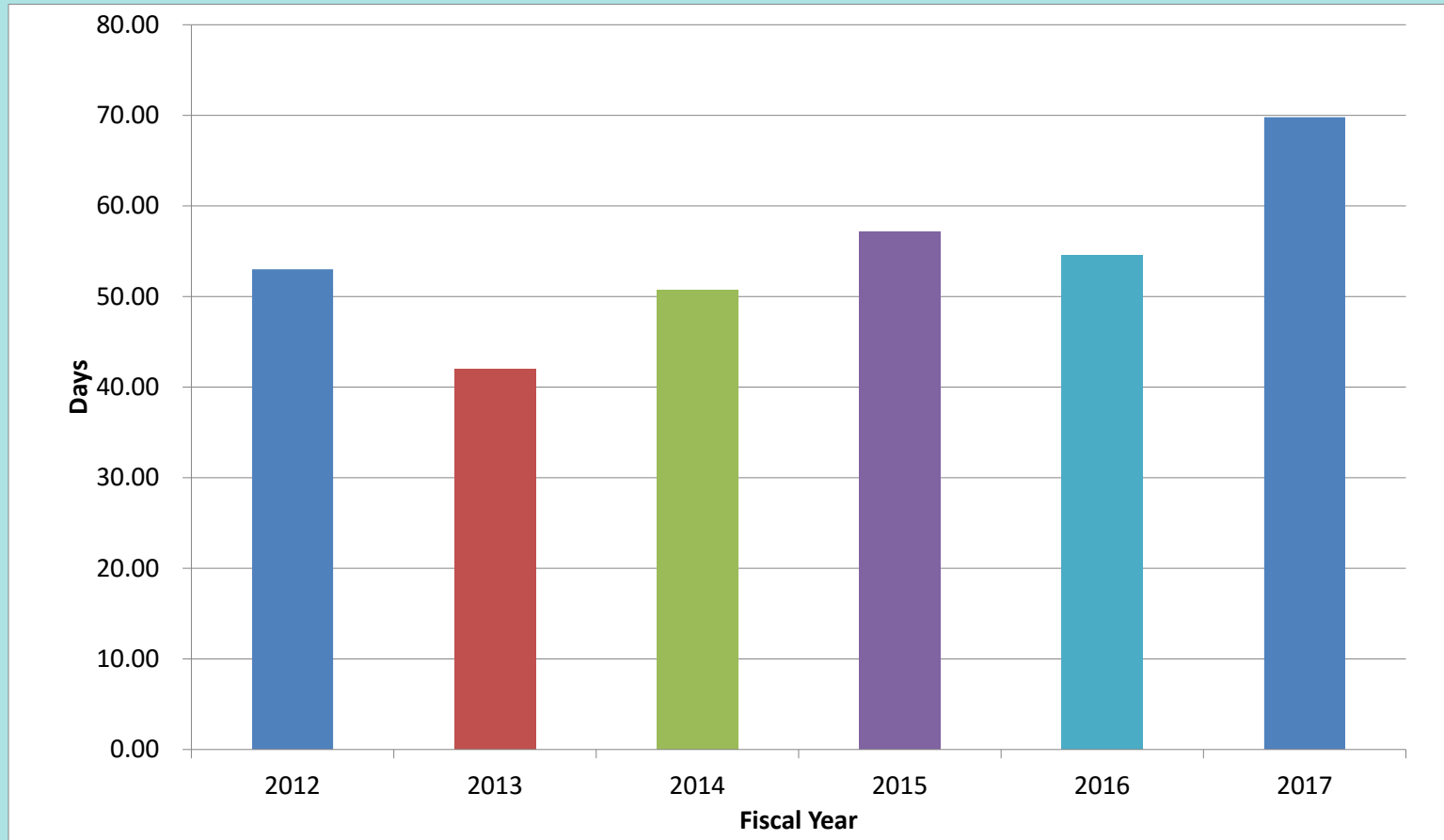
7.57

**FY 2017  
1st Quarter**

3.64

Days of Expense in Discretionary Reserves - Benchmark - 10 Days

### UNM HOSPITALS



**FY 2012**  
1st Quarter

53.01

**FY 2013**  
1st Quarter

42.02

