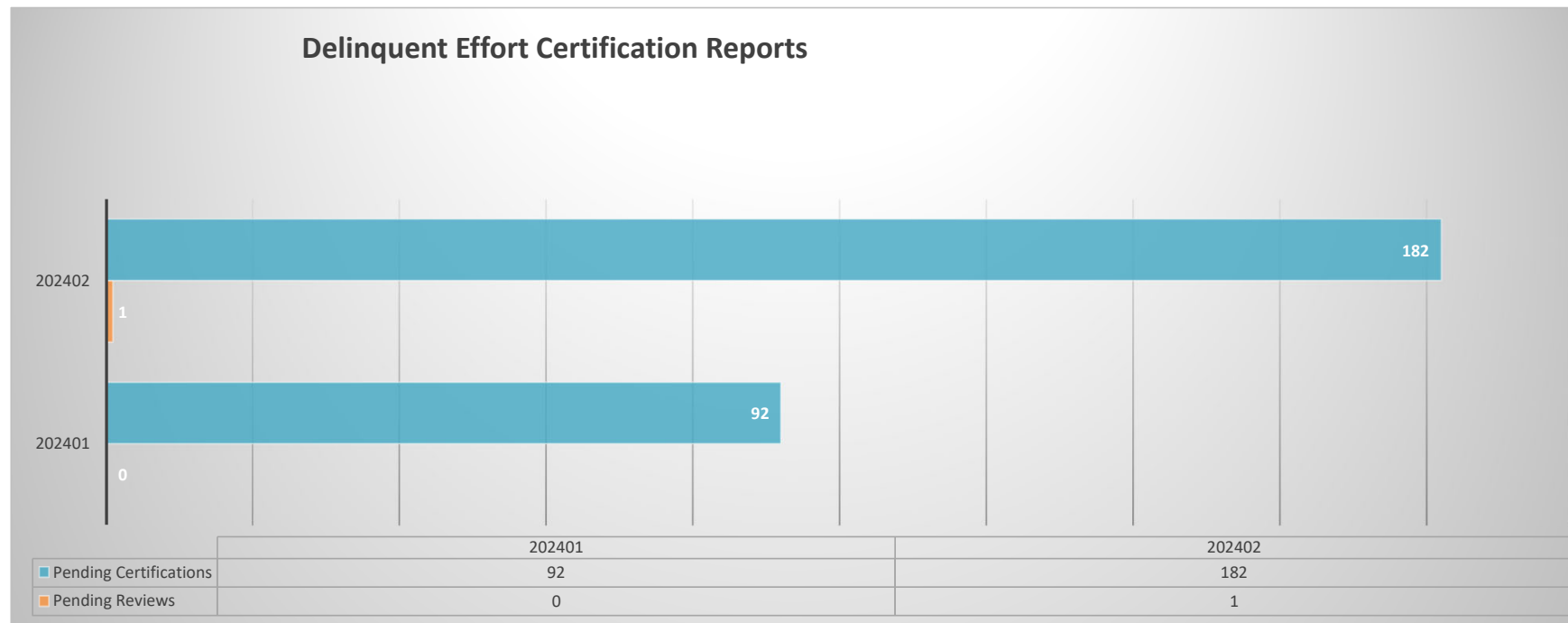




UNM HEALTH SCIENCES | UNM HEALTH

Contract & Grant Accounting Update on Effort Certification

Effort Certification Delinquencies



Effort Certification

- Single Audit 2025
- Effort Certification for 202401 & 202402 pending completion
- Effort Period 202501 has started on September 15, 2025
 - Prereview period ends October 14, 2025
 - Certification Period starts October 15, 2025
 - All Certifications completed by November 14, 2025

Next Steps Update

- Contract with Huron has been signed.
- Implementation process began August 2025
- Beta Testing by departmental personnel – Coming Soon
- Schedule training sessions and development of training material
- Estimated Go live – Fiscal Year 2026

