



UNM HEALTH SCIENCES | UNM HEALTH

Contract & Grant Accounting

Sept 18, 2026

Huron Employee Compensation Compliance

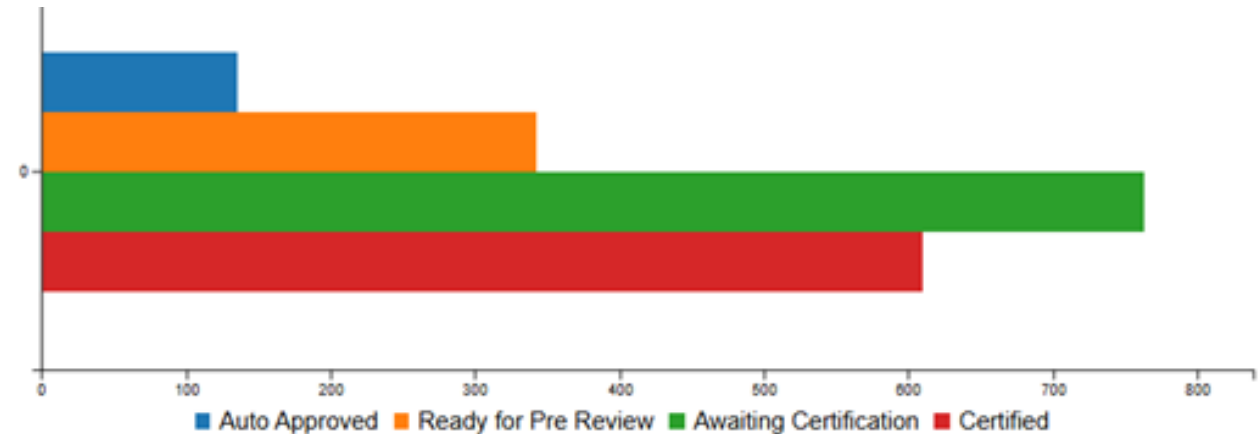
- Current Jan to June 2026 period is active

Certification Summary for Fund Period: Jan - Jun 2026

✓ Auto Approved	135 (7.29%)
🔍 Ready for Pre Review	343 (18.53%)
▶ Awaiting Certification	763 (41.22%)
★ Certified	610 (32.96%)

Total number of statements for this period: 1851

Total number of Fund statements on hold for this period: 1



- HSC Numbers as of 09/16/2026
- Pending Prereviews 181 Statements
- Awaiting Certifications 372

Huron ECC

- Certification completion date 10/10/2026
- Complete Certifications by the due date
- Timely submission is required
- Delinquent certifications will be escalated to VPR
- FY27 Certifications change to quarterly
- 1st period FY27 July 26 to Sept 26 effort period will open October 26, 2026

Contact Information

- Questions or issues please contact:
- Central/Branch Campuses: maineffortrptng@unm.edu
- **HSC: HSC-Effort-Reporting@salud.unm.edu**

Keep Spending on Track

- Spend according to the approved project plan
- Keep expenditures and reimbursements current
- Meet Project Milestones and award requirements
- Don't rely on a No-Cost Extension



Questions





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HSC Unrestricted Accounting Updates

1099 Reporting and UAP 2480

- IRS changes for use in preparing 2026 Returns – Increase in threshold for requiring filing of certain payments to be reported increased to \$2,000
 - Link : <https://www.irs.gov/pub/irs-pdf/p1099.pdf>
- **No change in process for UAP 2480**
 - Departments will still be responsible for tracking incentives to participants, and confirming whether any other incentives from the University occurred. If individual or aggregate incentives from UNM equal \$600 or more within a calendar year, departments must use the Participant Receipt Form (for \$600 or more) and forward it as directed on the form within two (2) weeks after the incentive that meets this threshold is distributed. Departments are responsible for protecting social security numbers in accordance with UAP 2030 ("Social Security Numbers").
- **UNM will issue a 1099 form ONLY if an individual receives more than \$2,000 in aggregate during the calendar year.**

Mark Your Calendars!