**FY 2014**  
1st Quarter

50.72

**FY 2015**  
1st Quarter

57.18

**FY 2016**  
1st Quarter

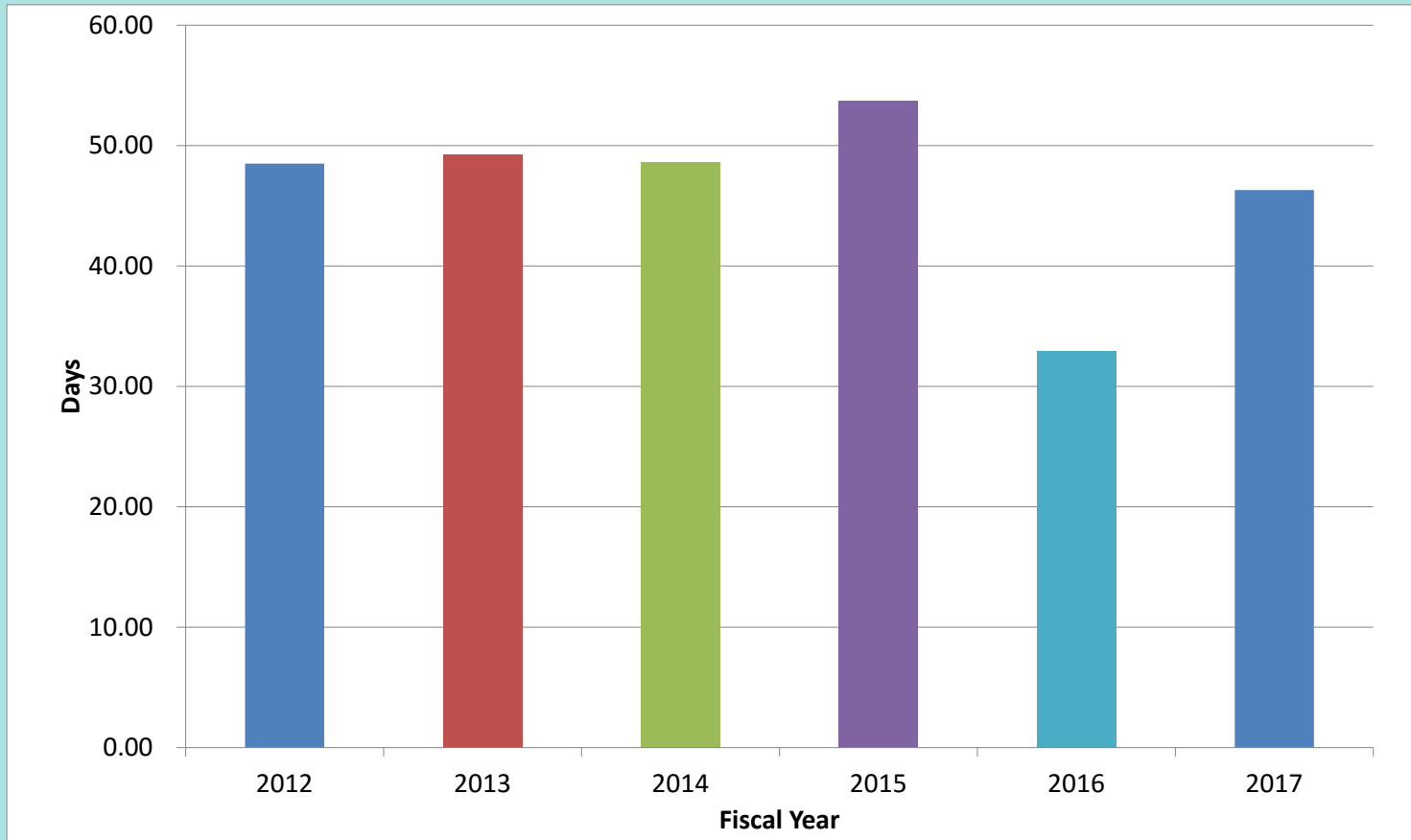
54.53

**FY 2017**  
1st Quarter

69.79

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 289.4 days cash on hand.