Questions





UNM HEALTH SCIENCES | UNM HEALTH

Sponsored Projects Office General Updates

RESEARCH ADMINISTRATION FORUM AND TRAINING (RAFT) – SEPTEMBER 19, 2025

Sean Gonzales
Sr. Sponsored Projects Officer



National Institutes
of Health

NIH UPDATES

Implementation of NIH Research Security Policies (NOT-OD-25-154)

❖ Purpose

NIH is establishing a series of requirements to strengthen transparency and accountability across NIH funded projects. Compliance will be effective for applications submitted on or after **January 25, 2026**

Research Security Training Requirements: Individual Certification at the time of application: NIH is exploring system solutions to collect this certification. As an interim solution, applicants must provide a certification, and electronically signed by each individual identified as a senior/key person, as a flattened PDF in the 'Other Attachment' field of the R&R Other Project Information form of the application.

Malign Foreign Talent Recruitment Program Certification: Individual Certification at the time of the application: Each individual identified by the applicant as a senior/key person **must certify** on their Biographical Sketch Common Form, attached on the R&R Senior/Key Person Profile (Expanded) Form, **that they are not a party to a malign foreign talent recruitment program.**



National Institutes
of Health

NIH UPDATES

Preview of NIH Common Forms for Biographical Sketch and Current and Pending (Other) Support (NOT-OD-25-152)

- ❖ NIH plans to launch preview versions of the NIH Common Forms within Science Experts Network Curriculum Vitae (SciENcv) . Users should be able to access these preview versions soon of the Biographical Sketch and Current and Pending (Other) Support Common Forms and the NIH Biographical Sketch Supplement
- ❖ NIH expects to **transition to the Common Forms in 2026** to provide greater standardization across federal agencies and clarity of disclosure requirements. The exact implementation date will be announced in Fall 2025
- ❖ NIH encourages the applicant community to start preparing for this change by continuing to become familiar with [SciENcv](#) and establishing [ORCID IDs](#) and [linking](#) them to their eRA Commons profiles



National Institutes
of Health

NIH UPDATES

New Application Structure for NIH-Funded International Collaborations

(NOT-OD-25-155 ,#

❖ Purpose

NIH has recognized that current application and award structures for subawards have failed to meet federal reporting and oversight needs. This has raised concerns for national security, especially as it pertains to tracking the expenditure of federal funds at foreign components. To address these needs, **NIH announced that it will no longer be accepting new applications that request funds for foreign components using the traditional grant subaward/consortium structure.**

❖ Policy

For grants requesting NIH funding for one or more foreign components, NIH will require that competing applications submit NOFO that supports the new PF5 [Activity Code](#) for grants, new UF5 Activity Code for cooperative agreements, or another **mechanism activity code that supports the International Project component type.**

NIH continues to support international collaborations. Of note, foreign components other than those previously supported by subawards or consortia agreements (e.g., foreign consultants, international travel) may continue to be supported through other activity codes unless otherwise indicated in the NOFO.



National Institutes
of Health

NIH UPDATES

Update: No-Cost Extension Functionality in eRA Commons (NOT-OD-25-142 ,#

❖ Purpose

This notice provides an update on the guidance issued in [NOT-OD-25-110](#). Effective with the issuance of this Guide Notice (August 7, 2025), NIH has re-enabled the [No-Cost Extension functionality](#) within eRA Commons. Recipients may resume initiating first no-cost extensions in eRA Commons, in accordance with the NIH Grants Policy Statement. **Requests that were previously submitted via the prior approval module will not be reviewed, and recipients will need to initiate the first no cost extension within the Status module by using the Extension action.**

Notices of NIH Policy Changes

- ❖ Please review this link regularly to keep current on NIH policy updates



COMING SOON: CLICK v12



UNM HSC Login

Non HSC Login



If you are a member of UNM HSC and have a current HSC NetID, please click on the UNM HSC Login button.

All other, please click on the Non HSC Login button (includes UNM main campus).