LEARN Session

Thursday, October 29th @ 10:00 am

HSC Unrestricted Accounting

“Your Partners in Financial Clarity and Compliance”

Questions





UNM HEALTH SCIENCES | UNM HEALTH

Sponsored Projects Office General Updates

RESEARCH ADMINISTRATION FORUM AND TRAINING (RAFT) – SEPTEMBER 18, 2026

MARISA SANCHEZ
MANAGER
HSC SPONSORED PROJECTS



NIH UPDATES

National Institutes
of Health

NOT-OD-26-094 - NIH IS Replacing Letters of Support with Letters of Collaboration to Reduce Administrative Burden

❖ PURPOSE

This Notice alerts the extramural research community that as part of the NIH's ongoing efforts to increase efficiency and minimize applicant burden, it is aligning its letter of support requirements in applications with the format currently used by the National Science Foundation (NSF). NIH letters of support will be replaced with letters of collaboration. Currently, letters of support for NIH applications may come from a variety of stakeholders, including collaborators, institutions, potential users of a resource, or any other interested parties, and can be a page or more in length.

NIH: Letters of Support → Letters of Collaboration

- **NIH is replacing Letters of Support with Letters of Collaboration beginning with Forms J in FY 2027.** These letters are for collaborators who are not Senior/Key Personnel or Other Significant Contributors.
- **Keep it short and factual.** Letters are limited to 100 words and should simply confirm the person's intent to collaborate and/or provide resources described in the application—no endorsements or extra praise of the project.
- **Don't include the old-style support letters.** Once Forms J is implemented, NIH says applications that continue to include Letters of Support may be returned without review, even if an individual NOFO still contains older Letter of Support instructions.



NIH UPDATES

National Institutes
of Health

NOT-OD-26-100: Implementation Update: NIH Data Management and Sharing Plan Requirements

❖ PURPOSE

This Guide Notice provides an update to the research community on forms changes when NIH transitions to the updated Data Management and Sharing (DMS) Plan format which includes reporting changes to previously approved DMS Plans.

Transitioning to the Updated DMS Plan Format

Beginning in FY 2027, NIH will require all existing awards and applications subject to the NIH DMS Policy to transition and align with the updated DMS Plan format. **This requirement applies regardless of when the competing application was submitted.**

- **Updated DMS Plan format required in FY 2027.** NIH is moving applications and existing awards subject to the DMS Policy to the new standardized format. This is a formatting/reporting change, not a change to the underlying DMS Policy.
- **Existing awards have to transition too.** Generally, active awards will submit the updated DMS Plan with their next applicable RPPR; older active awards expected to generate scientific data will transition with the FY 2027 RPPR.
- **Prior approval for DMS Plan changes is going away.** Beginning October 1, 2026, changes to an approved plan—such as repository, sharing timeline, or scientific-direction changes—will instead be reported through RPPR Section C.5.c.

Effective 10/1/26: DMS Plan changes → report in RPPR; prior approval for changes no longer required.

[Link: NIH Writing a Data Management and Sharing Plan](#)



RESPONSIBLE BUDGETING FOR CLINICAL TRIALS

Learn to develop a budget for an industry-sponsored clinical trial, how to identify costs in a clinical trial, the types of costs in a budget and about Standard of Care vs. Research.

Next Session:

- **Wednesday, October 7 10:30 -11:30**

[Register Here: Responsible Budgeting for Clinical Trials – Fill out form](#)

For additional information, contact:

Donna Sedillo, Program Operations Director

dlsedillo@salud.unm.edu



Current effective F&A Rate for Industry Sponsored Clinical Trials is 35%



F&A WAIVER REQUESTS

❖ **F&A is an important part of recovering the institutional costs associated with conducting sponsored research.**

- **Use the applicable UNM F&A rate.** Sponsored projects budgets must include our negotiated rates unless the sponsor has a documented limitation or restriction.
- **If the sponsor limits F&A, provide documentation.** Submit the published sponsor policy, funding opportunity, or program guidelines showing the restriction in your waiver request.
- **An email is not sufficient.** An informal statement that a sponsor “does not pay indirect costs” is not documentation of an established sponsor policy.
- **Budget limitations are not F&A restrictions.** A limited award amount or desire to maximize direct costs does not, by itself, justify a waiver.

Bottom line: No documented sponsor restriction = applicable UNM HSC F&A rates apply

[Link: F&A Waiver Request](#)

[Link: Federally Negotiated Rate Agreement 12.2025](#)

[Link: Memorandum: Facility & Administrative Rates for IHS, VA , Industry-Sponsored Clinical Trials, State and Local Governments and Other](#)



HURON v12 – CLICK UPGRADE

- ❖ **Implementation of CLICK- v12 is well underway, with the Grants and Agreements modules actively being built, configured, and prepared for transition.**
- **Grants** – Implementation and data migration are underway, with a projected go-live scheduled for **Spring 2027**
- **Agreements** – Implementation and data migration are underway, with a projected go-live scheduled for **Spring 2027**
- **Pilot testing** – Faculty and Staff may be contacted to participate in testing and provide feedback as we move closer to go-live
- **SPO Testing** – *Over the next three weeks, SPO will be heavily engaged in Huron v12 testing. We appreciate your patience, as response times may be slightly longer than usual*
- **Questions?** Sean Gonzales remains the SPO point of contact for Huron/CLICK
sgonzales@salud.unm.edu, 272-3396