**UNM MEDICAL GROUP**



**FY 2012  
1st Quarter**

48.50

**FY 2013  
1st Quarter**

49.25

**FY 2014  
1st Quarter**

48.60

**FY 2015  
1st Quarter**

53.71

**FY 2016  
1st Quarter**

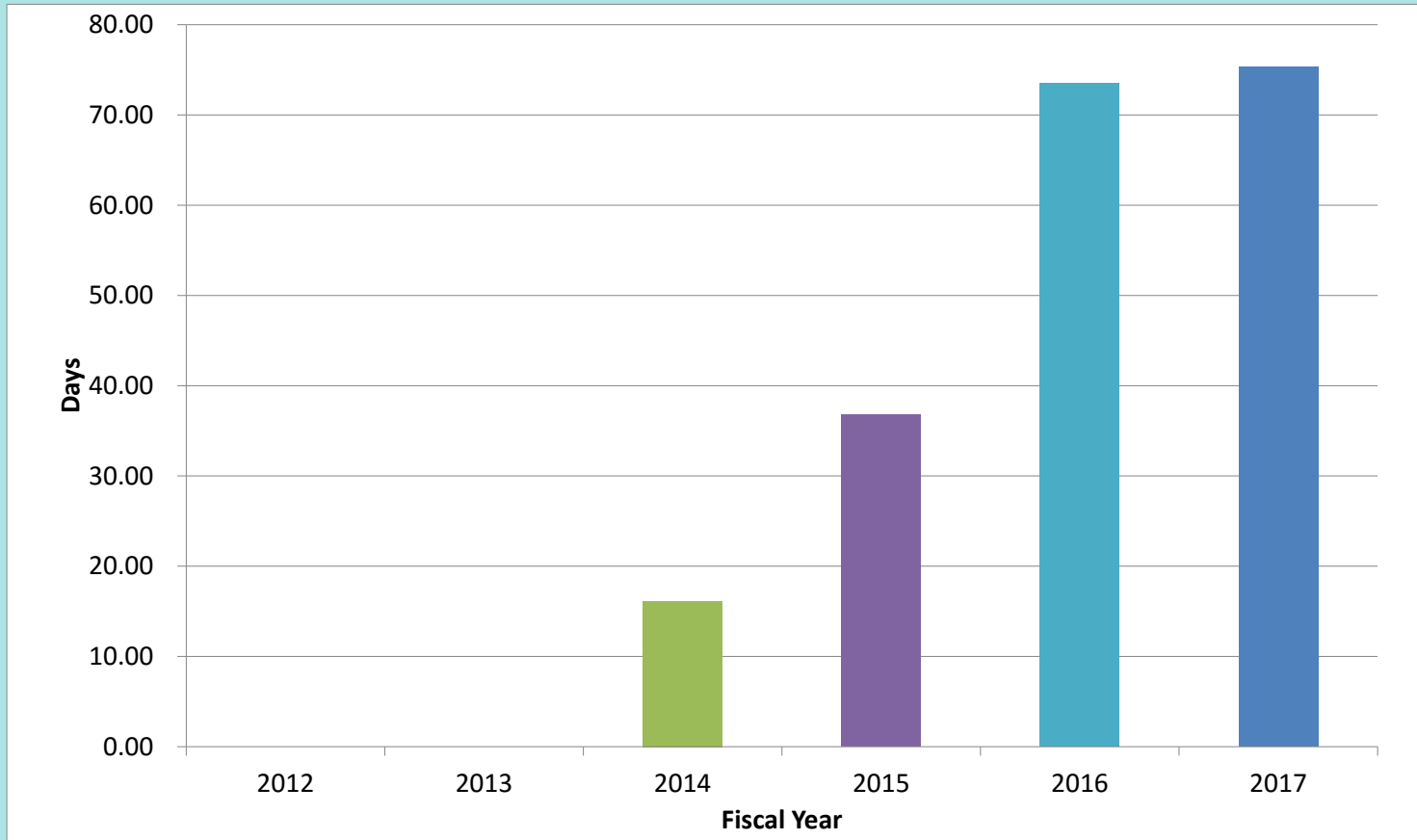
32.90

**FY 2017  
1st Quarter**

46.31

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 289.4 days cash on hand.