- UNM HSC, together with Main Campus, has initiated the transition to **CLICK v12**
- The project is currently in its **initial planning stage**
- We are committed to **keeping the research community informed** as this process progresses



Q&A Sessions: Are Evolving



The popular **Q&A Sessions** hosted by SPO and C&G Accounting **will continue through the end of the year**



SPO is **developing a ListServ designed just for Department Research Administrators** to collaborate and learn from each other

While the listserv will **not** be a medium for direct SPO or C&G inquiries, both offices will chime in periodically to provide support and guidance

You can always continue to reach out to your SPO representative or the HSC-Preaward@salud.unm mailbox with specific questions

OUTGOING SUBAWARDS

PERSONNEL UPDATE!

Congratulations to **Madison Dow**, SPO's Subaward Specialist, who is on Maternity Leave now through the end of this year.

During this Period

Primary Point of Contact: Susan De Los Santos

sdelossantos@salud.unm.edu

Secondary Points of Contact:

Marisa Sanchez – marsanchez@salud.unm.edu

Sean Gonzales- sgonzales@salud.unm.edu





FUNDING PROGRAM ANNOUNCEMENTS WITH SHORT TURNAROUND TIMES!

Federal funding agencies are increasingly publishing special program announcements with as little as a two-week turnaround. To help our researchers remain competitive, the HSC Sponsored Projects Office (SPO) has updated our internal deadlines for these special opportunities **ONLY**.

Create the click record as soon as possible

Upload a copy of the special program announcement to the click record

If the click record prompts you for a timeline waiver, you may upload the related memo that has gone out in the SPO Update newsletter, in its place*

➤ **5 BUSINESS DAY DEADLINE**

- Department submits the **final project** to SPO for **full review** by the 5-business day deadline.
- Requirements:
 - Click record created and routed to SPO
 - Sponsor platform information complete with the **final proposal**
 - All subawardee documentation, if applicable
- SPO will complete a **full and thorough review** and return comments to the department within 2 business days. This allows time for corrections before resubmitting for **final review** prior to the sponsor's due date.
- *A timeline waiver will be required if the final project is not ready at the 5-business day deadline. This is for tracking purposes for these special announcements.

➤ **3 BUSINESS DAY DEADLINE**

- Department submits the **final project** in Click and the sponsor platform for **budget and budget justification review only**.
- SPO will not have sufficient time for a complete review or comprehensive feedback.
- *A **timeline waiver** will be required.

➤ **≤ 2 BUSINESS DAY DEADLINE**

- Department submits the **final project** in Click and the sponsor platform for **F&A rate verification only**.
- SPO will not have sufficient time for a thorough review, especially during high-volume grant deadlines.
- *A **timeline waiver** will be required.

To receive additional information and assistance you can contact our office through various means:

Phone: 272-9383

Email: HSC-PreAward@salud.unm.edu

Physical Location: 1650 University, UNMHSC
Business & Communications Center, 2nd Floor, Suite
2200

Please remember that you can always reach out to your SPO Specialist or a SPO Manager directly for assistance.

We are here to help!

Research Data & Informatics

THE AUDIT PROCESS

Data and Informatics Team

SAMIHA MATEEN

Operations Manager

SMateen@salud.unm.edu

505.272.2021

MAKENZIE FISHER

Data Compliance Manager &
Auditor

MMFisher@salud.unm.edu

505.272.2004

What Type of Research Do We Audit?



Research Compliance and Oversight Differences

Research

Research as defined by DHHS:

A systematic investigation, including research development, testing and evaluation, designed to develop or contribute to generalizable knowledge.

Not Research

The following activities are NOT considered Research as defined by DHHS:

- Scholarly and journalistic activities (e.g., oral history, journalism, biography, literary criticism, legal research, and historical scholarship), including the collection and use of information, that focus directly on the specific individuals about whom the information is collected.
- Public health surveillance activities conducted by a public health authority, limited to those necessary to allow a public health authority to identify, monitor, assess, or investigate potential public health signals, onsets of disease outbreaks, or conditions of public health importance.
- Collection and analysis of information, biospecimens, or records by or for a criminal justice agency for activities authorized by law or court order solely for criminal justice or criminal investigative purposes.
- Authorized operational activities (as determined by the relevant federal agency) in support of intelligence, homeland security, defense, or other national security missions.