Keep in Touch with SPO:

UNM Health Sciences - Sponsored Projects Office

✉ **Email:** HSC-Preaward@salud.unm.edu

☎ **Phone:** (505) 272-9383

🌐 **Website:** <https://hsc.unm.edu/about/finance/sponsored-projects/>

📍 **Physical Location:** 1650 University, UNMHSC Business & Communications Center, 2nd Floor, Suite 2200



We appreciate all you do to support research at UNM Health Sciences!

Regulatory Updates

JAMES MACFARLANE

EXECUTIVE DIRECTOR

HSC RESEARCH COMPLIANCE OFFICER

HSC RESEARCH INTEGRITY OFFICER

HSC OFFICE OF RESEARCH

Regulatory Updates

New Department of Energy (DOE) Conflict of Interest & Conflict of Commitment Rule

- Expands "Covered Individuals" from investigators responsible for the design, conduct, or reporting of research to a broader group of **personnel who can influence, manage, oversee, or materially affect DOE-funded activities**.
- Requires institutions to address/report Conflict of Interest (COI), **Conflict of Commitment (COC) and Organizational Conflicts**.
- New disclosure requirements **before proposal submission** and annually thereafter, including financial interests, outside employment and consulting, **domestic and foreign affiliations or appointments, participation in foreign talent recruitment programs**, and other activities that may create Conflict of Interest (COI) or Conflict of Commitment (COC) concerns.
- Disclosures must be updated within **15 days** of any new interest (rather than 30 days), and **a specific attestation statement must be signed and dated by covered individuals. Removes some exclusions for payments from Universities/Hospitals**.
- Required COI/COC training **every two years** (rather than every 4).
- Increases oversight of foreign affiliations, outside activities, and subrecipient compliance by moving from disclosure-focused requirements to **institution-wide review**, management, training, reporting, and monitoring responsibilities for COI/COC risks.
- Subrecipients **must specify their reporting timeline** so that the Prime can meet reporting obligations.

Human Subjects Research

- The National Institutes of Health (NIH) is considering a policy requiring researchers to share summary study results with clinical trial participants.

Thank you
&
Questions

Office of Research Funding Memos & Searches

PRESENTATION FOR RAFT 9/18/26

KARA MCKINNEY, ASSOCIATE DIRECTOR, RESEARCH DEVELOPMENT

Office of Research Funding Memos

Weekly (on Mondays):

- Federal Funding Opportunities and Notices
- Foundation Funding Opportunities

Other:

- Technology Enhancement Funds, NM Match, agency announcements, CDMRP, PCORI, internal opportunities, etc.
- Limited competitions

The image displays three overlapping screenshots of research funding memos from the HSC-OfficeOfResearch. The top-left screenshot is titled "Federal Funding Opportunities and Notices" and is dated September 8, 2026. The top-right screenshot is titled "Foundation Funding Opportunities" and is also dated September 8, 2026. The bottom screenshot is titled "PCORI Funding Announcements & Upcoming Town Halls" and is dated July 30, 2026. Each memo includes a "MEMORANDUM" header with fields for TO, FROM, DATE, and RE. The bottom screenshot also includes a table of opportunities and a list of upcoming town halls.

Federal Funding Opportunities and Notices

HSC-OfficeOfResearch
To: HSC-OfficeOfResearch

MEMORANDUM

TO: All UNM HSC Faculty & Staff

FROM: HSC Office of Research

DATE: September 8, 2026

RE: Federal Funding Opportunities and Notices

Foundation Funding Opportunities

HSC-OfficeOfResearch
To: HSC-OfficeOfResearch

MEMORANDUM

TO: All UNM HSC Faculty & Staff

FROM: HSC Office of Research

DATE: September 8, 2026

RE: Foundation Funding Opportunities

PCORI Funding Announcements & Upcoming Town Halls

HSC-OfficeOfResearch
To: HSC-OfficeOfResearch

MEMORANDUM

TO: All UNM HSC Faculty & Staff

FROM: HSC Office of Research

DATE: July 30, 2026

RE: PCORI Funding Announcements & Upcoming Town Halls

Please note: If you are considering possible to the Sponsored Projects terms and conditions to ensure the appropriate submission pathway—501(c)(3)), the Sponsored Projects requirements of the opportunity. In directly to their assigned grants spe

The following foundation opportuni

Opportunity
William Weston Career
Development Award
NARSAD Distinguished

The **Patient-Centered Outcomes Research Institute (PCORI)** will open **funding opportunities** on PCORI provides funding for patient-centered comparative clinical effectiveness research — re caregivers make informed healthcare decisions.