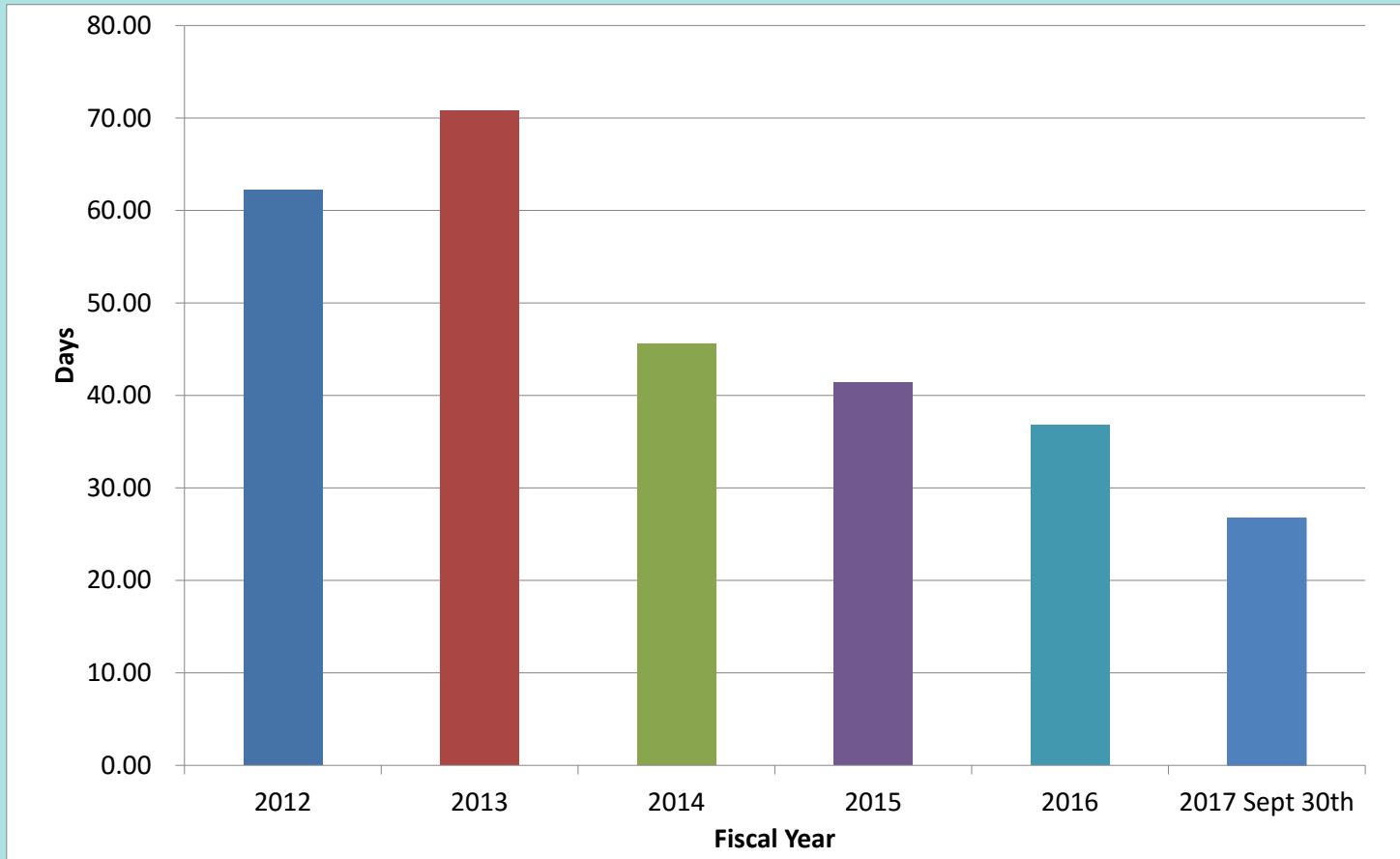
**SANDOVAL REGIONAL MEDICAL CENTER**



FY 2012 1st Quarter	FY 2013 1st Quarter	FY 2014 1st Quarter	FY 2015 1st Quarter	FY 2016 1st Quarter	FY 2017 1st Quarter
N/A	N/A	16.12	36.76	73.52	75.31

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 289.4 days cash on hand.