Human Research

Any activity that either:

Is Research as Defined by DHHS and involves Human Subjects as Defined by DHHS; or

Is Research as Defined by FDA and involves Human Subjects as Defined by FDA.

Human Subject as Defined by DHHS: A living individual about whom an investigator (whether professional or student) conducting research (1) obtains information or biospecimens through Intervention or Interaction with the individual, and uses, studies, or analyzes the information or biospecimens; or (2) obtains, uses, studies, analyzes, or generates identifiable private information or identifiable biospecimens.

Human Subject as Defined by FDA: An individual who is or becomes a subject in research, either as a recipient of the test article or as a control. A subject may be either a healthy human or a patient. A human subject includes an individual on whose specimen a medical device is used.

Animal Research & Basic Science

Any research that involves the use of animals:

Animals as defined by PHS: Any live, vertebrate animal used or intended for use in research, research training, experimentation, or biological testing or for related purposes.

Basic Science Research as defined by NIH:

Systematic study directed towards greater knowledge or understanding of the fundamental aspects of phenomena and of observable facts without specific applications towards processes or products in mind.

What Type of Research Do We Audit?



Basic Science Research or Non-Human Non-Animal Research

Audit Selection Process



Report and Random Selection

5.0

* **Program Type:**
Research

Research = anything that requires the collection of data

Fellowship = F-Grants or of that nature

Public Service = anything that involves community service

Training = T-Grants or of that nature

Instruction = Scholarships

Other = If you cannot fit into one of the above categories.

1.4 Compliance Review

1.0

For each item listed below, indicate if it is involved in this project:

- * **Human Subjects:** ☐ Yes ☒ No
- * **Vertebrate Animals:** ☐ Yes ☒ No
- * **Recombinant DNA:** ☐ Yes ☒ No
- * **Hazardous Materials:** ☐ Yes ☒ No
- * **Radioactive Materials:** ☐ Yes ☒ No
- * **Radioisotopes Human:** ☐ Yes ☒ No
- * **Embryonic Stem Cells:** ☐ Yes ☒ No

Project Review

Current State

Awarded

View Funding Submission

Printer Version

View Differences

View Smartform Progress

My Activities

 [Over Expenditure Index](#)

(Funding Proposal - Awarded)

Project Information

Review Status

SPO Additional Documents

COI Status

Bayh-Dole

SPO Additional Documents

SPO Internal	Proposal/SPO Info	Awards
Internal Budget Worksheet (IBW)  ...	Proposal There are no items to display	Award Document  Noa.pdf(0.01) ...
Award Budget Sheet	RFA or Application Guidance There are no items to display	
Cost Share Form	Progress Report  RPPR 6-9-25.pdf(0.01) ...	No-Cost Extension There are no items to display
HSC F&A Split Budget Detail (or F&A Split Form): There are no items to display	Just in Time Info There are no items to display	Early Termination Attachment
Main Campus F&A Split Budget Detail (or F&A Split Form): There are no items to display	Misc. Documents: There are no items to display	
Vice Chancellor Approval Document:		

Audit Notification

Pre- Audit Questionnaire:

- Project Overview/Scope of Work
- Is scientific data being collected or created and for what purpose
- How much data has been collected thus far
- Where is this data being stored
- Where are you in the project timeline
- Are these details accurate to what has been reported in the grants system

University of New Mexico (unm.edu)

☒ Data curation at University of New Mexico

Test Project

[Project Details](#)
[Collaborators](#)
[Write Plan](#)
[Research outputs](#)
[Request feedback](#)
[Finalize](#)
[Download](#)

This plan is based on the "Digital Curation Centre" template provided by Digital Curation Centre (dcc.ac.uk) - (ver: 2, pub: 2024-06-27).