Upcoming Opportunities – LOI deadline: September 9, 2026. Application deadline: January 12

- **Addressing Health Outcomes for Individuals With Intellectual and Developmental Disabilities**
**Town Hall: August 12, 12 - 1 pm ET; register [here](#) **
- **Addressing Substance Use PCORI Funding Announcement – Cycle 3 2026**
**Town Hall: August 18, 12 - 1 pm ET; register [here](#) **
- **Broad Pragmatic Studies PCORI Funding Announcement – Cycle 3 2026**
**Town Hall: August 13, 11:30 am - 1 pm ET; register [here](#) **
- **Advancing the Science of Engagement in Research PCORI Funding Announcement – Cycle 3 2026**

Federal Funding Notices

- **grants.gov search**

- Dept of Health and Human Services (DHHS), National Science Foundation, and Department of Defense
- Review for eligibility and limited competitions
- NIH NOFOs also posted here: <https://grants.nih.gov/funding/explore-nih-opportunities>



- **NIH Highlighted Topics**

- Selected research priorities areas within one or more NIH Institutes, Centers or Offices
- [Website](#) is updated regularly and you can subscribe to receive email updates
- May or may not have dedicated funding
- Apply through [Parent Announcements](#)
- [Overall reduction](#) in the number of NIH NOFOs

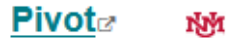


- **NIH Notices**

- NIH Guide for Grants and Contracts <https://grants.nih.gov/funding/nih-guide-for-grants-and-contracts>
Subscribe for weekly email updates.

Foundation Opportunities

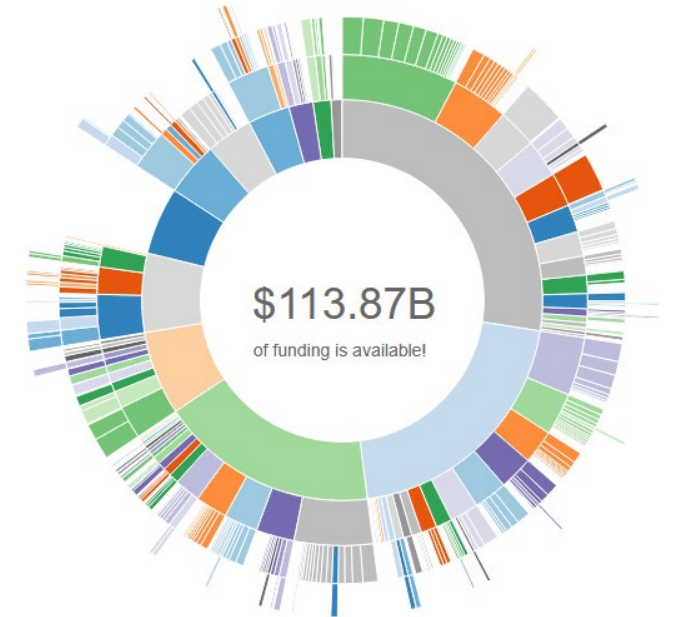
Pivot – offered by [UNM Libraries](#)



A comprehensive source of funding opportunities globally and identifies researcher expertise from within or outside of UNM. Must create an account while on campus, and sign into this account when accessing off campus.

Office of Research weekly search:

Your Search: (((medical OR clinical OR health) AND research) AND recency:(7) AND sponsor_type:(society OR foundation OR commercial) AND award_type:(trial OR industry OR publishing OR research))
filtered by: ((host_location:(New Mexico OR United States OR Unrestricted OR Unspecified) OR host_location_child:(New Mexico OR United States)))



A note of caution

- Office of Research **does not** closely review all Pivot search results.
- PIs/Admin should review the call for eligibility, and...
- Contact SPO if you are interested in applying to a foundation/corporate opportunity:
 - ✓ Review terms and conditions to ensure they are acceptable to the university
 - ✓ Determine whether UNM Foundation, as our 501(c)3, needs to submit