### UNIVERSITY HSC



**FY 2012**

**FY 2013**

**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017  
Sept. 30th**

62.23

70.81

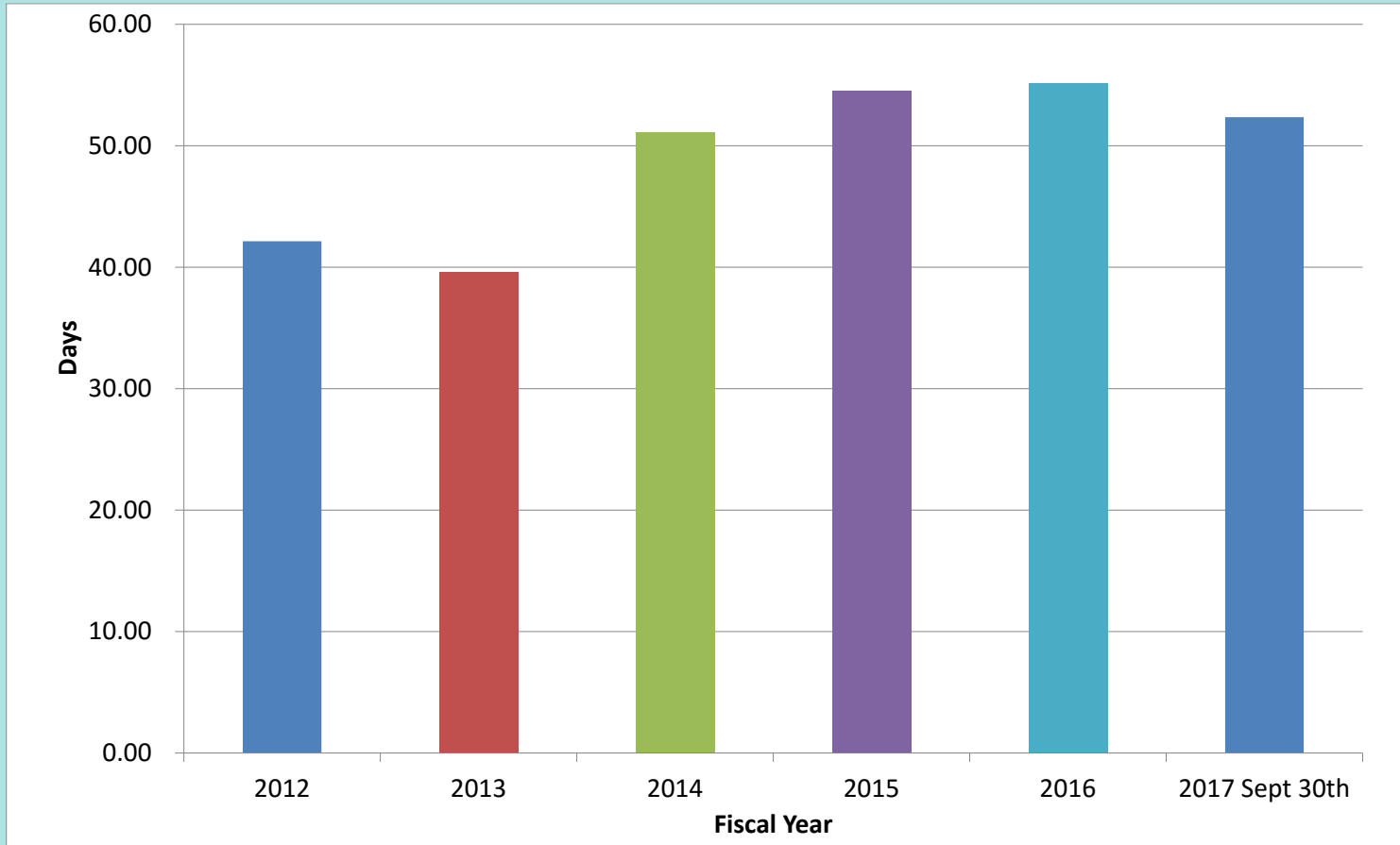
45.57

41.40

36.76

26.73

### UNM HOSPITALS



**FY 2012**

**FY 2013**

**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017  
Sept. 30th**

42.14

39.58

51.09

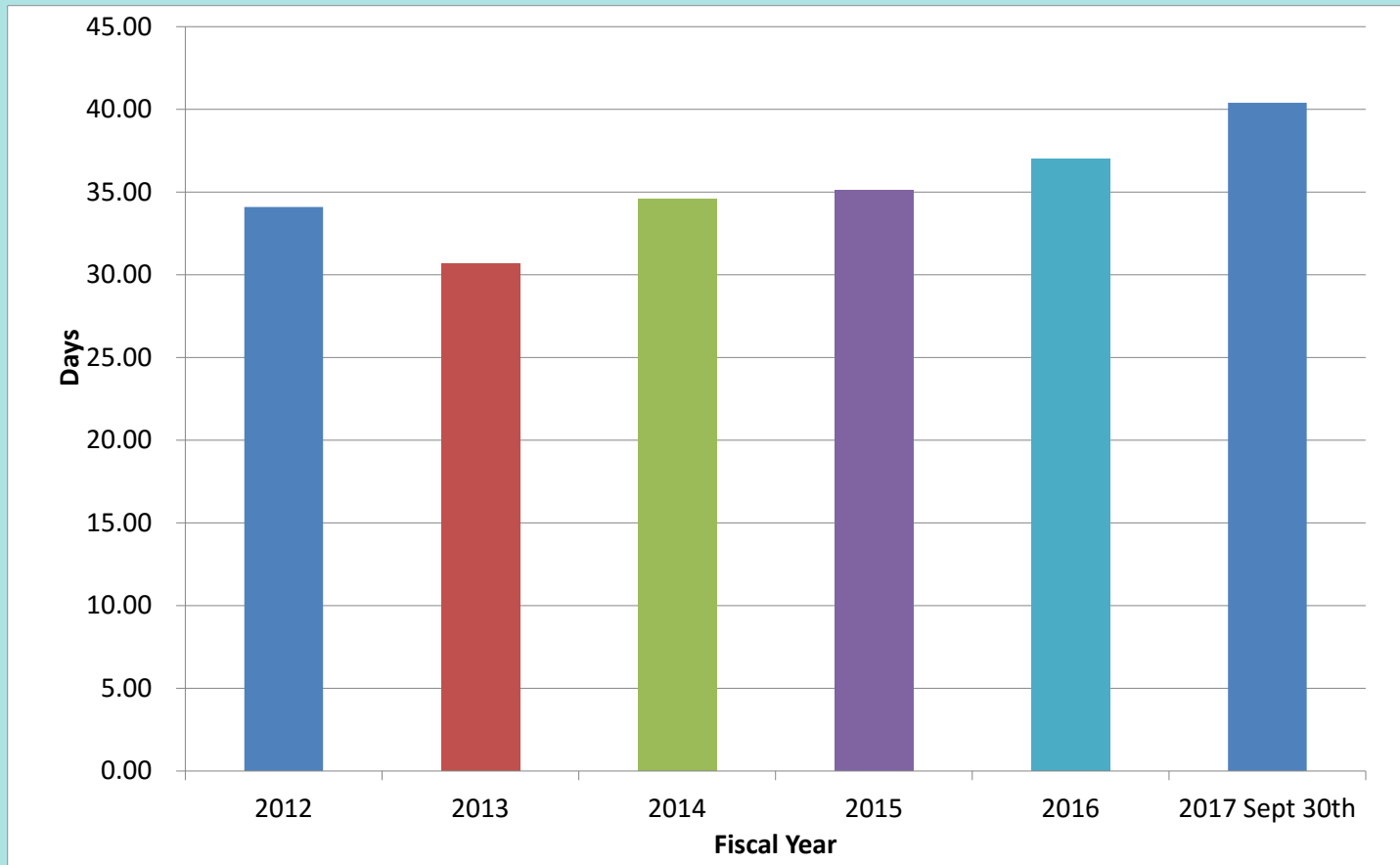