[expand all](#) | [collapse all](#)

0/13

+ Data Collection (0 / 2)

+

+ Documentation and Metadata (0 / 1)

+

+ Ethics and Legal Compliance (0 / 2)

+

+ Storage and Backup (0 / 2)

+

+ Selection and Preservation (0 / 2)

+

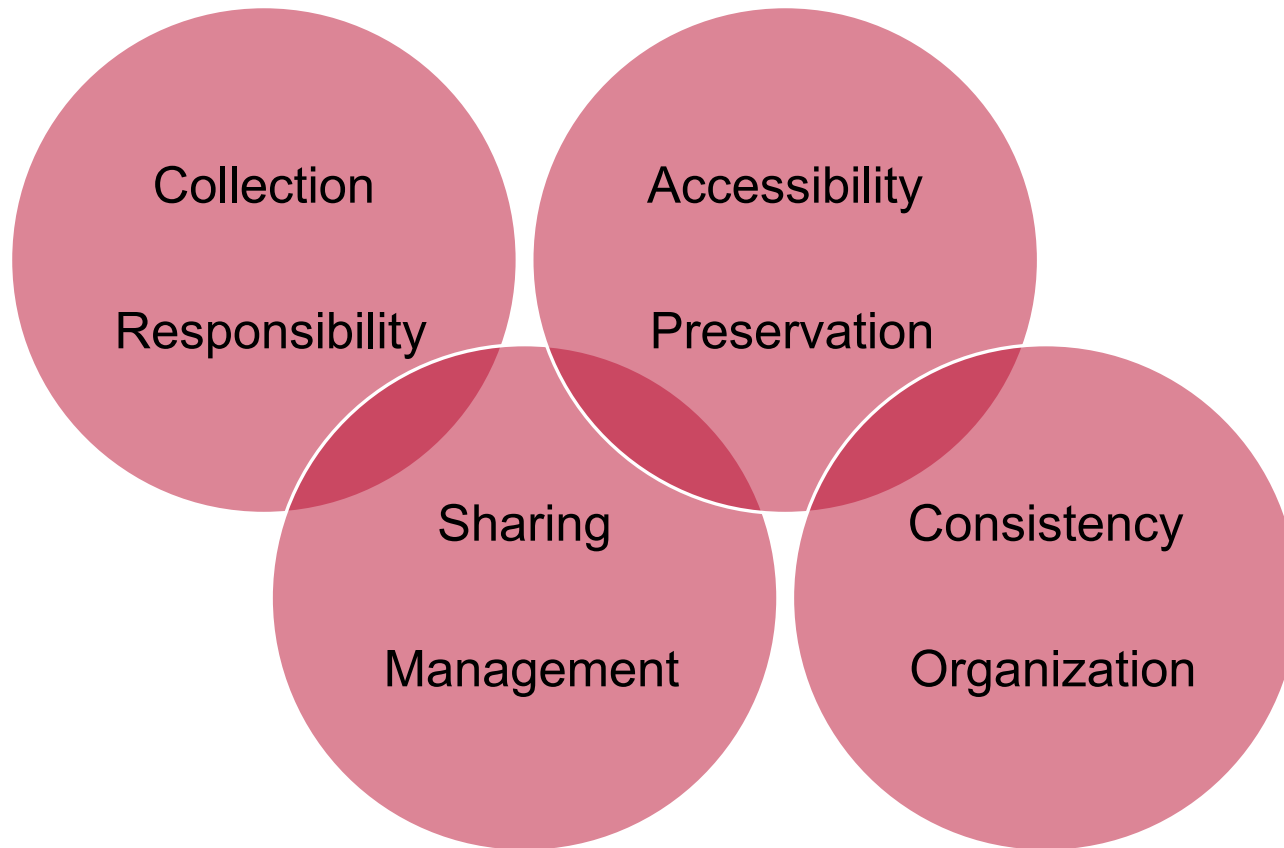
+ Data Sharing (0 / 2)

+

+ Responsibilities and Resources (0 / 2)

+

During The Audit



Additional Resources

HSC IT Help Desk:

<https://hscithelp.health.unm.edu/>

ISO:

HSC-ISO@salud.unm.edu

HSLIC:

Workshops - Data Organization 101: Organizing Data in Spreadsheets
12:00pm - 1:00pm, Wednesday, September 24, 2025

Libguides - <https://libguides.health.unm.edu/dataplanning>

Consultations- Danielle Maurici-Pollock | Research Data Specialist

dmauricipollock@salud.unm.edu