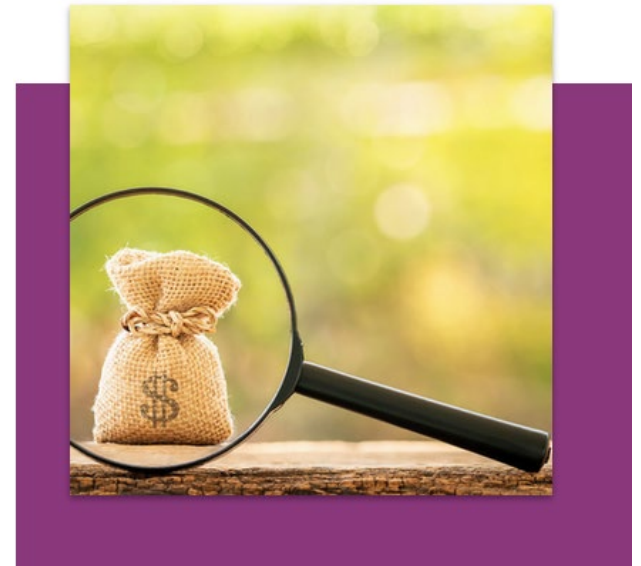
HSC RDO

The HSC Research Development Office (RDO) can help with:

- Targeted funding searches and formulating a Pivot search
- Developing a funding strategy
- Reviewing NOFOs and RFAs

Request a [consult](#) or email us at HSC-RDO@salud.unm.edu

<https://hsc.unm.edu/research/research-development/>



Thank you!

Please contact me with any questions:

Kara McKinney
kmckinney@salud.unm.edu

RAFT MEETING · RESEARCH ADMINISTRATION FORUM & TRAINING

UNMH CTO Transitions Update

Leslie Pimentel Byatt

Director, Clinical Trials Operations

Friday, September 18, 2026

Three Transitions to CTO

Three research-support processes are moving into CTO. Each is at a different stage — here's a snapshot, with detail on the following slides.

01

EMR for Research

PowerChart research access requests — now fully led by CTO.

02

Radiology Feasibility

Feasibility assessments, transitioning from HIRC/SOM Radiology.

03

RBQ / Guarantor Numbers

New & renewal Guarantor Number requests, transitioning from UNMH Finance.

PowerChart Access Request Process

The EMR/PowerChart research access request process has fully transitioned to CTO.

1

Fully Transitioned to CTO

Leslie is now the primary point of contact for EMR/PowerChart research access requests.

2

No Change for Requesters

The process for people requesting access is unchanged.

3

Escalation Path Unchanged

Elevated requests still go to the Data Request Committee, escalated by CTO as needed.

4

New SOP in Development

An SOP is being developed with Data Request Committee leaders Dr. Huebinger and Dr. Norii; CTSC remains available to provide historical perspective.

Transition Overview



Process Shifted to UNMH CTO

The radiology feasibility process has moved from HIRC/SOM Radiology to UNMH CTO. HIRC was already transitioning to a dotted-line relationship with CTO before Mike Capps (SOM Research Manager & HIRC Manager) left UNM.

1

Process Continues For Now

Feasibility requests continue to run through the HIRC Smart Sheet.

CURRENT PHASE



2

New Process Effective Q1 2027

Mike Capps' responsibilities are split across CTO, UNMH Radiology, SOM Radiology, and the clinical trial team — see next slide.

RADIOLOGY FEASIBILITY

Roles Going Forward

Mike Capps' responsibilities are now split across five groups:

1

UNMH CTO

Facilitates the radiology feasibility process and helps move the MOU between stakeholders — not involved in budgeting or agreement terms — per Dr. Selwyn's request.

2

UNMH Radiology

Partners with CTO on feasibility assessments (equipment & staffing). Non-SOC imaging protocols escalate to SOM Radiology and typically take longer to assess.

3

SOM Radiology

Remains the honest broker for anonymization and is required for protocols involving physics. CTO facilitates the MOU with the clinical trial team.

4

Clinical Trial Team

Hands-on throughout: provides imaging manuals and sponsor questionnaires to CTO, double-checks pre-registration/scheduling, then is responsible for the patient during the procedure.

5

UNMCCC

~95% of UNMCCC trials are SOC — UNMCCC facilitates its own feasibility requirements, with CTO available for guidance.

Transition Timeline

New and renewal RBQ/Guarantor Number requests are transitioning from UNMH Finance to UNMH CTO.



Guidance documents, job aids, and Quick Reference Guides are coming in Q1/Q2 2027. Contact: Cristal Jiron, Finance Analyst.

QUESTIONS · FOLLOW-UP

Thank You — Let's Connect

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