54.52

55.13

52.35

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 47.4 days in accounts receivable

### UNM MEDICAL GROUP



**FY 2012**

**FY 2013**

**FY 2014**

**FY 2015**

**FY 2016**

**FY 2017  
Sept. 30th**

34.10

30.70

34.60

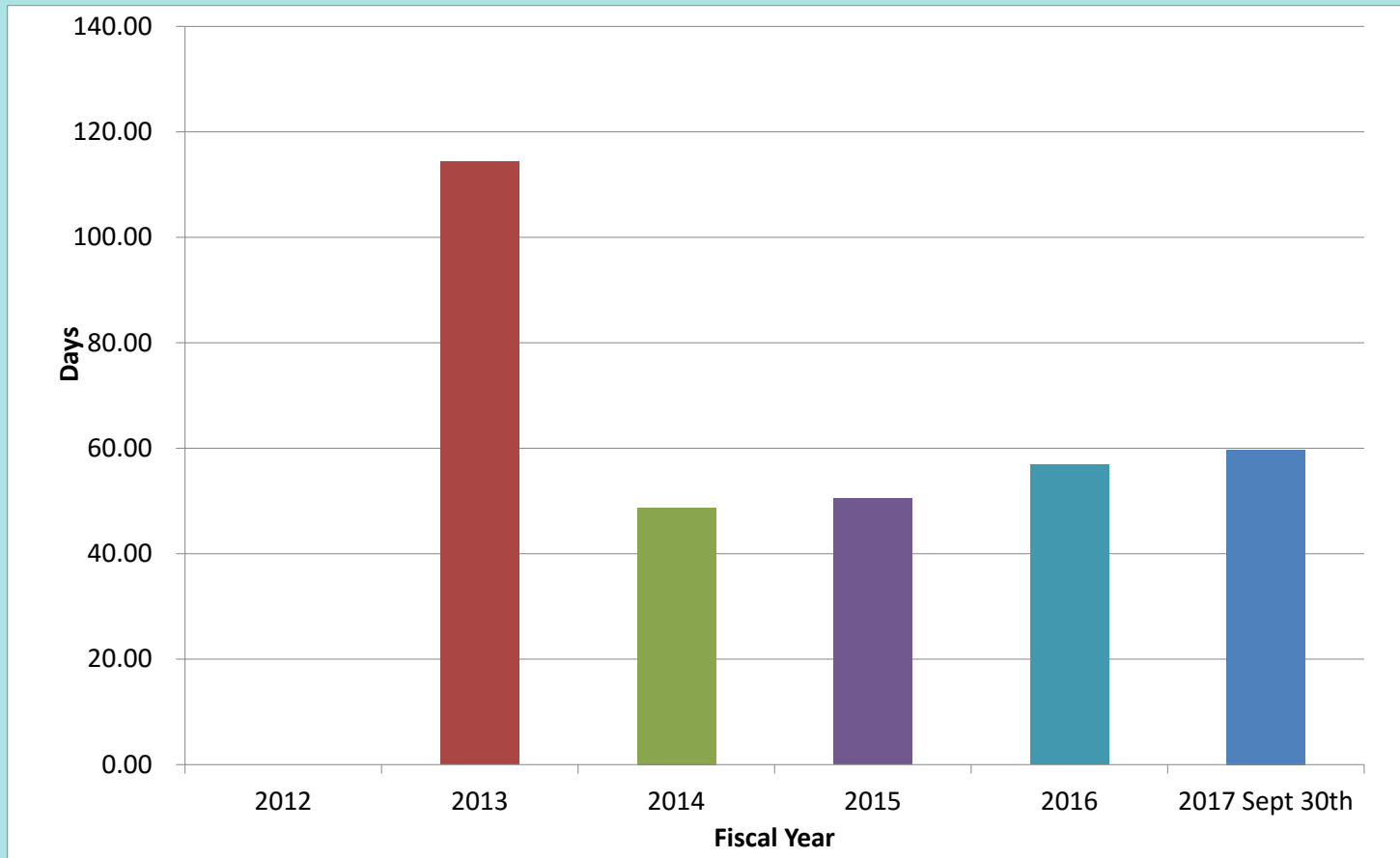
35.10

37.00

40.40

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 47.4 days in accounts receivable

### SANDOVAL REGIONAL MEDICAL CENTER



FY 2012

FY 2013

FY 2014

FY 2015

FY 2016

FY 2017  
Sept. 30th

N/A

114.39

48.71

50.50

56.90

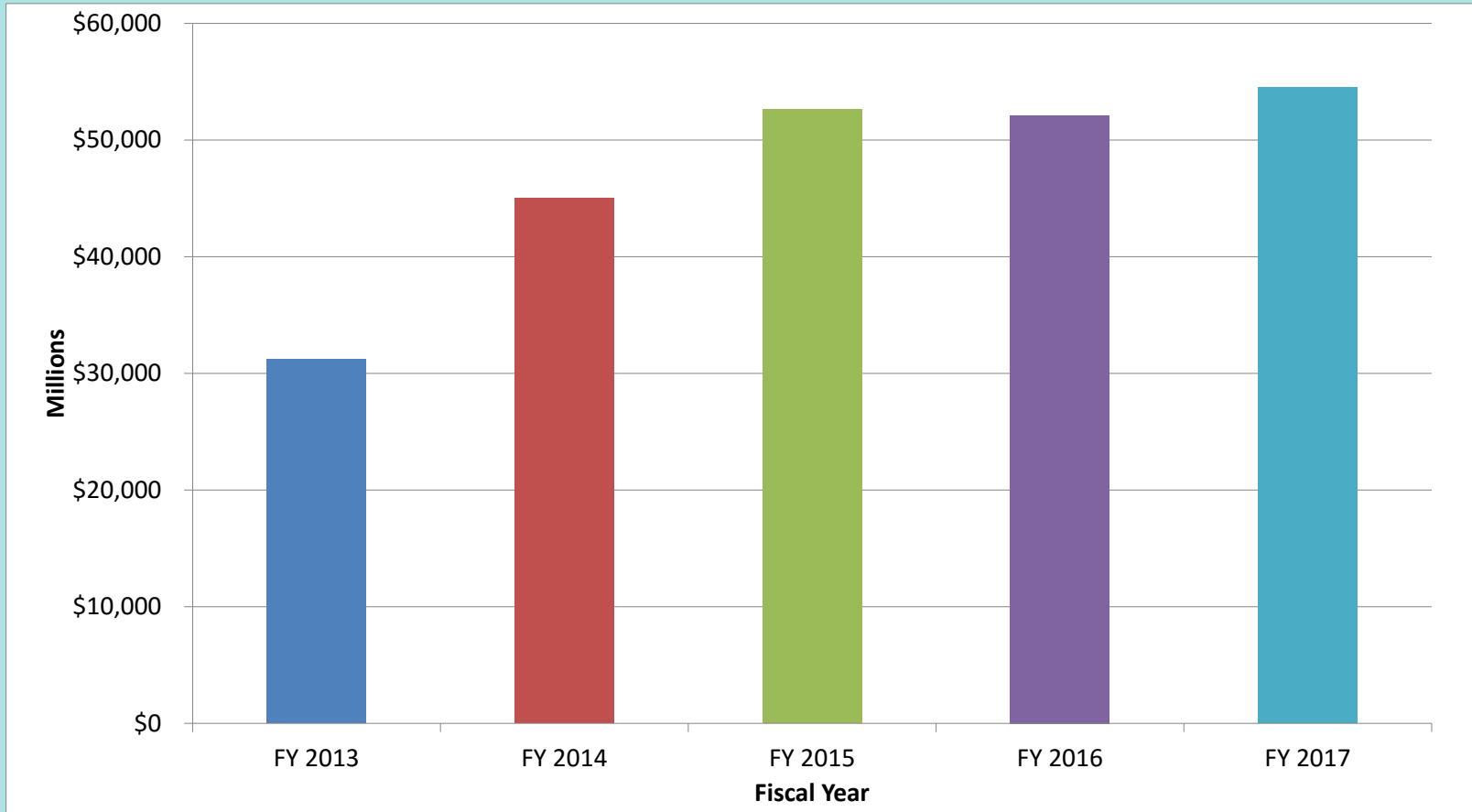
59.70

Per Fitch Ratings, "2014 Median Ratios for Nonprofit Hospitals and Healthcare Systems" report, the "AA" credit rating group has a benchmark of 47.4 days in accounts receivable



**UNM Health Sciences Center  
Metrics - Preliminary and Unaudited  
Awards  
(Amounts are in thousands)**

**UNIVERSITY HSC**



**FY 2013  
1st Quarter**

\$31,225

**FY 2014  
1st Quarter**

\$45,039

**FY 2015  
1st Quarter**

\$52,630

**FY 2016  
1st Quarter**

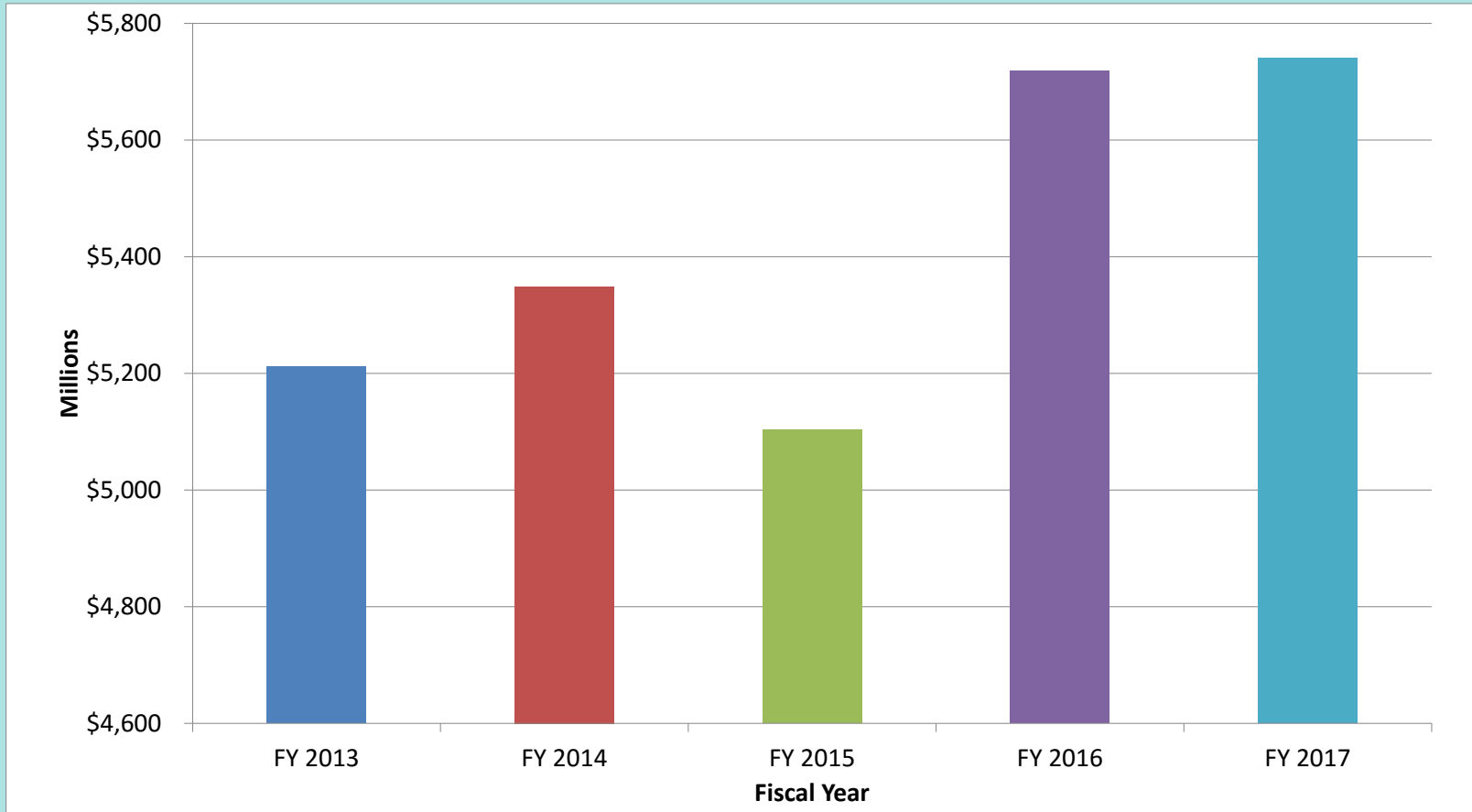
\$52,107

**FY 2017  
1st Quarter**

\$54,501

**UNM Health Sciences Center**  
**Metrics - Preliminary and Unaudited**  
**Earned F&A**  
 (Amounts are in thousands)

**UNIVERSITY HSC**



**FY 2013**  
**1st Quarter**

\$5,211

**FY 2014**  
**1st Quarter**

\$5,349

**FY 2015**  
**1st Quarter**

\$5,104

**FY 2016**  
**1st Quarter**

\$5,719

**FY 2017**  
**1st Quarter**

\$5,740